

# ALLIANCE TREATY OBLIGATIONS AND PROVISIONS (ATOP)

## CODING SHEET

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### SECTION I: FORMATION, MEMBERS, AND DURATION

1. ATOP ID: 1155, phase 2

2. COW Alliance # (if applicable):  
NA

3. Signature date(s) (may vary for members in multilateral alliances):  
September 3, 1857

4. Members of alliance (use COW country codes):  
140 Brazil  
165 Uruguay (Uruguay is not a COW system member, but is on the Gleditsch and Ward system membership list)

5. Was the alliance signed during war? If so, which war, and which member(s) was at war? (check COW data)  
Brazil was fighting in COW war # 19 when the original alliance was formed.

6. Means by which alliance was established (e.g., treaty, executive agreement, diplomatic notes, etc.): (Only select "treaty" if the agreement requires ratification.)  
Treaty, protocol of revision

7. Was the treaty public, public but with secret articles, or secret? If secret articles, describe the content of secret articles.  
Public

8. Are there provisions for adding additional members mentioned in the treaty? (Yes, No) If Yes, describe these provisions.  
No

9. Are specific state(s) mentioned as possible future members of the alliance? (Yes, No) If Yes, which state(s)?  
Argentine States and Paraguay are invited to accede to the alliance (Articles 14 and 15).

10. Are there specific provisions in the treaty concerning the length of time the treaty is to last? (Yes, No) If Yes, describe.  
Extends ATOP alliance # 1150 in perpetuity.

11. Are there conditions under which members may renounce the treaty? If Yes, describe these conditions.  
No

12. Are there specific provisions in the treaty concerning renewal of the treaty? (Yes, No) If Yes, describe these provisions.  
No

13. Number of times alliance renewed, dates of renewal, and means by which alliance was renewed (Do not

include automatic renewals):

14. Termination date(s) (may vary for members in multilateral alliances) and source(s) for termination dates(s):  
January 2, 1859

15. Describe what caused the alliance to terminate.

Replaced by new alliance: Guarantee of Uruguay (ATOP ID#1205)

## SECTION II: OBLIGATIONS

16. Type of alliance (defense pact, neutrality pact, nonaggression pact, consultation pact, offense pact): (List all that apply to any member of the alliance.)

Consultation pact

17. Type of alliance as coded in COW data (if applicable):

NA

18. Describe the obligations of the alliance partners.

Article II: "The independence of either of the States will be considered to be attacked in the cases which shall be ulteriorly determined by both; and especially in the case of declared conquest, and when a foreign nation attempts to change the form of its Government, or to determine or impose on it the person or persons who are to govern it."

Article III: "In either of these eventualities of the alliance, the 2 (parties) will agree among themselves upon the cooperation that each is to afford, and will regulate it according to the necessities of the case, and the resources which each one can dispose of."

19. Are any of the obligations contingent upon any of the following: specific adversary, specific location, specific ongoing conflict, number of adversaries, noncompliance with a specific demand, attack, nonprovocation of enemy, or prior agreement among partners? (List all that apply)

attack

20. Describe the nature of the limits to obligations listed in #19.

See # 18. Consultation is contingent upon attack.

21. Are there any additional provisions for assistance in the event of war? (e.g., promise not to participate in economic sanctions against partner, promise not to aid internal or external enemies, etc.) (Yes, No) If Yes, describe.

"In case of rebellion, or of an armed movement against one of the two Governments, in their respective adjoining territories, each of those Governments engages not to consent to any kind of intercourse with the rebels, and to place those who may take refuge in its territory... in a position completely inoffensive, disarming them, if they should be armed, and delivering the arms, horses, and any other warlike effects, to the other Government."

22. Are there any additional limits to the alliance obligations or conditions under which treaty obligations do not apply that were not listed in #19? (Yes, No) If Yes, describe.

No

23. Is a specific target/threat mentioned as the object of the treaty? (Yes, No) (Answering Yes to this question does not necessarily mean that obligations are limited only to this adversary.) If Yes, list the target nation(s) (using COW country codes if possible) and describe the general nature of the reference to the target of the treaty.

No

24. Are the treaty obligations symmetric? (That is, do all members commit to the same obligations?) (Yes, No)

If No, describe.

Yes

25. Does the treaty prohibit members from settling conflicts independently (no separate peace)? (Yes, No) If Yes, describe.

No

26. Does the treaty have provisions requiring that the contracting parties consult before making commitments to third parties (excluding no separate peace provisions discussed above)? (Yes, No) If Yes, describe these provisions.

