

ALLIANCE TREATY OBLIGATIONS AND PROVISIONS (ATOP)
CODING SHEET

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SECTION I: FORMATION, MEMBERS, AND DURATION

1. ATOP ID: 4820

2. COW Alliance # (if applicable): N/A.

3. Signature date(s) (may vary for members in multilateral alliances):
May 16, 1996.

4. Members of alliance (use COW country codes):
360 Romania
345 Yugoslavia.

5. Was the alliance signed during war? If so, which war, and which member(s) was at war? (check COW data)
No.

6. Means by which alliance was established (e.g., treaty, executive agreement, diplomatic notes, etc.): (Only select "treaty" if the agreement requires ratification.)
Treaty.

7. Was the treaty public, public but with secret articles, or secret? If secret articles, describe the content of secret articles.
Public.

8. Are there provisions for adding additional members mentioned in the treaty? (Yes, No) If Yes, describe these provisions.
No.

9. Are specific state(s) mentioned as possible future members of the alliance? (Yes, No) If Yes, which state(s)?
No.

10. Are there specific provisions in the treaty concerning the length of time the treaty is to last? (Yes, No) If Yes, describe.
Yes. The present Agreement is signed for a period of 20 years (Art.24).

11. Are there conditions under which members may renounce the treaty? If Yes, describe these conditions.
No.

12. Are there specific provisions in the treaty concerning renewal of the treaty? (Yes, No) If Yes, describe these provisions.
Yes. The validity of the Agreement shall be automatically renewed for subsequent durations of five years each, unless one of the Signatories communicates in writing, at least one year before the expiration of a period, the intention to renounce the Agreement (Art.24).

13. Number of times alliance renewed, dates of renewal, and means by which alliance was renewed (Do not include automatic renewals):
Zero.

14. Termination date(s) (may vary for members in multilateral alliances) and source(s) for termination dates(s):
Still in effect according to Romanian MFA (visited 6/15/04)
Version 4.0: In effect as of December 27, 2017 according to the MFA Serbia website
(<http://www.mfa.gov.rs/sr/index.php/spoljna-politika/bilateralni-odnosi/117-bilateralni-odnosi/11518-rumunija?lang=cyr>)

15. Describe what caused the alliance to terminate.
N/A.

SECTION II: OBLIGATIONS

16. Type of alliance (defense pact, neutrality pact, nonaggression pact, consultation pact, offense pact): (List all that apply to any member of the alliance.)

Nonaggression Pact
Consultation Pact
Neutrality Pact.

17. Type of alliance as coded in COW data (if applicable): N/A

18. Describe the obligations of the alliance partners.

The Signatories reaffirm their respect in their reciprocal relations for the principles of territorial integrity and inviolability of frontiers, as well as the inadmissibility of the use of force and the threat of the use of force in international relations and the necessity of peaceful resolution of international problems (Art.3).

If one of the Signatories shall consider that an arising situation is of a nature that it infringes onto its supreme security interests or its territorial integrity, it can address the other Signatory so that they shall proceed without delay with consultations on the issue (Art.6).

The Signatories shall not let their territory be used for armed aggression or any hostile actions directed towards the other Signatory by a third state and shall not support in any way such a third state (Art.7).

19. Are any of the obligations contingent upon any of the following: specific adversary, specific location, specific ongoing conflict, number of adversaries, noncompliance with a specific demand, attack, nonprovocation of enemy, or prior agreement among partners? (List all that apply)

Yes- attack.

20. Describe the nature of the limits to obligations listed in #19.

Neutrality contingent upon attack.

21. Are there any additional provisions for assistance in the event of war? (e.g., promise not to participate in economic sanctions against partner, promise not to aid internal or external enemies, etc.) (Yes, No) If Yes, describe.

The Signatories shall not let their territory be used for armed aggression or any hostile actions directed towards the other Signatory by a third state and shall not support in any way such a third state (Art.7).

22. Are there any additional limits to the alliance obligations or conditions under which treaty obligations do not apply that were not listed in #19? (Yes, No) If Yes, describe.

Yes- consultation obligation is conditional upon request

23. Is a specific target/threat mentioned as the object of the treaty? (Yes, No) (Answering Yes to this question does not necessarily mean that obligations are limited only to this adversary.) If Yes, list the target nation(s) (using COW country codes if possible) and describe the general nature of the reference to the target of the treaty.

No.

24. Are the treaty obligations symmetric? (That is, do all members commit to the same obligations?) (Yes, No) If No, describe.

Yes.

25. Does the treaty prohibit members from settling conflicts independently (no separate peace)? (Yes, No) If Yes, describe.

No.

26. Does the treaty have provisions requiring that the contracting parties consult before making commitments to third parties (excluding no separate peace provisions discussed above)? (Yes, No) If Yes, describe these provisions.

No.

27. Does the treaty specify that the contracting parties must not enter into any other alliances that are directed against the alliance in question? (Yes, No) If Yes, describe.

No.

28. Additional coder comments regarding treaty obligations:

SECTION III: INSTITUTIONALIZATION OF THE ALLIANCE

29. Does the treaty make reference to the potential for conflict among members of the alliance? (Yes, No) If Yes, describe.

No.

30. Does the treaty discuss mediation/arbitration or other means of settling disputes among the signatories? (Yes, No) If Yes, describe.

No.

