

ALLIANCE TREATY OBLIGATIONS AND PROVISIONS (ATOP)
CODING SHEET

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SECTION I: FORMATION, MEMBERS, AND DURATION

1. ATOP ID: # 2490.
2. COW Alliance # (if applicable): # 3105.
3. Signature date(s) (may vary for members in multilateral alliances):
October 19, 1939.
4. Members of alliance (use COW country codes):
200 United Kingdom
220 France
640 Turkey.
5. Was the alliance signed during war? If so, which war, and which member(s) was at war? (check COW data)
Yes. The UK and France were involved in COW war #139, WW II.
6. Means by which alliance was established (e.g., treaty, executive agreement, diplomatic notes, etc.): (Only select "treaty" if the agreement requires ratification.)
Treaty.
7. Was the treaty public, public but with secret articles, or secret? If secret articles, describe the content of secret articles.
Public.
8. Are there provisions for adding additional members mentioned in the treaty? (Yes, No) If Yes, describe these provisions.
No.
9. Are specific state(s) mentioned as possible future members of the alliance? (Yes, No) If Yes, which state(s)?
No.
10. Are there specific provisions in the treaty concerning the length of time the treaty is to last? (Yes, No) If Yes, describe.
Yes. The treaty is concluded for a period of fifteen years (Art.9).
11. Are there conditions under which members may renounce the treaty? If Yes, describe these conditions.
No.
12. Are there specific provisions in the treaty concerning renewal of the treaty? (Yes, No) If Yes, describe these provisions.
Yes. If none of the parties has notified the other two of its intention to terminate it six months before the expiration of this period, the treaty will be renewed by tacit consent for a further period of five years, and so on (Art.9).
13. Number of times alliance renewed, dates of renewal, and means by which alliance was renewed (Do not include automatic renewals):
N/A
14. Termination date(s) (may vary for members in multilateral alliances) and source(s) for termination dates(s):
June 22, 1940 – France.
September 2, 1945 – Britain and Turkey.
15. Describe what caused the alliance to terminate.
France signs armistice with Germany, effectively surrendering. The alliance continues for UK and Turkey until the end of WWII.

SECTION II: OBLIGATIONS

16. Type of alliance (defense pact, neutrality pact, nonaggression pact, consultation pact, offense pact): (List all that apply to any member of the alliance.)

Defense Pact
Consultation Pact
Neutrality Pact.
Offense Pact.

17. Type of alliance as coded in COW data (if applicable): Defense Pact.

18. Describe the obligations of the alliance partners.

In the event of Turkey being involved in hostilities with a European power in consequence of aggression by that power against Turkey, France and the UK will cooperate effectively with Turkey and will lend her all aid and assistance in their power (Art.1). In the event of an act of aggression by a European power leading to war in the Mediterranean area in which France and the UK are involved, Turkey will collaborate effectively with France and the UK and will lend them all aid and assistance in her power. In the event of an act of aggression by a European power leading to war in the Mediterranean area in which Turkey is involved, France and the UK will collaborate effectively with Turkey and will lend them all aid and assistance in her power (Art.2). So long as the guarantees given by France and the UK to Greece and Romania by their respective Declarations of the 13th April, 1939, remain in force, Turkey will cooperate effectively with France and the UK and lend them all aid and assistance in her power, in the event of France and the UK being engaged in hostilities in virtue of either of the said guarantees (Art.3).

In the event of France and the UK being involved in hostilities with a European power in consequence of aggression committed by that power against either of those states without the provisions of Articles 2 or 3 being applicable, the parties will immediately consult. It is nevertheless agreed that in such an eventuality Turkey will observe at least a benevolent neutrality towards France and the UK (Art.4).

Without prejudice to the provisions of Article 3, in the event of either a) aggression by a European power against another European state which the government of one of the parties had, with approval of that state, undertaken to assist in maintaining independence or neutrality against such aggression, or b) aggression by a European power which, while directed against another European state, constituted, in the opinion of the government of one of the parties, a menace to its own security. The parties will immediately consult together with a view to such common action as might be considered effective (Art.5).

The obligations undertaken by Turkey in virtue of the agreement cannot compel that country to take action having as its effect, or involving as its consequence, entry into armed conflict with the Soviet Union (Protocol 2).

19. Are any of the obligations contingent upon any of the following: specific adversary, specific location, specific ongoing conflict, number of adversaries, noncompliance with a specific demand, attack, nonprovocation of enemy, or prior agreement among partners? (List all that apply)

Yes- specific location, specific adversary, attack

20. Describe the nature of the limits to obligations listed in #19.

France and the UK will defend Turkey against hostilities by a European power generally but Turkey will only aid France and the UK in the event of hostilities in the Mediterranean. Because this would be active support in conflict not precipitated by attack on the sovereignty or territorial integrity of the UK or France, this is an offensive obligation for Turkey. Turkey will also aid France and the UK when they are defending Romania or Greece (an offensive obligation). The parties will consult when these conditions are not met and France and the UK are involved in hostilities against a European power caused by other power's aggression. Wherever Turkey is not bound by its offensive obligations it shall maintain at least strict neutrality to France and the UK if they are attacked. Turkey need not aid in the case that this might lead to hostilities with the Soviet Union.

21. Are there any additional provisions for assistance in the event of war? (e.g., promise not to participate in economic sanctions against partner, promise not to aid internal or external enemies, etc.) (Yes, No) If Yes, describe.

No.

22. Are there any additional limits to the alliance obligations or conditions under which treaty obligations do not apply that were not listed in #19? (Yes, No) If Yes, describe.

No.

23. Is a specific target/threat mentioned as the object of the treaty? (Yes, No) (Answering Yes to this question does not necessarily mean that obligations are limited only to this adversary.) If Yes, list the target nation(s) (using COW country codes if possible) and describe the general nature of the reference to the target of the treaty.

