

ALLIANCE TREATY OBLIGATIONS AND PROVISIONS (ATOP)
CODING SHEET

Brett Ashley Leeds with Jeffrey M. Ritter, Sara McLaughlin Mitchell, and Andrew G. Long
Department of Political Science, Rice University, P.O. Box 1892-MS 24, Houston, TX 77251-1892
Version 8.0; August, 2000

SECTION I: FORMATION, MEMBERS, AND DURATION

1. ATOP ID: # 2030.
2. COW Alliance # (if applicable): # 3020.
3. Signature date(s) (may vary for members in multilateral alliances):
September 6, 1915.
4. Members of alliance (use COW country codes):
300 Austria-Hungary
255 Germany
355 Bulgaria.
5. Was the alliance signed during war? If so, which war, and which member(s) was at war? (check COW data)
Yes. Austria-Hungary and Germany were involved in COW war # 106, WW I.
6. Means by which alliance was established (e.g., treaty, executive agreement, diplomatic notes, etc.): (Only select "treaty" if the agreement requires ratification.)
Executive Agreement.
7. Was the treaty public, public but with secret articles, or secret? If secret articles, describe the content of secret articles.
Public.
8. Are there provisions for adding additional members mentioned in the treaty? (Yes, No) If Yes, describe these provisions.
No.
9. Are specific state(s) mentioned as possible future members of the alliance? (Yes, No) If Yes, which state(s)?
No.
10. Are there specific provisions in the treaty concerning the length of time the treaty is to last? (Yes, No) If Yes, describe.
No.
11. Are there conditions under which members may renounce the treaty? If Yes, describe these conditions.
No.
12. Are there specific provisions in the treaty concerning renewal of the treaty? (Yes, No) If Yes, describe these provisions.
No.
13. Number of times alliance renewed, dates of renewal, and means by which alliance was renewed (Do not include automatic renewals):
14. Termination date(s) (may vary for members in multilateral alliances) and source(s) for termination dates(s):
September 30, 1918
15. Describe what caused the alliance to terminate.
Bulgaria signs armistice ending WWI.

SECTION II: OBLIGATIONS

16. Type of alliance (defense pact, neutrality pact, nonaggression pact, consultation pact, offense pact): (List all that apply to any member of the alliance.)
Offense Pact
Defense Pact
17. Type of alliance as coded in COW data (if applicable): Defense Pact

18. Describe the obligations of the alliance partners.

The three countries commit themselves to act in concert against Serbia. Germany and Austria-Hungary will march towards Serbian borders within thirty, and Bulgaria within 35 days of the conclusion of this treaty; Germany and Austria-Hungary will send at least 6 divisions of infantry and Bulgaria will send 4 divisions (Art.I).

From the day of the conclusion of this treaty all contracting parties must consider any enemy that attacks one of them as the enemy of all and they must behave correspondingly. Bulgaria commits itself to neutrality vis-à-vis Romania and Greece until the end of the operations against Serbia and if those countries on their parts do not mobilize, remain neutral and do not occupy militarily Serbian territory (Art.VIII).

(...) Bulgaria commits itself to cross the Serbian frontier with at least one division and to occupy Macedonia, latest 35 days after the conclusion of this convention (Art.IX).

19. Are any of the obligations contingent upon any of the following: specific adversary, specific location, specific ongoing conflict, number of adversaries, noncompliance with a specific demand, attack, nonprovocation of enemy, or prior agreement among partners? (List all that apply)

Yes- specific adversary, specific ongoing conflict

20. Describe the nature of the limits to obligations listed in #19.

The offense obligations are contingent on actions against a specific adversary, Serbia, in the current conflict.

21. Are there any additional provisions for assistance in the event of war? (e.g., promise not to participate in economic sanctions against partner, promise not to aid internal or external enemies, etc.) (Yes, No) If Yes, describe.

