

ALLIANCE TREATY OBLIGATIONS AND PROVISIONS (ATOP)
CODING SHEET

Brett Ashley Leeds with Jeffrey M. Ritter, Sara McLaughlin Mitchell, and Andrew G. Long
Department of Political Science, Rice University, P.O. Box 1892-MS 24, Houston, TX 77251-1892
Version 8.0; August, 2000

SECTION I: FORMATION, MEMBERS, AND DURATION

1. ATOP ID: # 1050.
2. COW Alliance # (if applicable): # 2012.
3. Signature date(s) (may vary for members in multilateral alliances):
July 23, 1831.
4. Members of alliance (use COW country codes):
300 Austria
325 Sardinia.
5. Was the alliance signed during war? If so, which war, and which member(s) was at war? (check COW data)
No.
6. Means by which alliance was established (e.g., treaty, executive agreement, diplomatic notes, etc.): (Only select "treaty" if the agreement requires ratification.)
Executive Agreement.
7. Was the treaty public, public but with secret articles, or secret? If secret articles, describe the content of secret articles.
Secret.
8. Are there provisions for adding additional members mentioned in the treaty? (Yes, No) If Yes, describe these provisions.
No.
9. Are specific state(s) mentioned as possible future members of the alliance? (Yes, No) If Yes, which state(s)?
No.
10. Are there specific provisions in the treaty concerning the length of time the treaty is to last? (Yes, No) If Yes, describe.
No.
11. Are there conditions under which members may renounce the treaty? If Yes, describe these conditions.
No.
12. Are there specific provisions in the treaty concerning renewal of the treaty? (Yes, No) If Yes, describe these provisions.
No.
13. Number of times alliance renewed, dates of renewal, and means by which alliance was renewed (Do not include automatic renewals):
Unknown.
14. Termination date(s) (may vary for members in multilateral alliances) and source(s) for termination dates(s):
March 24, 1848.
15. Describe what caused the alliance to terminate.
War between the parties.

SECTION II: OBLIGATIONS

16. Type of alliance (defense pact, neutrality pact, nonaggression pact, consultation pact, offense pact): (List all that apply to any member of the alliance.)
Defense Pact.
17. Type of alliance as coded in COW data (if applicable): Defense Pact.
18. Describe the obligations of the alliance partners.

The two parties agree to mobilize a combined army for war operations, with the principal goal of protecting the territories of Austria and Sardinia in Italy against all aggression undertaken by France (Art.I).

19. Are any of the obligations contingent upon any of the following: specific adversary, specific location, specific ongoing conflict, number of adversaries, noncompliance with a specific demand, attack, nonprovocation of enemy, or prior agreement among partners? (List all that apply)

Yes- specific location, specific adversary.

20. Describe the nature of the limits to obligations listed in #19.

Austria and Sardinia protect their Italian possessions against France.

21. Are there any additional provisions for assistance in the event of war? (e.g., promise not to participate in economic sanctions against partner, promise not to aid internal or external enemies, etc.) (Yes, No) If Yes, describe.

Yes. The parties agree to give each other all help, with respect to the maintenance of their troops or any other issue, for which one ally needs help when it finds itself in the territory of the other (Art.XVII).

As for the necessary garrisons for the strongholds and other cities to guard, which find themselves in the theater of war and belong to one party or another, the parties commit themselves to furnish (...) the garrisons necessary for their fortresses and cities, in order to bring their strongholds in a perfect state for defense and to equip them with means of resistance and subsistence for at least six months (Art.V).

22. Are there any additional limits to the alliance obligations or conditions under which treaty obligations do not apply that were not listed in #19? (Yes, No) If Yes, describe.

No.

23. Is a specific target/threat mentioned as the object of the treaty? (Yes, No) (Answering Yes to this question does not necessarily mean that obligations are limited only to this adversary.) If Yes, list the target nation(s) (using COW country codes if possible) and describe the general nature of the reference to the target of the treaty.

Yes- France (220).

24. Are the treaty obligations symmetric? (That is, do all members commit to the same obligations?) (Yes, No) If No, describe.

Yes.

25. Does the treaty prohibit members from settling conflicts independently (no separate peace)? (Yes, No) If Yes, describe.

Yes. (...) neither of the parties has the right to make peace or armistice with the enemy without the agreement and consent of the other. They also commit themselves not to negotiate without consulting its ally (Art.IX).

26. Does the treaty have provisions requiring that the contracting parties consult before making commitments to third parties (excluding no separate peace provisions discussed above)? (Yes, No) If Yes, describe these provisions.

No.

27. Does the treaty specify that the contracting parties must not enter into any other alliances that are directed against the alliance in question? (Yes, No) If Yes, describe.

No.

28. Additional coder comments regarding treaty obligations:

SECTION III: INSTITUTIONALIZATION OF THE ALLIANCE

29. Does the treaty make reference to the potential for conflict among members of the alliance? (Yes, No) If Yes, describe.

No.

30. Does the treaty discuss mediation/arbitration or other means of settling disputes among the signatories? (Yes, No) If Yes, describe.

No.

31. Does the treaty require official contact among the military forces of the participating states? (Yes, No) If Yes, describe. (Note whether official contact is required only in the event of hostilities or also during peacetime.)