31. Does the treaty require official contact among the military forces of the participating states? (Yes, No) If Yes, describe. (Note whether official contact is required only in the event of hostilities or also during peacetime.)
No.
32. Does the treaty include provisions for military aid? (e.g., grants, loans, transfer of technology, training) (Yes, No) If Yes, describe these provisions.
No.
33. Does the treaty provide for integrated command of military forces while the alliance is in effect (peacetime as well as wartime)? (Yes, No) If Yes, describe.
No.
34. Does the treaty require subordination of the forces of one or more member states to another in the event of hostilities? (Yes, No) If Yes, describe.
No.
35. Does the treaty establish any organizations? (include provisions for regularly scheduled meetings) (Yes, No) If Yes, describe.
No. (too vague: The Signatories agree to organize regular consultations at corresponding levels, regarding bilateral relations and international issues of mutual interest for the harmonizing of their respective positions (Art.9).)
36. Does the treaty provide for joint military bases, or for one or more states to place troops in the territory of one or more other states? (Yes, No) If Yes, describe.
No.
37. Does the treaty specify contribution levels (funds, troops, etc.)? (Yes, No) If Yes, describe.
No.
38. Does the treaty contain any provisions regarding coordinated increase of armaments, reduction of armaments, prohibition of weapons, or rules of warfare? (Yes, No) If Yes, describe such provisions.
No.
39. Does the treaty explicitly permit or prohibit the acquisition of territory not currently held by either ally? (Yes, No) If Yes, describe reference.
No.
40. Does the treaty include discussion of the division of gains from any future conflict? (Yes, No) If Yes, describe.
No.
41. Does the treaty include discussion of demobilization/withdrawal following conflict? (Yes, No) If Yes, describe.
No.
42. Are there any other provisions in the treaty that describe the means through which the states will coordinate their military efforts and policies? (Yes, No) If Yes, describe.
No.
43. Additional coder comments regarding references to alliance institutionalization:

SECTION IV: TREATY CONTEXT

44. Does the treaty state that it nullifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are nullified and describe the treaty reference.
No.
45. Does the treaty state that it modifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are modified and describe the treaty reference.
No.
46. Does the treaty state that it includes any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are included and describe the treaty reference.
No.

47. Does the treaty make any references to international organizations? (Yes, No) If Yes, list the international organization and describe the nature of the reference.

Preamble: respect norms of CSCE. Peaceful conflict resolution according to UN Charter and CSCE (Art.3), arms limitation according to CSCE (Art.8), human rights according to CSCE (Art.20).

48. Does the treaty make any references to other existing alliances? (Yes, No) If Yes, list the alliance and describe the nature of the reference.

Yes. CSCE. See 47.

49. Are there any companion agreements referenced in the treaty? (Yes, No) If Yes, describe the agreements.

No.

50. Does the treaty include statements regarding non-military cooperation (e.g., economic, cultural, scientific exchange)? (Yes, No) If Yes, describe.

Free movement of people and information, extension of commercial exchange for the aim of a more rapid social and economic development and regional- and European integration (Art.2), strengthen security and cooperation in Europe (Art.4), cooperation among states in Balkans (Art.5), arms limitation (Art.8), economic cooperation (Art.10,11), cooperation in energy, fuel, raw materials, nuclear energy, industry, agriculture, constructions, transports and consumer goods (Art.12), cooperation in science and technology (Art.13), cooperation in transports and communication, mixed capital companies (Art.14), navigation on Danube (Art.15), environment (Art.16), healthcare and humanitarian organizations (Art.17), culture (Art.18), exchanges on various levels (Art.19), protection of individual rights (Art.20), cooperation in legal and consular fields and on organized crime, terrorism and drug trafficking (Art.21), perfect legal framework for bilateral relations (Art.22).

51. Does the treaty resolve other conflicts among the parties to the alliance? (Yes, No) If Yes, describe.

No.

52. Does the treaty propose/enforce settlement of a conflict not involving parties to the alliance? (Yes, No) If Yes, describe.

No.

53. Does the treaty propose other agreements among the contracting parties? (Yes, No) If Yes, describe.

No.

54. Does the treaty mention unresolved conflicts among the contracting parties? (Yes, No) If Yes, describe.

No.

55. Does the treaty include provisions for economic aid or other enticements (include trade concessions, post war recovery, etc.)? (Yes, No) If Yes, describe these provisions.

No.

56. Does the treaty describe circumstances under which one party may intervene in the internal politics of another party or specifically commit the states to non-intervention? (Yes, No) If Yes, describe.

Romania and the Federal Republic of Yugoslavia shall develop the relations between them on the on the basis of mutual trust, cooperation and respect, in accordance with the principles of sovereignty, territorial integrity, non-interference in others' domestic issues, equality in rights, solidarity, human dignity and respect for fundamental human rights and freedoms (Art.1).

SECTION V: DOCUMENTATION

57. Additional coder comments on this alliance treaty:

Treaty coded based on translation from Romanian by Viktor Sata and subsequent consultation with Andra Pascu

58. Source of coding information (e.g., treaty, treaty and secondary sources, secondary sources only, etc.):

Treaty.

59. Treaty citation: The Official Monitor of Romania, Part I, Vol. 8 (October 1996), No.250.

60. Date of last revision of this coding sheet: 07/30/04.

61. Coder: Michaela Mattes and Ashley Leeds.

V4: Q14 Updated by Nicholas Coulombe on December 27, 2017