The supreme German command commits itself, if the Bulgarian roads are open and in the case Bulgaria desires it, to send a contingent of its troops, i.e. at least a mixed brigade of infantry, to Bourgas and Varna and to send as many German submarines as possible there. Bulgaria is responsible to lodge these troops; the supply is taken care of by the supreme German command (Art.IV).

The supreme German command commits itself, in the case Bulgaria desires it, to use its influence towards the Turkish command so that it sends troops for the protection of Dedeagatch against any attempt of disembarkment; in the case of a common action of Bulgarian and Turkish troops, these Turkish troops will be put under the orders of the Bulgarian command. There is no doubt about the consent of Turkey (Art.V).

22. Are there any additional limits to the alliance obligations or conditions under which treaty obligations do not apply that were not listed in #19? (Yes, No) If Yes, describe.

No.

23. Is a specific target/threat mentioned as the object of the treaty? (Yes, No) (Answering Yes to this question does not necessarily mean that obligations are limited only to this adversary.) If Yes, list the target nation(s) (using COW country codes if possible) and describe the general nature of the reference to the target of the treaty.

Yes. Serbia (345).

24. Are the treaty obligations symmetric? (That is, do all members commit to the same obligations?) (Yes, No) If No, describe.

Yes.

25. Does the treaty prohibit members from settling conflicts independently (no separate peace)? (Yes, No) If Yes, describe.

No.

26. Does the treaty have provisions requiring that the contracting parties consult before making commitments to third parties (excluding no separate peace provisions discussed above)? (Yes, No) If Yes, describe these provisions.

No.

27. Does the treaty specify that the contracting parties must not enter into any other alliances that are directed against the alliance in question? (Yes, No) If Yes, describe.

No.

28. Additional coder comments regarding treaty obligations:

A number of the articles refer to Turkey and its role in the conflict (Art.V,X,XI).

Bulgaria commits itself to neutrality vis-à-vis Romania and Greece until the end of the operations against Serbia and if those countries on their parts do not mobilize, remain neutral and do not occupy militarily Serbian territory (Art.VIII).

SECTION III: INSTITUTIONALIZATION OF THE ALLIANCE

29. Does the treaty make reference to the potential for conflict among members of the alliance? (Yes, No) If Yes, describe.

Yes. Germany declares itself ready to deliver to Bulgaria all kinds of war materials, to the extent that its own needs permit it.

The chief of staff of the German army will decide in the last instance in the case that there shall be differences between the opinion (Art.VII).

