

ALLIANCE TREATY OBLIGATIONS AND PROVISIONS (ATOP)
CODING SHEET

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SECTION I: FORMATION, MEMBERS, AND DURATION

1. ATOP ID: 4276

2. COW Alliance # (if applicable): N/A

3. Signature date(s) (may vary for members in multilateral alliances):
June 24, 1992

4. Members of alliance (use COW country codes):
704 Uzbekistan
705 Kazakhstan

5. Was the alliance signed during war? If so, which war, and which member(s) was at war? (check COW data)
No.

6. Means by which alliance was established (e.g., treaty, executive agreement, diplomatic notes, etc.): (Only select "treaty" if the agreement requires ratification.)
Treaty.

7. Was the treaty public, public but with secret articles, or secret? If secret articles, describe the content of secret articles.
Public.

8. Are there provisions for adding additional members mentioned in the treaty? (Yes, No) If Yes, describe these provisions.
No.

9. Are specific state(s) mentioned as possible future members of the alliance? (Yes, No) If Yes, which state(s)?
No.

10. Are there specific provisions in the treaty concerning the length of time the treaty is to last? (Yes, No) If Yes, describe.
Yes. The treaty is concluded for a period of ten years.

11. Are there conditions under which members may renounce the treaty? If Yes, describe these conditions.
No.

12. Are there specific provisions in the treaty concerning renewal of the treaty? (Yes, No) If Yes, describe these provisions.
Yes. The treaty will be automatically extended for another 10 years unless one of the Contracting Parties declares its intention to denounce the treaty in writing at least 6 months before the expiration of the term of the treaty (Art. 28).

13. Number of times alliance renewed, dates of renewal, and means by which alliance was renewed (Do not include automatic renewals):
Zero.

14. Termination date(s) (may vary for members in multilateral alliances) and source(s) for termination dates(s):
October 31, 1998

15. Describe what caused the alliance to terminate.
Replaced by a new treaty (ATOP 4910)

SECTION II: OBLIGATIONS

16. Type of alliance (defense pact, neutrality pact, nonaggression pact, consultation pact, offense pact): (List all that apply to any member of the alliance.)
Defense Pact
Consultation Pact

17. Type of alliance as coded in COW data (if applicable): N/A

18. Describe the obligations of the alliance partners.

“In the event of an act of aggression against one of the High Contracting Parties, the other High Contracting Party shall provide it with the necessary assistance, including military.” (Art. 18)[Defense]

“The High Contracting Parties undertake...to conduct immediate consultations on all matters of international security, to coordinate their positions and take measures to eliminate the threat for each of the High Contracting Parties.” (Art. 18)
[Consultation]

19. Are any of the obligations contingent upon any of the following: specific adversary, specific location, specific ongoing conflict, number of adversaries, noncompliance with a specific demand, attack, nonprovocation of enemy, or prior agreement among partners? (List all that apply)

No.

20. Describe the nature of the limits to obligations listed in #19.

N/A

21. Are there any additional provisions for assistance in the event of war? (e.g., promise not to participate in economic sanctions against partner, promise not to aid internal or external enemies, etc.) (Yes, No) If Yes, describe.

Yes.

“They prohibit and suppress the creation and activities in their territories of organizations and groups, as well as the activities of individuals against the independence and territorial integrity of both countries.” (Art. 2)

“Each High Contracting Party shall...refrain from unilateral actions that destabilize the economic situation of the other party.” (Art. 7)

“Each of the High Contracting Parties shall not allow its territory to the system of communications and other infrastructure [to be] used by third countries in order to prepare or exercise aggression or other acts of violence against the other Party.” (Art. 18)

22. Are there any additional limits to the alliance obligations or conditions under which treaty obligations do not apply that were not listed in #19? (Yes, No) If Yes, describe.

No.

23. Is a specific target/threat mentioned as the object of the treaty? (Yes, No) (Answering Yes to this question does not necessarily mean that obligations are limited only to this adversary.) If Yes, list the target nation(s) (using COW country codes if possible) and describe the general nature of the reference to the target of the treaty.

