

ALLIANCE TREATY OBLIGATIONS AND PROVISIONS (ATOP)  
CODING SHEET

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SECTION I: FORMATION, MEMBERS, AND DURATION

1. ATOP ID: 3480

2. COW Alliance # (if applicable): NA

3. Signature date(s) (may vary for members in multilateral alliances): 26 September 1963. Note: This is an extension of the 26 Sept. 1953 defense agreement. Only in 1963 does the pact become a codable alliance by ATOP rules.

4. Members of alliance (use COW country codes): Spain (230)+ US (2)

5. Was the alliance signed during war? If so, which war, and which member(s) was at war? (check COW data)  
NO.

6. Means by which alliance was established (e.g., treaty, executive agreement, diplomatic notes, etc.): (Only select "treaty" if the agreement requires ratification.) Executive Agreement

7. Was the treaty public, public but with secret articles, or secret? If secret articles, describe the content of secret articles.  
PUBLIC

8. Are there provisions for adding additional members mentioned in the treaty? (Yes, No) If Yes, describe these provisions.  
NO

9. Are specific state(s) mentioned as possible future members of the alliance? (Yes, No) If Yes, which state(s)?  
NO

10. Are there specific provisions in the treaty concerning the length of time the treaty is to last? (Yes, No) If Yes, describe.  
YES. Article V- "The present Agreement will become effective upon signature and will be in force for a period of ten years, automatically extended for two successive of five years unless the termination procedure hereafter outlined is followed." Because this quote is from the original treaty, this agreement lasts 5 years with one 5 year extension.

11. Are there conditions under which members may renounce the treaty? If Yes, describe these conditions.  
NO

12. Are there specific provisions in the treaty concerning renewal of the treaty? (Yes, No) If Yes, describe these provisions.  
YES. Article VII- "At the termination of the first ten years or of either of the two extensions of five years, either of the two Governments may inform the other of its intention to cancel the Agreement, thus initiating a consultation period of six months. In the event concurrence is not reached on extension, this Agreement will terminate one year after the conclusion of the period of consultation."

13. Number of times alliance renewed, dates of renewal, and means by which alliance was renewed (Do not include automatic renewals): The alliance was renewed two times. The first renewal was through a joint declaration issued by the two parties on 26 September 1963. The second renewal was through exchange of notes on 20 June 1969.

14. Termination date(s) (may vary for members in multilateral alliances) and source(s) for termination dates(s): The alliance was terminated on 8/ 6/ 1970 and was replaced by a new alliance.

15. Describe what caused the alliance to terminate.  
New Alliance (ATOP ID# 3635) - UNTS 10852

SECTION II: OBLIGATIONS

16. Type of alliance (defense pact, neutrality pact, nonaggression pact, consultation pact, offense pact): (List all that apply to any member of the alliance.)  
Defense Pact

17. Type of alliance as coded in COW data (if applicable): NA

18. Describe the obligations of the alliance partners.

“A threat to either country, and to the joint facilities that each provides for the common defense, would be a matter of common concern to both countries, and each country would take such action as it may consider appropriate within the framework of its constitutional process.”

19. Are any of the obligations contingent upon any of the following: specific adversary, specific location, specific ongoing conflict, number of adversaries, noncompliance with a specific demand, attack, nonprovocation of enemy, or prior agreement among partners? (List all that apply) NO

20. Describe the nature of the limits to obligations listed in #19.

21. Are there any additional provisions for assistance in the event of war? (e.g., promise not to participate in economic sanctions against partner, promise not to aid internal or external enemies, etc.) (Yes, No) If Yes, describe. NO  
Yes – Spain provides facilities and use of territory to US

22. Are there any additional limits to the alliance obligations or conditions under which treaty obligations do not apply that were not listed in #19? (Yes, No) If Yes, describe. NO

23. Is a specific target/threat mentioned as the object of the treaty? (Yes, No) (Answering Yes to this question does not necessarily mean that obligations are limited only to this adversary.) If Yes, list the target nation(s) (using COW country codes if possible) and describe the general nature of the reference to the target of the treaty. NO.