30. Does the treaty discuss mediation/arbitration or other means of settling disputes among the signatories? (Yes, No) If Yes, describe.
No.
31. Does the treaty require official contact among the military forces of the participating states? (Yes, No) If Yes, describe. (Note whether official contact is required only in the event of hostilities or also during peacetime.)
Yes. There will be a unified supreme command (Art.II,III). The staff of the general field Marshall von Mackensen (supreme commander of troops according to Art.III) will consist of at least one higher-ranking Austria-Hungarian officer and one Bulgarian officer, that are not simply press officers but that fulfill the functions of collaborators in the regular services (Art.III).
32. Does the treaty include provisions for military aid? (e.g., grants, loans, transfer of technology, training) (Yes, No) If Yes, describe these provisions.
Yes. The supreme German command has received assurance from the German government that it will accord 200,000,000 francs as soon as possible to fight the war. The financial authorities of the countries will come to an agreement concerning the details (Art.VI).
Germany declares itself ready to deliver to Bulgaria all kinds of war materials, to the extent that its own needs permit it. The chief of staff of the German army will decide in the last instance in the case that there shall be differences between the opinion (Art.VII).
33. Does the treaty provide for integrated command of military forces while the alliance is in effect (peacetime as well as wartime)? (Yes, No) If Yes, describe.
No
34. Does the treaty require subordination of the forces of one or more member states to another in the event of hostilities? (Yes, No) If Yes, describe.
Yes. The general field Marshall Mackensen takes over the supreme command of all forces mentioned in Art.I of the treaty and has as his goal: to battle the Serbian army at the point it comes into contact with it and to secure as soon as possible the land connection between Hungary and Bulgaria (Art.II). The orders of the general field Marshall Mackensen are unconditionally binding for all the troops under his orders (...) (Art.III).
35. Does the treaty establish any organizations? (include provisions for regularly scheduled meetings) (Yes, No) If Yes, describe.
No.
36. Does the treaty provide for joint military bases, or for one or more states to place troops in the territory of one or more other states? (Yes, No) If Yes, describe.
No.
37. Does the treaty specify contribution levels (funds, troops, etc.)? (Yes, No) If Yes, describe.
Yes. Germany and Austria-Hungary will march towards Serbian borders within thirty, and Bulgaria within 35 days of the conclusion of this treaty; Germany and Austria Hungary will send at least 6 divisions of infantry and Bulgaria will send 4 divisions (Art.I).
38. Does the treaty contain any provisions regarding coordinated increase of armaments, reduction of armaments, prohibition of weapons, or rules of warfare? (Yes, No) If Yes, describe such provisions.
No.
39. Does the treaty explicitly permit or prohibit the acquisition of territory not currently held by either ally? (Yes, No) If Yes, describe reference.
No. Bulgaria is supposed to occupy Macedonia (Art.IX).
40. Does the treaty include discussion of the division of gains from any future conflict? (Yes, No) If Yes, describe.
No.
41. Does the treaty include discussion of demobilization/withdrawal following conflict? (Yes, No) If Yes, describe.
No.
42. Are there any other provisions in the treaty that describe the means through which the states will coordinate their military efforts and policies? (Yes, No) If Yes, describe.
No.
43. Additional coder comments regarding references to alliance institutionalization:

SECTION IV: TREATY CONTEXT

44. Does the treaty state that it nullifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are nullified and describe the treaty reference.
No.
45. Does the treaty state that it modifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are modified and describe the treaty reference.
No.
46. Does the treaty state that it includes any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are included and describe the treaty reference.
No.
47. Does the treaty make any references to international organizations? (Yes, No) If Yes, list the international organization and describe the nature of the reference.
No.
48. Does the treaty make any references to other existing alliances? (Yes, No) If Yes, list the alliance and describe the nature of the reference.
No.
49. Are there any companion agreements referenced in the treaty? (Yes, No) If Yes, describe the agreements.
No.
50. Does the treaty include statements regarding non-military cooperation (e.g., economic, cultural, scientific exchange)? (Yes, No) If Yes, describe.
No.
51. Does the treaty resolve other conflicts among the parties to the alliance? (Yes, No) If Yes, describe.
No.
52. Does the treaty propose/enforce settlement of a conflict not involving parties to the alliance? (Yes, No) If Yes, describe.
No.
53. Does the treaty propose other agreements among the contracting parties? (Yes, No) If Yes, describe.
Yes. The supreme German command has received assurance from the German government that it will accord 200,000,000 francs as soon as possible to Bulgaria to fight the war. The financial authorities of the countries will come to an agreement concerning the details (Art.VI).
54. Does the treaty mention unresolved conflicts among the contracting parties? (Yes, No) If Yes, describe.
No.
55. Does the treaty include provisions for economic aid or other enticements (include trade concessions, post war recovery, etc.)? (Yes, No) If Yes, describe these provisions.
No.
56. Does the treaty describe circumstances under which one party may intervene in the internal politics of another party or specifically commit the states to non-intervention? (Yes, No) If Yes, describe.
No.

SECTION V: DOCUMENTATION

57. Additional coder comments on this alliance treaty:
Treaty coded from French.
58. Source of coding information (e.g., treaty, treaty and secondary sources, secondary sources only, etc.): Treaty.
59. Treaty citation: CTS, vol.221, p. 135.

60. Date of last revision of this coding sheet: 02/27/05.

61. Coder: Michaela Mattes, Ashley Leeds