No

27. Does the treaty specify that the contracting parties must not enter into any other alliances that are directed against the alliance in question? (Yes, No) If Yes, describe.

No

28. Additional coder comments regarding treaty obligations:

### SECTION III: INSTITUTIONALIZATION OF THE ALLIANCE

29. Does the treaty make reference to the potential for conflict among members of the alliance? (Yes, No) If Yes, describe.

No

30. Does the treaty discuss mediation/arbitration or other means of settling disputes among the signatories? (Yes, No) If Yes, describe.

No

31. Does the treaty require official contact among the military forces of the participating states? (Yes, No) If Yes, describe. (Note whether official contact is required only in the event of hostilities or also during peacetime.)

No

32. Does the treaty include provisions for military aid? (e.g., grants, loans, transfer of technology, training) (Yes, No) If Yes, describe these provisions.

No

33. Does the treaty provide for integrated command of military forces while the alliance is in effect (peacetime as well as wartime)? (Yes, No) If Yes, describe.

No

34. Does the treaty require subordination of the forces of one or more member states to another in the event of hostilities? (Yes, No) If Yes, describe.

No

35. Does the treaty establish any organizations? (include provisions for regularly scheduled meetings) (Yes, No) If Yes, describe.

No

36. Does the treaty provide for joint military bases, or for one or more states to place troops in the territory of one or more other states? (Yes, No) If Yes, describe.

No

37. Does the treaty specify contribution levels (funds, troops, etc.)? (Yes, No) If Yes, describe.

No

38. Does the treaty contain any provisions regarding coordinated increase of armaments, reduction of armaments, prohibition of weapons, or rules of warfare? (Yes, No) If Yes, describe such provisions.

No

39. Does the treaty explicitly permit or prohibit the acquisition of territory not currently held by either ally? (Yes, No) If Yes, describe reference.

No

40. Does the treaty include discussion of the division of gains from any future conflict? (Yes, No) If Yes, describe.

No

41. Does the treaty include discussion of demobilization/withdrawal following conflict? (Yes, No) If Yes, describe.

No

42. Are there any other provisions in the treaty that describe the means through which the states will coordinate their military efforts and policies? (Yes, No) If Yes, describe.

No

43. Additional coder comments regarding references to alliance institutionalization:

No

#### SECTION IV: TREATY CONTEXT

44. Does the treaty state that it nullifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are nullified and describe the treaty reference.

No

45. Does the treaty state that it modifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are modified and describe the treaty reference.

No

46. Does the treaty state that it includes any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are included and describe the treaty reference.

No

47. Does the treaty make any references to international organizations? (Yes, No) If Yes, list the international organization and describe the nature of the reference.

No

48. Does the treaty make any references to other existing alliances? (Yes, No) If Yes, list the alliance and describe the nature of the reference.

ATOP alliance #1150 between the same states.

49. Are there any companion agreements referenced in the treaty? (Yes, No) If Yes, describe the agreements.

No

50. Does the treaty include statements regarding non-military cooperation (e.g., economic, cultural, scientific exchange)? (Yes, No) If Yes, describe.

No

51. Does the treaty resolve other conflicts among the parties to the alliance? (Yes, No) If Yes, describe.

No

52. Does the treaty propose/enforce settlement of a conflict not involving parties to the alliance? (Yes, No) If Yes, describe.

No

53. Does the treaty propose other agreements among the contracting parties? (Yes, No) If Yes, describe.

No

54. Does the treaty mention unresolved conflicts among the contracting parties? (Yes, No) If Yes, describe.

No

55. Does the treaty include provisions for economic aid or other enticements (include trade concessions, post war recovery, etc.)? (Yes, No) If Yes, describe these provisions.

No

56. Does the treaty describe circumstances under which one party may intervene in the internal politics of another party or specifically commit the states to non-intervention? (Yes, No) If Yes, describe.

No

## SECTION V: DOCUMENTATION

57. Additional coder comments on this alliance treaty:

58. Source of coding information (e.g., treaty, treaty and secondary sources, secondary sources only, etc.): Treaty

59. Treaty citation: BFSP 40: 1141 and BFSP 49: 1231

60. Date of last revision of this coding sheet: July 6, 2004

61. Coder: Andrew Long and Ashley Leeds

V4.0: Updated Q15 to reference ATOP ID# of new alliance on 5/14/2018 by Nicholas Coulombe