No.

24. Are the treaty obligations symmetric? (That is, do all members commit to the same obligations?) (Yes, No) If No, describe.

Yes.

25. Does the treaty prohibit members from settling conflicts independently (no separate peace)? (Yes, No) If Yes, describe.

No.

26. Does the treaty have provisions requiring that the contracting parties consult before making commitments to third parties (excluding no separate peace provisions discussed above)? (Yes, No) If Yes, describe these provisions.

No.

27. Does the treaty specify that the contracting parties must not enter into any other alliances that are directed against the alliance in question? (Yes, No) If Yes, describe.

Yes. “The High Contracting Parties undertake... not to enter into alliances against the other Party.” (Art. 18)

28. Additional coder comments regarding treaty obligations:

“Each of the High Contracting Parties undertakes to assist the other Party in environmental disasters and situations caused by natural hazards that pose a threat to the livelihoods of people.” (Art. 12)

“Each High Contracting Party shall...refrain from unilateral actions that destabilize the economic situation of the other party.” (Art. 7)

“The High Contracting Parties shall recognize and respect the territorial integrity and the inviolability of the existing borders of

the Republic of Kazakhstan and Uzbekistan, refuse assaulting these frontiers.” (Article 2)

SECTION III: INSTITUTIONALIZATION OF THE ALLIANCE

29. Does the treaty make reference to the potential for conflict among members of the alliance? (Yes, No) If Yes, describe.
Yes.

“In the event of any dispute concerning the interpretation and implementation of its articles Parties shall permit them through consultations and negotiations.” (Art. 25)

30. Does the treaty discuss mediation/arbitration or other means of settling disputes among the signatories? (Yes, No) If Yes, describe.
No.

31. Does the treaty require official contact among the military forces of the participating states? (Yes, No) If Yes, describe. (Note whether official contact is required only in the event of hostilities or also during peacetime.)
No.

32. Does the treaty include provisions for military aid? (e.g., grants, loans, transfer of technology, training) (Yes, No) If Yes, describe these provisions.
Yes.

“The High Contracting Parties shall cooperate in the development of the armed forces of the Republic, the development of military industry and its conversion, exchange of technologies, scientific - research and development - engineering developments in the military sphere.” (Art. 19)

33. Does the treaty provide for integrated command of military forces while the alliance is in effect (peacetime as well as wartime)? (Yes, No) If Yes, describe.
No.

34. Does the treaty require subordination of the forces of one or more member states to another in the event of hostilities? (Yes, No) If Yes, describe.
No.

35. Does the treaty establish any organizations? (include provisions for regularly scheduled meetings) (Yes, No) If Yes, describe.
No.

36. Does the treaty provide for joint military bases, or for one or more states to place troops in the territory of one or more other states? (Yes, No) If Yes, describe.
No.

37. Does the treaty specify contribution levels (funds, troops, etc.)? (Yes, No) If Yes, describe.
No.

38. Does the treaty contain any provisions regarding coordinated increase of armaments, reduction of armaments, prohibition of weapons, or rules of warfare? (Yes, No) If Yes, describe such provisions.
No.

39. Does the treaty explicitly permit or prohibit the acquisition of territory not currently held by either ally? (Yes, No) If Yes, describe reference.
No.

40. Does the treaty include discussion of the division of gains from any future conflict? (Yes, No) If Yes, describe.
No.

41. Does the treaty include discussion of demobilization/withdrawal following conflict? (Yes, No) If Yes, describe.
No.

42. Are there any other provisions in the treaty that describe the means through which the states will coordinate their military efforts and policies? (Yes, No) If Yes, describe.
Yes.

“The High Contracting Parties undertake to co-operate in a joint, coordinated defense policy, ensuring cooperation in the protection of the state borders, the preservation of peace and security in the region.” (Art. 18)

43. Additional coder comments regarding references to alliance institutionalization:

SECTION IV: TREATY CONTEXT

44. Does the treaty state that it nullifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are nullified and describe the treaty reference.

No.

