

ALLIANCE TREATY OBLIGATIONS AND PROVISIONS (ATOP)
CODING SHEET

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SECTION I: FORMATION, MEMBERS, AND DURATION

1. ATOP ID: 1260
2. COW Alliance # (if applicable):
2051
3. Signature date(s) (may vary for members in multilateral alliances):
December 5, 1865 - Peru and Chile
January 30, 1866 - Ecuador
April 11, 1866 - Bolivia
4. Members of alliance (use COW country codes):
135 Peru
155 Chile
130 Ecuador (signed January 30, 1866)
145 Bolivia (signed April 11, 1866)
5. Was the alliance signed during war? If so, which war, and which member(s) was at war? (check COW data)
Chile was involved in COW war # 52.
6. Means by which alliance was established (e.g., treaty, executive agreement, diplomatic notes, etc.): (Only select "treaty" if the agreement requires ratification.)
Treaty
7. Was the treaty public, public but with secret articles, or secret? If secret articles, describe the content of secret articles.
Public
8. Are there provisions for adding additional members mentioned in the treaty? (Yes, No) If Yes, describe these provisions.
Article 5 invites other American nations to adhere to the agreement.
9. Are specific state(s) mentioned as possible future members of the alliance? (Yes, No) If Yes, which state(s)?
No
10. Are there specific provisions in the treaty concerning the length of time the treaty is to last? (Yes, No) If Yes, describe.
No
11. Are there conditions under which members may renounce the treaty? If Yes, describe these conditions.
No
12. Are there specific provisions in the treaty concerning renewal of the treaty? (Yes, No) If Yes, describe these provisions.
No
13. Number of times alliance renewed, dates of renewal, and means by which alliance was renewed (Do not include automatic renewals):
14. Termination date(s) (may vary for members in multilateral alliances) and source(s) for termination dates(s):
April 11, 1871
15. Describe what caused the alliance to terminate.
Armistice signed with Spain (Ireland, 1938: 162)

SECTION II: OBLIGATIONS

16. Type of alliance (defense pact, neutrality pact, nonaggression pact, consultation pact, offense pact): (List all that apply to any member of the alliance.)

Defense pact, Offense Pact

17. Type of alliance as coded in COW data (if applicable):
Defense Pact

18. Describe the obligations of the alliance partners.

Article I: "(The parties) stipulate the closest offensive and defensive alliance between them for the purpose of repelling the present aggression of the Spanish Government, as also any other aggression of that Government, the object of which may be to menace the independence, the sovereignty, or the democratic institutions of the two Republics, or of any other Republic of the Continent of South America, or which may originate in unjust claims, characterised as such by both nations, not made in accordance with the precepts of the law of nations, nor considered in the way determined by that law."

19. Are any of the obligations contingent upon any of the following: specific adversary, specific location, specific ongoing conflict, number of adversaries, noncompliance with a specific demand, attack, nonprovocation of enemy, or prior agreement among partners? (List all that apply)

specific location, specific adversary

20. Describe the nature of the limits to obligations listed in #19.

See # 18. Obligations are restricted to against Spain and in South America.

21. Are there any additional provisions for assistance in the event of war? (e.g., promise not to participate in economic sanctions against partner, promise not to aid internal or external enemies, etc.) (Yes, No) If Yes, describe.

No

22. Are there any additional limits to the alliance obligations or conditions under which treaty obligations do not apply that were not listed in #19? (Yes, No) If Yes, describe.

No

23. Is a specific target/threat mentioned as the object of the treaty? (Yes, No) (Answering Yes to this question does not necessarily mean that obligations are limited only to this adversary.) If Yes, list the target nation(s) (using COW country codes if possible) and describe the general nature of the reference to the target of the treaty.

Spain. # 230 - see # 18

24. Are the treaty obligations symmetric? (That is, do all members commit to the same obligations?) (Yes, No) If No, describe.

Yes

25. Does the treaty prohibit members from settling conflicts independently (no separate peace)? (Yes, No) If Yes, describe.

No

26. Does the treaty have provisions requiring that the contracting parties consult before making commitments to third parties (excluding no separate peace provisions discussed above)? (Yes, No) If Yes, describe these provisions.

No

27. Does the treaty specify that the contracting parties must not enter into any other alliances that are directed against the alliance in question? (Yes, No) If Yes, describe.

No

28. Additional coder comments regarding treaty obligations:

SECTION III: INSTITUTIONALIZATION OF THE ALLIANCE

29. Does the treaty make reference to the potential for conflict among members of the alliance? (Yes, No) If Yes, describe.

No

30. Does the treaty discuss mediation/arbitration or other means of settling disputes among the signatories? (Yes, No) If Yes, describe.

