

ALLIANCE TREATY OBLIGATIONS AND PROVISIONS (ATOP)
CODING SHEET

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SECTION I: FORMATION, MEMBERS, AND DURATION

1. ATOP ID: 5045

2. COW Alliance # (if applicable): N/A

3. Signature date(s) (may vary for members in multilateral alliances):
June 16, 2004

4. Members of alliance (use COW country codes):
365 Russia
704 Uzbekistan

5. Was the alliance signed during war? If so, which war, and which member(s) was at war? (check COW data)
No.

6. Means by which alliance was established (e.g., treaty, executive agreement, diplomatic notes, etc.): (Only select "treaty" if the agreement requires ratification.)
Treaty.

7. Was the treaty public, public but with secret articles, or secret? If secret articles, describe the content of secret articles.
Public.

8. Are there provisions for adding additional members mentioned in the treaty? (Yes, No) If Yes, describe these provisions.
No.

9. Are specific state(s) mentioned as possible future members of the alliance? (Yes, No) If Yes, which state(s)?
No.

10. Are there specific provisions in the treaty concerning the length of time the treaty is to last? (Yes, No) If Yes, describe.
Yes. Article 15: "This Agreement is of an indefinite nature."

11. Are there conditions under which members may renounce the treaty? If Yes, describe these conditions.
Yes. Article 15: "This Treaty is of unlimited duration and shall remain in force until such time as either Party notifies in writing the other Party of its intention to terminate it. In this case the Agreement shall terminate upon the expiration of twelve months from the date of receipt of such notice to the other Party."

12. Are there specific provisions in the treaty concerning renewal of the treaty? (Yes, No) If Yes, describe these provisions.
No.

13. Number of times alliance renewed, dates of renewal, and means by which alliance was renewed (Do not include automatic renewals):
N/A

14. Termination date(s) (may vary for members in multilateral alliances) and source(s) for termination dates(s):
Still in effect as of May 10, 2018, according to the MFA website of Russia
http://www.mid.ru/ru/foreign_policy/international_contracts/2_contract/-/storage-viewer/bilateral/page-1/46090?storageviewer_WAR_storageviewerportlet_advancedSearch=true&storageviewer_WAR_storageviewerportlet_fromPage=search&storageviewer_WAR_storageviewerportlet_dateEnd=17.06.2004&storageviewer_WAR_storageviewerportlet_dateStart=16.06.2004&storageviewer_WAR_storageviewerportlet_andOperator=1

15. Describe what caused the alliance to terminate.
N/A

SECTION II: OBLIGATIONS

16. Type of alliance (defense pact, neutrality pact, nonaggression pact, consultation pact, offense pact): (List all that apply to any member of the alliance.)

Nonaggression Pact

17. Type of alliance as coded in COW data (if applicable): N/A

18. Describe the obligations of the alliance partners.

Article 5: "The Parties do not take any action, including the conclusion of agreements with third countries that are detrimental to the sovereignty, security and territorial integrity of the other Party." [Nonaggression]

19. Are any of the obligations contingent upon any of the following: specific adversary, specific location, specific ongoing conflict, number of adversaries, noncompliance with a specific demand, attack, nonprovocation of enemy, or prior agreement among partners? (List all that apply)

No.

20. Describe the nature of the limits to obligations listed in #19.

21. Are there any additional provisions for assistance in the event of war? (e.g., promise not to participate in economic sanctions against partner, promise not to aid internal or external enemies, etc.) (Yes, No) If Yes, describe.

Yes. Article 5: "Neither Party shall allow the use of its territory by third States to the detriment of state sovereignty, security and territorial integrity of the other Party."

22. Are there any additional limits to the alliance obligations or conditions under which treaty obligations do not apply that were not listed in #19? (Yes, No) If Yes, describe.

No.

23. Is a specific target/threat mentioned as the object of the treaty? (Yes, No) (Answering Yes to this question does not necessarily mean that obligations are limited only to this adversary.) If Yes, list the target nation(s) (using COW country codes if possible) and describe the general nature of the reference to the target of the treaty.

No.

24. Are the treaty obligations symmetric? (That is, do all members commit to the same obligations?) (Yes, No) If No, describe.

Yes.

25. Does the treaty prohibit members from settling conflicts independently (no separate peace)? (Yes, No) If Yes, describe.

No.

26. Does the treaty have provisions requiring that the contracting parties consult before making commitments to third parties (excluding no separate peace provisions discussed above)? (Yes, No) If Yes, describe these provisions.

No.

27. Does the treaty specify that the contracting parties must not enter into any other alliances that are directed against the alliance in question? (Yes, No) If Yes, describe.

No.

28. Additional coder comments regarding treaty obligations:

Article 4: "The parties shall, if a situation arises that could adversely affect the mutual interests of security or the security interests of one of them, by mutual agreement, initiate an appropriate mechanism for consultations to coordinate positions and coordinate practical measures to resolve this situation." (Not coded as consultation because of the qualification "by mutual agreement")

SECTION III: INSTITUTIONALIZATION OF THE ALLIANCE

29. Does the treaty make reference to the potential for conflict among members of the alliance? (Yes, No) If Yes, describe.

No.

30. Does the treaty discuss mediation/arbitration or other means of settling disputes among the signatories? (Yes, No) If Yes, describe.

No.