24. Are the treaty obligations symmetric? (That is, do all members commit to the same obligations?) (Yes, No) If No, describe.  
NO- Article I of 1953 agreement specifies that Spain will provide facilities to US and US will provide military aid to Spain

25. Does the treaty prohibit members from settling conflicts independently (no separate peace)? (Yes, No) If Yes, describe.  
NO

26. Does the treaty have provisions requiring that the contracting parties consult before making commitments to third parties (excluding no separate peace provisions discussed above)? (Yes, No) If Yes, describe these provisions. NO

27. Does the treaty specify that the contracting parties must not enter into any other alliances that are directed against the alliance in question? (Yes, No) If Yes, describe. NO

28. Additional coder comments regarding treaty obligations:

### SECTION III: INSTITUTIONALIZATION OF THE ALLIANCE

29. Does the treaty make reference to the potential for conflict among members of the alliance? (Yes, No) If Yes, describe.  
NO

30. Does the treaty discuss mediation/arbitration or other means of settling disputes among the signatories? (Yes, No) If Yes, describe.  
NO

31. Does the treaty require official contact among the military forces of the participating states? (Yes, No) If Yes, describe. (Note whether official contact is required only in the event of hostilities or also during peacetime.)

YES. Related notes to 1963 extension establish regular military consultations under a US-Spanish Consultative Committee on Defense Matters

32. Does the treaty include provisions for military aid? (e.g., grants, loans, transfer of technology, training) (Yes, No) If Yes, describe these provisions.

YES. US undertakes to provide assistance to Spain in developing the areas and facilities for military use.

1953 Agreement: Article I- “..3. In granting assistance to Spain within the policy outlined above, as the preparation of the agreed areas and facilities progresses, the Government of the United States will satisfy, subject to the provisions of paragraph one, the minimum requirements for equipment necessary for the defense of Spanish territory, to the end that should a moment requiring the wartime utilization of the areas and facilities arrive, from this moment, the requirements are covered to the extent as possible as regards the air defense of the territory and the equipment of the naval units; and that of the armament and equipment of the Army units be as far advanced as possible.”

See also Article I, 1.

1963 related notes also discuss aid.

Joint Declaration of 1969- The Export-Import Bank of US offers up to 35 million dollars of new credit and guarantees to the Government of Spain for the purchase in the United States by the Spanish Government of military equipment.

33. Does the treaty provide for integrated command of military forces while the alliance is in effect (peacetime as well as wartime)? (Yes, No) If Yes, describe. NO

34. Does the treaty require subordination of the forces of one or more member states to another in the event of hostilities? (Yes, No) If Yes, describe. NO

35. Does the treaty establish any organizations? (include provisions for regularly scheduled meetings) (Yes, No) If Yes, describe. YES. Joint Declaration of 1963: "1. There is hereby established a joint United States- Spanish Consultative Committee on Defense Matters with headquarters in Madrid.

4. The Committee shall in principle meet at monthly intervals, to consider military matters of mutual concern, so as to develop and improve through continuing military cooperation the security and effectiveness of joint utilized facilities in Spain.

6. The Committee by agreement between the Co-chairmen shall decide on matters within its competence as defined in paragraph 4 above and, when necessary will recommend to the respective Governments how best to resolve in the mutual interest of the two countries such problems as may arise in connection with the operation of the facilities in Spain provided under the terms of agreements between the two Governments, matters arising from the operation of the Mutual Defense Assistance Agreement, and such other matters as either Government may direct the Committee to consider."

36. Does the treaty provide for joint military bases, or for one or more states to place troops in the territory of one or more other states? (Yes, No) If Yes, describe.

YES. According to the agreement, Spain allows US to station troops and store equipment and material in the areas and facilities under Spanish jurisdiction.

