

ALLIANCE TREATY OBLIGATIONS AND PROVISIONS (ATOP)  
CODING SHEET

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SECTION I: FORMATION, MEMBERS, AND DURATION

1. ATOP ID: 4175 Phase 1

2. COW Alliance # (if applicable): N/A

3. Signature date(s) (may vary for members in multilateral alliances):

February 7, 1992 (The Maastricht Treaty)

Austria (305) joins January 1, 1995

Cyprus (352) joins May 1, 2004

Czech Republic (316) joins May 1, 2004

Estonia (366) joins May 1, 2004

Finland (375) joins January 1, 1995

Hungary (310) joins May 1, 2004

Latvia (367) joins May 1, 2004

Lithuania (368) joins May 1, 2004

Malta (338) joins May 1, 2004

Poland (290) joins May 1, 2004

Slovakia (317) joins May 1, 2004

Slovenia (349) joins May 1, 2004

Sweden (380) joins January 1, 1995

4. Members of alliance (use COW country codes):

Austria (305) joins January 1, 1995

Belgium (211)

Cyprus (352) joins May 1, 2004

Czech Republic (316) joins May 1, 2004

Denmark (390)

Estonia (366) joins May 1, 2004

Finland (375) joins January 1, 1995

Germany (255)

Greece (350)

Hungary (310) joins May 1, 2004

Spain (230)

France (220)

Ireland (205)

Italy (325)

Latvia (367) joins May 1, 2004

Lithuania (368) joins May 1, 2004

Luxembourg (212)

Malta (338) joins May 1, 2004

Netherlands (210)

Poland (290) joins May 1, 2004

Portugal (235)

Slovakia (317) joins May 1, 2004

Slovenia (349) joins May 1, 2004

Sweden (380) joins January 1, 1995

United Kingdom (200)

5. Was the alliance signed during war? If so, which war, and which member(s) was at war? (check COW data)

No

6. Means by which alliance was established (e.g., treaty, executive agreement, diplomatic notes, etc.): (Only select "treaty" if the agreement requires ratification.)

## Treaty (Article R)

7. Was the treaty public, public but with secret articles, or secret? If secret articles, describe the content of secret articles.

Public

8. Are there provisions for adding additional members mentioned in the treaty? (Yes, No) If Yes, describe these provisions.

Article O states that any European State may apply to become a Member of the Union. And explains the procedure.

9. Are specific state(s) mentioned as possible future members of the alliance? (Yes, No) If Yes, which state(s)?

No – but Article O allows European states to apply.

10. Are there specific provisions in the treaty concerning the length of time the treaty is to last? (Yes, No) If Yes, describe.

The treaty is concluded for an unlimited period (Article Q)

11. Are there conditions under which members may renounce the treaty? If Yes, describe these conditions.

No

12. Are there specific provisions in the treaty concerning renewal of the treaty? (Yes, No) If Yes, describe these provisions.

N/A

13. Number of times alliance renewed, dates of renewal, and means by which alliance was renewed (Do not include automatic renewals):

N/A

14. Termination date(s) (may vary for members in multilateral alliances) and source(s) for termination dates(s):

Phase 1 ends November 13, 2007

15. Describe what caused the alliance to terminate.

Treaty of Lisbon signed – new phase of alliance created.

## SECTION II: OBLIGATIONS

16. Type of alliance (defense pact, neutrality pact, nonaggression pact, consultation pact, offense pact): (List all that apply to any member of the alliance.)

Consultation Pact

17. Type of alliance as coded in COW data (if applicable): N/A

18. Describe the obligations of the alliance partners.

Article B: “The Union shall set itself the following objectives:

-to assert its identity on the international scene, in particular through the implementation of a common foreign and security policy including the eventual framing of a common defense policy, which might in time lead to a common defense.”

Article J discusses provisions about a common foreign and security policy.

J.2.1 Member states shall inform and consult one another within the Council on any matter of foreign and security policy of general interest in order to ensure that their combined influence is exerted as effectively as possible by means of concerted and convergent action

J.2.2. Whenever it deems necessary, the Council shall define a common position. Member states shall ensure that their national policies confirm to the common positions.

J.3 explains the procedure.

J.4. 1. The common foreign and security policy shall include all questions related to the security of the Union, including the eventual framing of a common defense policy, which might in time lead to a common defense.

19. Are any of the obligations contingent upon any of the following: specific adversary, specific location, specific ongoing conflict, number of adversaries, noncompliance with a specific demand, attack, nonprovocation of enemy, or prior agreement among partners? (List all that apply)

No

20. Describe the nature of the limits to obligations listed in #19.

N/A

21. Are there any additional provisions for assistance in the event of war? (e.g., promise not to participate in economic sanctions against partner, promise not to aid internal or external enemies, etc.) (Yes, No) If Yes, describe.

No

22. Are there any additional limits to the alliance obligations or conditions under which treaty obligations do not apply that were not listed in #19? (Yes, No) If Yes, describe.

No

23. Is a specific target/threat mentioned as the object of the treaty? (Yes, No) (Answering Yes to this question does not necessarily mean that obligations are limited only to this adversary.) If Yes, list the target nation(s) (using COW country codes if possible) and describe

the general nature of the reference to the target of the treaty.

No

24. Are the treaty obligations symmetric? (That is, do all members commit to the same obligations?) (Yes, No) If No, describe.

Yes

25. Does the treaty prohibit members from settling conflicts independently (no separate peace)? (Yes, No) If Yes, describe.

No

26. Does the treaty have provisions requiring that the contracting parties consult before making commitments to third parties (excluding no separate peace provisions discussed above)? (Yes, No) If Yes, describe these provisions.