Yes – threat to the Mediterranean Region.

24. Are the treaty obligations symmetric? (That is, do all members commit to the same obligations?) (Yes, No) If No, describe.

No. Symmetric: France and the UK and Turkey will aid each other in the case of hostilities in the Mediterranean. France and the UK will also defend Turkey against hostilities by a European power generally and Turkey will aid France and the UK when they are defending Romania or Greece. Turkey also need not aid in case this might lead to hostilities with the Soviet Union. Otherwise Turkey promises neutrality.

25. Does the treaty prohibit members from settling conflicts independently (no separate peace)? (Yes, No) If Yes, describe.
Yes. If the parties are engaged in hostilities in consequence of the operation of the present treaty, they will not conclude an armistice or peace except by common agreement (Art.8).
26. Does the treaty have provisions requiring that the contracting parties consult before making commitments to third parties (excluding no separate peace provisions discussed above)? (Yes, No) If Yes, describe these provisions.
No.
27. Does the treaty specify that the contracting parties must not enter into any other alliances that are directed against the alliance in question? (Yes, No) If Yes, describe.
No.
28. Additional coder comments regarding treaty obligations:
The provisions of the treaty are equally binding as bilateral obligations between Turkey and each of the two other contracting parties (Article 7).

SECTION III: INSTITUTIONALIZATION OF THE ALLIANCE

29. Does the treaty make reference to the potential for conflict among members of the alliance? (Yes, No) If Yes, describe.
No.
30. Does the treaty discuss mediation/arbitration or other means of settling disputes among the signatories? (Yes, No) If Yes, describe.
No.
31. Does the treaty require official contact among the military forces of the participating states? (Yes, No) If Yes, describe. (Note whether official contact is required only in the event of hostilities or also during peacetime.)
No.
32. Does the treaty include provisions for military aid? (e.g., grants, loans, transfer of technology, training) (Yes, No) If Yes, describe these provisions.
No.
33. Does the treaty provide for integrated command of military forces while the alliance is in effect (peacetime as well as wartime)? (Yes, No) If Yes, describe.
No.
34. Does the treaty require subordination of the forces of one or more member states to another in the event of hostilities? (Yes, No) If Yes, describe.
No.
35. Does the treaty establish any organizations? (include provisions for regularly scheduled meetings) (Yes, No) If Yes, describe.
No.
36. Does the treaty provide for joint military bases, or for one or more states to place troops in the territory of one or more other states? (Yes, No) If Yes, describe.
No.
37. Does the treaty specify contribution levels (funds, troops, etc.)? (Yes, No) If Yes, describe.
No.
38. Does the treaty contain any provisions regarding coordinated increase of armaments, reduction of armaments, prohibition of weapons, or rules of warfare? (Yes, No) If Yes, describe such provisions.
No.
39. Does the treaty explicitly permit or prohibit the acquisition of territory not currently held by either ally? (Yes, No) If Yes, describe reference.
No.
40. Does the treaty include discussion of the division of gains from any future conflict? (Yes, No) If Yes, describe.
No.

41. Does the treaty include discussion of demobilization/withdrawal following conflict? (Yes, No) If Yes, describe.
No.

42. Are there any other provisions in the treaty that describe the means through which the states will coordinate their military efforts and policies? (Yes, No) If Yes, describe.
No.

43. Additional coder comments regarding references to alliance institutionalization:

SECTION IV: TREATY CONTEXT

44. Does the treaty state that it nullifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are nullified and describe the treaty reference.
No.

45. Does the treaty state that it modifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are modified and describe the treaty reference.
No.

46. Does the treaty state that it includes any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are included and describe the treaty reference.

Yes. So long as the guarantees given by France and the UK to Greece and Romania by their respective Declarations of the 13th April, 1939, remain in force, Turkey will cooperate effectively with France and the UK and lend them all aid and assistance in her power, in the event of France and the UK being engaged in hostilities in virtue of either of the said guarantees (Art.3).

47. Does the treaty make any references to international organizations? (Yes, No) If Yes, list the international organization and describe the nature of the reference.
No.

48. Does the treaty make any references to other existing alliances? (Yes, No) If Yes, list the alliance and describe the nature of the reference.
No.

49. Are there any companion agreements referenced in the treaty? (Yes, No) If Yes, describe the agreements.
No.

50. Does the treaty include statements regarding non-military cooperation (e.g., economic, cultural, scientific exchange)? (Yes, No) If Yes, describe.
No.

51. Does the treaty resolve other conflicts among the parties to the alliance? (Yes, No) If Yes, describe.
No.

52. Does the treaty propose/enforce settlement of a conflict not involving parties to the alliance? (Yes, No) If Yes, describe.
No.

53. Does the treaty propose other agreements among the contracting parties? (Yes, No) If Yes, describe.
No.

54. Does the treaty mention unresolved conflicts among the contracting parties? (Yes, No) If Yes, describe.
No.

55. Does the treaty include provisions for economic aid or other enticements (include trade concessions, post war recovery, etc.)? (Yes, No) If Yes, describe these provisions.
No.

56. Does the treaty describe circumstances under which one party may intervene in the internal politics of another party or specifically commit the states to non-intervention? (Yes, No) If Yes, describe.
No.

SECTION V: DOCUMENTATION

57. Additional coder comments on this alliance treaty:

58. Source of coding information (e.g., treaty, treaty and secondary sources, secondary sources only, etc.): Treaty.

59. Treaty citation: BFSP, vol.151, p.213.

60. Date of last revision of this coding sheet: June 25, 2018

61. Coder: Andrew Long, Michaela Mattes, Ashley Leeds.

Version 4.0: Q20 revised by Jessica Edry on June 25, 2018