Article I- "2- In consequence of the above stated premises and for the same agreed purposes, the Government of Spain authorizes the Government of the United States, subject to terms and conditions to be agreed, to develop, maintain, and utilize for military purposes, jointly with the Government of Spain, such areas and facilities in territory under Spanish jurisdiction as may be agreed upon by the competent authorities of both Governments as necessary for the purposes of this agreement."

Article II- "... The Government of the United States is authorized to improve and fit agreed areas and facilities for military use, as well as to undertake necessary construction in this connection in cooperation with the Government of Spain; to station and house therein the necessary military and civilian personnel and to provide for their security, discipline, and welfare; to store and maintain custody of provisions, supplies, equipment, and material; and to maintain and operate the facilities and equipment in support of such areas and personnel."

37. Does the treaty specify contribution levels (funds, troops, etc.)? (Yes, No) If Yes, describe. NO.

38. Does the treaty contain any provisions regarding coordinated increase of armaments, reduction of armaments, prohibition of weapons, or rules of warfare? (Yes, No) If Yes, describe such provisions. NO

39. Does the treaty explicitly permit or prohibit the acquisition of territory not currently held by either ally? (Yes, No) If Yes, describe reference. NO

40. Does the treaty include discussion of the division of gains from any future conflict? (Yes, No) If Yes, describe. NO

41. Does the treaty include discussion of demobilization/withdrawal following conflict? (Yes, No) If Yes, describe. NO

42. Are there any other provisions in the treaty that describe the means through which the states will coordinate their military efforts and policies? (Yes, No) If Yes, describe.

NO.

43. Additional coder comments regarding references to alliance institutionalization:

#### SECTION IV: TREATY CONTEXT

44. Does the treaty state that it nullifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are nullified and describe the treaty reference. NO

45. Does the treaty state that it modifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are modified and describe the treaty reference.

YES. 1963 extension modifies 1953 agreement.

46. Does the treaty state that it includes any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are included and describe the treaty reference.

1963 extension includes 1953 agreement.

47. Does the treaty make any references to international organizations? (Yes, No) If Yes, list the international organization and describe the nature of the reference. NO

48. Does the treaty make any references to other existing alliances? (Yes, No) If Yes, list the alliance and describe the nature of the reference. NO.
49. Are there any companion agreements referenced in the treaty? (Yes, No) If Yes, describe the agreements.  
NO.
50. Does the treaty include statements regarding non-military cooperation (e.g., economic, cultural, scientific exchange)? (Yes, No) If Yes, describe.  
YES. 1963, 3<sup>rd</sup> paragraph- encourages economic growth and expansion of trade.
51. Does the treaty resolve other conflicts among the parties to the alliance? (Yes, No) If Yes, describe. NO
52. Does the treaty propose/enforce settlement of a conflict not involving parties to the alliance? (Yes, No) If Yes, describe. NO
53. Does the treaty propose other agreements among the contracting parties? (Yes, No) If Yes, describe. NO
54. Does the treaty mention unresolved conflicts among the contracting parties? (Yes, No) If Yes, describe. NO
55. Does the treaty include provisions for economic aid or other enticements (include trade concessions, post war recovery, etc.)? (Yes, No) If Yes, describe these provisions. NO.
56. Does the treaty describe circumstances under which one party may intervene in the internal politics of another party or specifically commit the states to non-intervention? (Yes, No) If Yes, describe. NO

#### SECTION V: DOCUMENTATION

57. Additional coder comments on this alliance treaty:  
Sept. 26, 1953- Spain and US signed a Mutual Defense Assistance Agreement, also not codable.
58. Source of coding information (e.g., treaty, treaty and secondary sources, secondary sources only, etc.):
59. Treaty citation: UN, Treaty Series, no. 2801
60. Date of last revision of this coding sheet: July 21, 2004
61. Coder: Sezi Anac and Ashley Leeds

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