Yes. The common army will follow a plan of campaign decided on by the two parties in advance, to the extent that it is possible to fix such a plan in advance (Art.VII). (...) neither power has the right to change the distribution or composition of their contingent, without consulting with its ally (Art.X). The Sardinian King, who commands the common army wants to have an Austrian officer, chosen by the Austrian King, in his headquarters to deal with the Austrian troops (Art.XVI).

32. Does the treaty include provisions for military aid? (e.g., grants, loans, transfer of technology, training) (Yes, No) If Yes, describe these provisions.

No.

33. Does the treaty provide for integrated command of military forces while the alliance is in effect (peacetime as well as wartime)? (Yes, No) If Yes, describe.

Yes. The army, composed of troops from both parties, constitutes one military entity (Art.II). The combined army constitutes one military unit and is commanded by one commander (Art.VI). In conformity with the desire of the Austrian king, the King of Sardinia personally commands the Austrian-Sardinian army (Art.XI).

34. Does the treaty require subordination of the forces of one or more member states to another in the event of hostilities? (Yes, No) If Yes, describe.

Yes – see # 33.

35. Does the treaty establish any organizations? (include provisions for regularly scheduled meetings) (Yes, No) If Yes, describe.

No.

36. Does the treaty provide for joint military bases, or for one or more states to place troops in the territory of one or more other states? (Yes, No) If Yes, describe.

No.

37. Does the treaty specify contribution levels (funds, troops, etc.)? (Yes, No) If Yes, describe.

Yes. Austria contributes 65,000 combatants and Sardinia 37,000. See details in Articles III-V.

38. Does the treaty contain any provisions regarding coordinated increase of armaments, reduction of armaments, prohibition of weapons, or rules of warfare? (Yes, No) If Yes, describe such provisions.

No.

39. Does the treaty explicitly permit or prohibit the acquisition of territory not currently held by either ally? (Yes, No) If Yes, describe reference.

No.

40. Does the treaty include discussion of the division of gains from any future conflict? (Yes, No) If Yes, describe.

Yes. If during the war, war munitions, magazines etc. fall in the hands of the allied armies, these objects belong to the troops that have attained the prize; if the prize is attained by troops of both allies, it is partitioned among them (Art.XIX). Prisoners of war belong to both troops, no matter who captured them and they will be exchanged against prisoners of the Austro-Sardinian army (Art.XX).

41. Does the treaty include discussion of demobilization/withdrawal following conflict? (Yes, No) If Yes, describe.

No.

42. Are there any other provisions in the treaty that describe the means through which the states will coordinate their military efforts and policies? (Yes, No) If Yes, describe.

No.

43. Additional coder comments regarding references to alliance institutionalization:

SECTION IV: TREATY CONTEXT

44. Does the treaty state that it nullifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are nullified and describe the treaty reference.

No.

45. Does the treaty state that it modifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are modified and describe the treaty reference.

No.

46. Does the treaty state that it includes any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are included and describe the treaty reference.

No.

47. Does the treaty make any references to international organizations? (Yes, No) If Yes, list the international organization and describe

the nature of the reference.
No.

48. Does the treaty make any references to other existing alliances? (Yes, No) If Yes, list the alliance and describe the nature of the reference.
No.

49. Are there any companion agreements referenced in the treaty? (Yes, No) If Yes, describe the agreements.
No.

50. Does the treaty include statements regarding non-military cooperation (e.g., economic, cultural, scientific exchange)? (Yes, No) If Yes, describe.
No.

51. Does the treaty resolve other conflicts among the parties to the alliance? (Yes, No) If Yes, describe.
No.

52. Does the treaty propose/enforce settlement of a conflict not involving parties to the alliance? (Yes, No) If Yes, describe.
No.

53. Does the treaty propose other agreements among the contracting parties? (Yes, No) If Yes, describe.
Yes. The measures concerning the supplies of the troops in the allied territories require the solution of a big number of questions and details that are not possible to consider at this moment and these questions shall be regulated by commissaries appointed by the generals of the two contingents in a separate convention (...) (Art.XVIII).

54. Does the treaty mention unresolved conflicts among the contracting parties? (Yes, No) If Yes, describe.
No.

55. Does the treaty include provisions for economic aid or other enticements (include trade concessions, post war recovery, etc.)? (Yes, No) If Yes, describe these provisions.
No.

56. Does the treaty describe circumstances under which one party may intervene in the internal politics of another party or specifically commit the states to non-intervention? (Yes, No) If Yes, describe.
Yes. If Austrian help troops enter Sardinia on the invitation of the King of Sardinia, against a revolution in the interior or incursions by exiles, the maintenance of the Austrian troops is responsibility of Sardinia (Art.XVII).

SECTION V: DOCUMENTATION

57. Additional coder comments on this alliance treaty: Coded based on translation from French.

58. Source of coding information (e.g., treaty, treaty and secondary sources, secondary sources only, etc.): Treaty.

59. Treaty citation: Narciso Nada (ed). 1972. Le Relazioni Diplomatiche Fra L'Austria e il Regno Di Sardegna. II Serie 1830-1848, vol.1. Rome: Istituto Storica Italiano per l'eta moderna e contemporanea.

60. Date of last revision of this coding sheet: 02/05/05.

61. Coder: Michaela Mattes, Ashley Leeds.