31. Does the treaty require official contact among the military forces of the participating states? (Yes, No) If Yes, describe. (Note whether official contact is required only in the event of hostilities or also during peacetime.)
No.
32. Does the treaty include provisions for military aid? (e.g., grants, loans, transfer of technology, training) (Yes, No) If Yes, describe these provisions.
Yes. Article 7 provides for Russia's military aid to Uzbekistan: the supply of military products; modernization of the Uzbek's military equipment; military training of Uzbek's military officers; joint combat training, etc.
33. Does the treaty provide for integrated command of military forces while the alliance is in effect (peacetime as well as wartime)? (Yes, No) If Yes, describe.
No.
34. Does the treaty require subordination of the forces of one or more member states to another in the event of hostilities? (Yes, No) If Yes, describe.
No.
35. Does the treaty establish any organizations? (include provisions for regularly scheduled meetings) (Yes, No) If Yes, describe.
No, but the treaty emphasizes the regular meetings at the highest levels (Article 2)
36. Does the treaty provide for joint military bases, or for one or more states to place troops in the territory of one or more other states? (Yes, No) If Yes, describe.
Yes. Article 8: "In order to ensure security, maintain peace and stability, the Parties, where necessary, grant each other, on the basis of separate agreements, the right to use military facilities on their territory."
37. Does the treaty specify contribution levels (funds, troops, etc.)? (Yes, No) If Yes, describe.
No.
38. Does the treaty contain any provisions regarding coordinated increase of armaments, reduction of armaments, prohibition of weapons, or rules of warfare? (Yes, No) If Yes, describe such provisions.
No.
39. Does the treaty explicitly permit or prohibit the acquisition of territory not currently held by either ally? (Yes, No) If Yes, describe reference.
No.
40. Does the treaty include discussion of the division of gains from any future conflict? (Yes, No) If Yes, describe.
No.
41. Does the treaty include discussion of demobilization/withdrawal following conflict? (Yes, No) If Yes, describe.
No.
42. Are there any other provisions in the treaty that describe the means through which the states will coordinate their military efforts and policies? (Yes, No) If Yes, describe.
Yes. The treaty refers to a regional security mechanism. "Parties shall coordinate efforts to build a strong and effective system of regional security in Central Asia." (Article 3)
43. Additional coder comments regarding references to alliance institutionalization:

SECTION IV: TREATY CONTEXT

44. Does the treaty state that it nullifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are nullified and describe the treaty reference.
No.
45. Does the treaty state that it modifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are modified and describe the treaty reference.
No.
46. Does the treaty state that it includes any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are included and describe the treaty reference.

No.

47. Does the treaty make any references to international organizations? (Yes, No) If Yes, list the international organization and describe the nature of the reference.

Yes – UN, Organization for Security and Cooperation in Europe (OSCE). Both are mentioned as means to ensure regional and global security.

48. Does the treaty make any references to other existing alliances? (Yes, No) If Yes, list the alliance and describe the nature of the reference.

Yes – OSCE- see Q47

49. Are there any companion agreements referenced in the treaty? (Yes, No) If Yes, describe the agreements.

No.

50. Does the treaty include statements regarding non-military cooperation (e.g., economic, cultural, scientific exchange)? (Yes, No) If Yes, describe.

Yes. Terrorism, proliferation of WMDs, illicit drug trafficking, transnational organized crimes (Article 6); trade and economic relations including fuel and energy complex, aeronautics, transport and communication, banking, energy, and the peace use of nuclear energy (Article 9); MFN in participation in investment and privatization projects (Article 10); cooperation for the realization of economic and humanitarian programs (Article 11); cooperation in the humanitarian sphere including exchanges between scientific, cultural, artistic, and social organizations; (Article 12).

51. Does the treaty resolve other conflicts among the parties to the alliance? (Yes, No) If Yes, describe.

No.

52. Does the treaty propose/enforce settlement of a conflict not involving parties to the alliance? (Yes, No) If Yes, describe.

No.

53. Does the treaty propose other agreements among the contracting parties? (Yes, No) If Yes, describe.

Yes. Article 8: “In order to ensure security, maintain peace and stability, the Parties, where necessary, grant each other, on the basis of separate agreements, the right to use military facilities on their territory.”

54. Does the treaty mention unresolved conflicts among the contracting parties? (Yes, No) If Yes, describe.

No.

55. Does the treaty include provisions for economic aid or other enticements (include trade concessions, post war recovery, etc.)? (Yes, No) If Yes, describe these provisions.

No.

56. Does the treaty describe circumstances under which one party may intervene in the internal politics of another party or specifically commit the states to non-intervention? (Yes, No) If Yes, describe.

No.

SECTION V: DOCUMENTATION

57. Additional coder comments on this alliance treaty: This treaty is a translation from the original treaty written in Russian using the Google Chrome Browser.

58. Source of coding information (e.g., treaty, treaty and secondary sources, secondary sources only, etc.): Treaty on Strategic Partnership between the Russian Federation and the Republic of Uzbekistan

59. Treaty citation: Russian MFA (http://www.mid.ru/ru/foreign_policy/international_contracts/2_contract/-/storage-viewer/bilateral/page-1/46090?storageviewer_WAR_storageviewerportlet_advancedSearch=true&storageviewer_WAR_storageviewerportlet_fromPage=search&storageviewer_WAR_storageviewerportlet_dateEnd=17.06.2004&storageviewer_WAR_storageviewerportlet_dateStart=16.06.2004&storageviewer_WAR_storageviewerportlet_andOperator=1 Accessed June 20, 2018)

60. Date of last revision of this coding sheet: June 21, 2018

61. Coder: Jaewook Chung, Ashley Leeds, Jessica Edry