45. Does the treaty state that it modifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are modified and describe the treaty reference.

No.

46. Does the treaty state that it includes any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are included and describe the treaty reference.

No.

47. Does the treaty make any references to international organizations? (Yes, No) If Yes, list the international organization and describe the nature of the reference.

Yes – UN and CSCE as a framework for peace and security cooperation (Preamble)

48. Does the treaty make any references to other existing alliances? (Yes, No) If Yes, list the alliance and describe the nature of the reference.

Yes. CSCE-see #47.

49. Are there any companion agreements referenced in the treaty? (Yes, No) If Yes, describe the agreements.

No.

50. Does the treaty include statements regarding non-military cooperation (e.g., economic, cultural, scientific exchange)? (Yes, No) If Yes, describe.

Yes.

Comprehensive cooperation in the fields of politics, economy, science, culture, humanitarian and environmental issues (Art. 3)

Cooperation for the protection of the rights of citizens residing in the territory of the other party (Art. 4)

Cooperation in the fields of culture, literature, art, tourism and sports (Art. 5)

Cooperation in international organizations (Art. 6)

Economic and trade cooperation (Art. 7, 8)

Cooperation with reference to state property issues (Art. 9)

Cooperation in the fields of energy, science and communications (Art. 10)

Cooperation in environmental protection (Art. 11)

Cooperation in the field of transport (Art. 13)

Cooperation in the fields of science and technology (Art. 14)

Cooperation in the field of education (Art. 15)

Cooperation in the field of public health (Art. 16)

Cooperation in the migration process (Art. 20)

Cooperation in the field of law; mutual legal assistance in criminal, civil and family matters (Art. 21)

Cooperation in the fight against organized crime, terrorism and drug trafficking (Art. 22)

Inter-parliamentary and intergovernmental cooperation (Art 23)

51. Does the treaty resolve other conflicts among the parties to the alliance? (Yes, No) If Yes, describe.

No.

52. Does the treaty propose/enforce settlement of a conflict not involving parties to the alliance? (Yes, No) If Yes, describe.

No.

53. Does the treaty propose other agreements among the contracting parties? (Yes, No) If Yes, describe.

Yes.

Agreement on mutual deliveries and services, payments, prices and movement of securities (Art. 7)

Agreement on economic cooperation (Art. 8)

Agreement on cooperation in the field of science and technology (Art. 14)

Agreement on cooperation in the field of education (Art. 15)

Agreement on issues of pensions of citizens living in the territory of the other party (Art. 17)

Agreement on mutual security cooperation (Art. 18)

54. Does the treaty mention unresolved conflicts among the contracting parties? (Yes, No) If Yes, describe.

No.

55. Does the treaty include provisions for economic aid or other enticements (include trade concessions, post war recovery, etc.)? (Yes, No) If Yes, describe these provisions.

Yes. MFN. "For this purpose, the High Contracting Parties shall grant each other [the] most-favored [nation treatment]." (Art. 7)

56. Does the treaty describe circumstances under which one party may intervene in the internal politics of another party or specifically commit the states to non-intervention? (Yes, No) If Yes, describe.

Yes.

"The High Contracting Parties in their mutual relations and in international activities act as sovereign, equal and friendly countries and developing their relations on the principles of ...non-interference in the internal affairs of each other." (Art. 1)

SECTION V: DOCUMENTATION

57. Additional coder comments on this alliance treaty:

The original language of this treaty is Russian and the text is translated by using the Google Chrome browser with additional advice from Aiganyam Valikhanova.

58. Source of coding information (e.g., treaty, treaty and secondary sources, secondary sources only, etc.):

Treaty: Treaty of Friendship, Cooperation and Mutual Assistance between Kazakhstan and Uzbekistan

59. Treaty citation: http://kazakhstan.news-city.info/docs/sistemsp/dok_peradz.htm (Accessed on May 5, 2018)

60. Date of last revision of this coding sheet: May 18, 2018

61. Coder: Jaewook Chung, Jae-eon Lee, Jessica Edry, Ashley Leeds