No

31. Does the treaty require official contact among the military forces of the participating states? (Yes, No) If Yes, describe. (Note whether official contact is required only in the event of hostilities or also during peacetime.)

During hostilities - see # 34

32. Does the treaty include provisions for military aid? (e.g., grants, loans, transfer of technology, training) (Yes, No) If Yes, describe these provisions.

No

33. Does the treaty provide for integrated command of military forces while the alliance is in effect (peacetime as well as wartime)? (Yes, No) If Yes, describe.

No

34. Does the treaty require subordination of the forces of one or more member states to another in the event of hostilities? (Yes, No) If Yes, describe.

Yes. Article II: "(The parties) bind themselves to unite the naval forces which they have or may hereafter have, disposable, for the purpose of combating with them the Spanish naval forces which they meet, or may meet, in the waters of the Pacific, whether blockading, as at present is the case, the port of one of the Republics before mentioned, or of both of them as it may happen, or else making war in any other way against Peru or Chile."

Article III: "The naval forces of the (parties), whether they act in combination or separately, shall, so long as the present war provoked by the Spanish Government shall last, obey the Government of that (party) in whose waters the said naval forces shall be.

The officer of the highest rank, or in case there should be many of the same rank, the senior of them, who shall be in command of either of the combined squadrons, shall take the command of them; provided always that the said squadrons are acting in combination.

Nevertheless the (parties) may, by mutual agreement, confer the command of the squadrons, when they act in combination, on the officer, native or foreigner, whom they consider most competent."

35. Does the treaty establish any organizations? (include provisions for regularly scheduled meetings) (Yes, No) If Yes, describe.

No

36. Does the treaty provide for joint military bases, or for one or more states to place troops in the territory of one or more other states? (Yes, No) If Yes, describe.

No

37. Does the treaty specify contribution levels (funds, troops, etc.)? (Yes, No) If Yes, describe.

Article 4 declares the parties will pay all expenses of the naval forces in their waters, but at the end of the war a Commission will decide the expense of each side and they will settle the differences hence.

38. Does the treaty contain any provisions regarding coordinated increase of armaments, reduction of armaments, prohibition of weapons, or rules of warfare? (Yes, No) If Yes, describe such provisions.

No

39. Does the treaty explicitly permit or prohibit the acquisition of territory not currently held by either ally? (Yes, No) If Yes, describe reference.

No

40. Does the treaty include discussion of the division of gains from any future conflict? (Yes, No) If Yes, describe.

No

41. Does the treaty include discussion of demobilization/withdrawal following conflict? (Yes, No) If Yes, describe.

No

42. Are there any other provisions in the treaty that describe the means through which the states will coordinate their military efforts and policies? (Yes, No) If Yes, describe.

No

43. Additional coder comments regarding references to alliance institutionalization:

No

SECTION IV: TREATY CONTEXT

44. Does the treaty state that it nullifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are nullified and describe the treaty reference.

No

45. Does the treaty state that it modifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the

treaties/agreements that are modified and describe the treaty reference.

No

46. Does the treaty state that it includes any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are included and describe the treaty reference.

No

47. Does the treaty make any references to international organizations? (Yes, No) If Yes, list the international organization and describe the nature of the reference.

No

48. Does the treaty make any references to other existing alliances? (Yes, No) If Yes, list the alliance and describe the nature of the reference.

No

49. Are there any companion agreements referenced in the treaty? (Yes, No) If Yes, describe the agreements.

No

50. Does the treaty include statements regarding non-military cooperation (e.g., economic, cultural, scientific exchange)? (Yes, No) If Yes, describe.

No

51. Does the treaty resolve other conflicts among the parties to the alliance? (Yes, No) If Yes, describe.

No

52. Does the treaty propose/enforce settlement of a conflict not involving parties to the alliance? (Yes, No) If Yes, describe.

No

53. Does the treaty propose other agreements among the contracting parties? (Yes, No) If Yes, describe.

No

54. Does the treaty mention unresolved conflicts among the contracting parties? (Yes, No) If Yes, describe.

No

55. Does the treaty include provisions for economic aid or other enticements (include trade concessions, post war recovery, etc.)? (Yes, No) If Yes, describe these provisions.

No

56. Does the treaty describe circumstances under which one party may intervene in the internal politics of another party or specifically commit the states to non-intervention? (Yes, No) If Yes, describe.

No

SECTION V: DOCUMENTATION

57. Additional coder comments on this alliance treaty:

58. Source of coding information (e.g., treaty, treaty and secondary sources, secondary sources only, etc.): Treaty

59. Treaty citation: Treaty–BFSP 56:707
Accession of Ecuador–BFSP 56:711
Accession of Bolivia–CTS 132:278

60. Date of last revision of this coding sheet: July 5, 2004

61. Coder: Andrew Long and Ashley Leeds