No

27. Does the treaty specify that the contracting parties must not enter into any other alliances that are directed against the alliance in question? (Yes, No) If Yes, describe.

No

28. Additional coder comments regarding treaty obligations:

### SECTION III: INSTITUTIONALIZATION OF THE ALLIANCE

29. Does the treaty make reference to the potential for conflict among members of the alliance? (Yes, No) If Yes, describe.

No

30. Does the treaty discuss mediation/arbitration or other means of settling disputes among the signatories? (Yes, No) If Yes, describe.

No

31. Does the treaty require official contact among the military forces of the participating states? (Yes, No) If Yes, describe. (Note whether official contact is required only in the event of hostilities or also during peacetime.)

No

32. Does the treaty include provisions for military aid? (e.g., grants, loans, transfer of technology, training) (Yes, No) If Yes, describe these provisions.

No

33. Does the treaty provide for integrated command of military forces while the alliance is in effect (peacetime as well as wartime)? (Yes, No) If Yes, describe.

No

34. Does the treaty require subordination of the forces of one or more member states to another in the event of hostilities? (Yes, No) If Yes, describe.

No

35. Does the treaty establish any organizations? (include provisions for regularly scheduled meetings) (Yes, No) If Yes, describe.

Yes. This treaty establishes the European Union from the European Community.

The Union shall be served by a single institutional framework which shall ensure the consistency and continuity of the activities carried out in order to attain its objectives while respecting and building upon the *acquis communautaire* (Article C, paragraph 1)

See articles D and F for brief description of administrative structure.

36. Does the treaty provide for joint military bases, or for one or more states to place troops in the territory of one or more other states? (Yes, No) If Yes, describe.

No

37. Does the treaty specify contribution levels (funds, troops, etc.)? (Yes, No) If Yes, describe.

No but European Union has specific rules regarding contributions to Union.

38. Does the treaty contain any provisions regarding coordinated increase of armaments, reduction of armaments, prohibition of weapons, or rules of warfare? (Yes, No) If Yes, describe such provisions.

No

39. Does the treaty explicitly permit or prohibit the acquisition of territory not currently held by either ally? (Yes, No) If Yes, describe reference.

No

40. Does the treaty include discussion of the division of gains from any future conflict? (Yes, No) If Yes, describe.

No

41. Does the treaty include discussion of demobilization/withdrawal following conflict? (Yes, No) If Yes, describe.

No

42. Are there any other provisions in the treaty that describe the means through which the states will coordinate their military efforts and policies? (Yes, No) If Yes, describe.

Article J contains many more details regarding procedure.

43. Additional coder comments regarding references to alliance institutionalization:

### SECTION IV: TREATY CONTEXT

44. Does the treaty state that it nullifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the

treaties/agreements that are nullified and describe the treaty reference.

Article P states that:

1. Articles 2 and 7 and 10 and 19 of the Treaty establishing a Single Council and a Single Commission of the European communities, signed on 8 April 1965, are repealed.

2. Article 2, Article 3(2) and Title III of the Single European Act signed on 17 February 1986 and 28 February 1986 are hereby repealed.

45. Does the treaty state that it modifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are modified and describe the treaty reference.

No

46. Does the treaty state that it includes any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are included and describe the treaty reference.

Yes – Article L explains that parts of previous EC treaties are relevant.

47. Does the treaty make any references to international organizations? (Yes, No) If Yes, list the international organization and describe the nature of the reference.

No

48. Does the treaty make any references to other existing alliances? (Yes, No) If Yes, list the alliance and describe the nature of the reference.

NATO (Article J4) – compatibility

Western European Union : J.4.2. The Union requests the Western European Union (WEU), which is an integral part of the development of the Union, to elaborate and implement decisions and actions of the Union which have defense implications. The Council shall, in agreement with the institutions of the WEU, adopt necessary practical arrangements.

49. Are there any companion agreements referenced in the treaty? (Yes, No) If Yes, describe the agreements.

No

50. Does the treaty include statements regarding non-military cooperation (e.g., economic, cultural, scientific exchange)? (Yes, No) If Yes, describe.

Article B states members' desire to promote economic and social progress through the establishment of economic and monetary union.

Article K has provisions governing cooperation in the fields of justice and home affairs.

51. Does the treaty resolve other conflicts among the parties to the alliance? (Yes, No) If Yes, describe.

No

52. Does the treaty propose/enforce settlement of a conflict not involving parties to the alliance? (Yes, No) If Yes, describe.

No

53. Does the treaty propose other agreements among the contracting parties? (Yes, No) If Yes, describe.

No

54. Does the treaty mention unresolved conflicts among the contracting parties? (Yes, No) If Yes, describe.

No

55. Does the treaty include provisions for economic aid or other enticements (include trade concessions, post war recovery, etc.)? (Yes, No) If Yes, describe these provisions.

No but EU also creates monetary union, etc.

56. Does the treaty describe circumstances under which one party may intervene in the internal politics of another party or specifically commit the states to non-intervention? (Yes, No) If Yes, describe.

No

## SECTION V: DOCUMENTATION

57. Additional coder comments on this alliance treaty:

Treaty of Amsterdam (October 2, 1997) and Treaty of Nice (February 26, 2001) include similar provisions about common defense and security of the Union.

58. Source of coding information (e.g., treaty, treaty and secondary sources, secondary sources only, etc.):

Treaty

59. Treaty citation: Text of the Maastricht Treaty can be found at [www.eurotreaties.com](http://www.eurotreaties.com)

60. Date of last revision of this coding sheet: July 27, 2004

61. Coder: Burcu Savun, Ashley Leeds

