

ALLIANCE TREATY OBLIGATIONS AND PROVISIONS (ATOP)  
CODING SHEET

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SECTION I: FORMATION, MEMBERS, AND DURATION

1. ATOP ID: # 1415 (Phase 2).
2. COW Alliance # (if applicable): # 3000.
3. Signature date(s) (may vary for members in multilateral alliances):  
August 12, 1905.
4. Members of alliance (use COW country codes):  
740 Japan  
200 United Kingdom.
5. Was the alliance signed during war? If so, which war, and which member(s) was at war? (check COW data)  
Yes. Japan is involved in COW war #85.
6. Means by which alliance was established (e.g., treaty, executive agreement, diplomatic notes, etc.): (Only select "treaty" if the agreement requires ratification.)  
Treaty.
7. Was the treaty public, public but with secret articles, or secret? If secret articles, describe the content of secret articles.  
Public.
8. Are there provisions for adding additional members mentioned in the treaty? (Yes, No) If Yes, describe these provisions.  
No.
9. Are specific state(s) mentioned as possible future members of the alliance? (Yes, No) If Yes, which state(s)?  
No.
10. Are there specific provisions in the treaty concerning the length of time the treaty is to last? (Yes, No) If Yes, describe.  
Yes. The agreement shall remain in force for ten years (Art.VIII).
11. Are there conditions under which members may renounce the treaty? If Yes, describe these conditions.  
Yes. In case neither party should have notified twelve months before the expiration of the said ten years the intention of terminating it, it shall remain binding until the expiration of one year from the day on which either of the parties shall have denounced it. But if, when the date fixed for its expiration arrives, either ally is actually engaged in war, the alliance shall, ipso facto, continue until peace is concluded (Art.VI).
12. Are there specific provisions in the treaty concerning renewal of the treaty? (Yes, No) If Yes, describe these provisions.  
No.
13. Number of times alliance renewed, dates of renewal, and means by which alliance was renewed (Do not include automatic renewals):  
Once: July 13, 1911. This renewed agreement contains basically the same provision as the agreement from 1905 with exception to the promise to remain neutral during Japans war with Russia which is over by 1911. It also does not specify Korea as a primarily Japanese sphere of influence and India as a British sphere of influence. The same duration and renunciation provisions apply to the agreement of 1911.
14. Termination date(s) (may vary for members in multilateral alliances) and source(s) for termination dates(s):  
December 13, 1921
15. Describe what caused the alliance to terminate.  
Replaced by Four Power Treaty on the Pacific (ATOP ID #2095)

SECTION II: OBLIGATIONS

16. Type of alliance (defense pact, neutrality pact, nonaggression pact, consultation pact, offense pact): (List all that apply to any member of the alliance.)

Consultation Pact  
Defense Pact  
Neutrality Pact  
Offense Pact

17. Type of alliance as coded in COW data (if applicable): Defense Pact.

18. Describe the obligations of the alliance partners.

It is agreed that whenever, in the opinion of either Great Britain or Japan, any of the rights and interests referred to in the preamble of this agreement are in jeopardy, the two governments will communicate with one another fully and frankly, and will consider the measures which should be taken to safeguard those menaced rights or interests (Art.I). The conditions under which armed assistance shall be afforded by either power to the other in the circumstances mentioned in the present agreement, and the means by which such assistance is to be made available, will be arranged by the Naval and Military authorities of the two parties, who will from time to time consult one another fully and freely upon all question of mutual interest (Art.VII). If by reason of unprovoked attack or aggressive action, whenever arising, on the part of any other power or powers, either party should be involved in war in defense of its territorial rights or special interests mentioned in the preamble of this agreement, the other party will at once come to the assistance of its ally, and will conduct the war in common, and make peace in mutual agreement with it (Art.II).

As regards the present war between Japan and Russia. Great Britain will continue to maintain strict neutrality unless some other power or powers should join in hostilities against Japan, in which case Great Britain will conduct the war in common, and make peace in mutual agreement with Japan (Art.VI).

19. Are any of the obligations contingent upon any of the following: specific adversary, specific location, specific ongoing conflict, number of adversaries, noncompliance with a specific demand, attack, nonprovocation of enemy, or prior agreement among partners? (List all that apply)

Yes- nonprovocation of enemy, specific location, specific conflict, number of adversaries.

20. Describe the nature of the limits to obligations listed in #19.

The defense provision becomes active if one of the allies becomes the victim of an unprovoked attack in a war where its territorial rights or special interests over Eastern Asia, India or China are threatened. The neutrality provision applies to the specific ongoing war between Russia and Japan and is only valid as long as Russia is Japan's only enemy. If another party should join Russia's side, Great Britain would become active and help defend Japan. Consultation relates to interests in Asia.

21. Are there any additional provisions for assistance in the event of war? (e.g., promise not to participate in economic sanctions against partner, promise not to aid internal or external enemies, etc.) (Yes, No) If Yes, describe.

No.

22. Are there any additional limits to the alliance obligations or conditions under which treaty obligations do not apply that were not listed in #19? (Yes, No) If Yes, describe.

No.

23. Is a specific target/threat mentioned as the object of the treaty? (Yes, No) (Answering Yes to this question does not necessarily mean that obligations are limited only to this adversary.) If Yes, list the target nation(s) (using COW country codes if possible) and describe the general nature of the reference to the target of the treaty.

Yes- the main reference is to interests in Asia.

24. Are the treaty obligations symmetric? (That is, do all members commit to the same obligations?) (Yes, No) If No, describe.

No—Britain is required to take certain actions with regard to the Russo-Japanese War

25. Does the treaty prohibit members from settling conflicts independently (no separate peace)? (Yes, No) If Yes, describe.

If by reason of unprovoked attack or aggressive action, whenever arising, on the part of any other power or powers, either party should be involved in war in defense of its territorial rights or special interests mentioned in the preamble of this agreement, the other party will at once come to the assistance of its ally, and will conduct the war in common, and make peace in mutual agreement with it (Art.II).

26. Does the treaty have provisions requiring that the contracting parties consult before making commitments to third parties (excluding no separate peace provisions discussed above)? (Yes, No) If Yes, describe these provisions.

Yes. The parties agree that neither of them will, without consulting the other, enter into separate arrangements with another power to the prejudice of the interests above described (Art.V).

27. Does the treaty specify that the contracting parties must not enter into any other alliances that are directed against the alliance in question? (Yes, No) If Yes, describe.

Yes. The parties agree that neither of them will, without consulting the other, enter into separate arrangements with another power to the prejudice of the interests above described (Art.V).

28. Additional coder comments regarding treaty obligations:

Phase 2 differs from phase 1 in that the defense provision is extended. Now either party will defend their allies in case they become the victim of *unprovoked aggression* (also new!) independently of the number of adversaries. However, the old arrangement concerning neutrality when the ally is fighting only one enemy and defense when the ally is fighting more than one enemy continue to apply to then ongoing Russo-Japanese war. The consultation provision specified in Art. I stayed the same but a further consultation provision regarding coordination on military questions in case that one ally needs to defend the other is added (Art.VII)..

### SECTION III: INSTITUTIONALIZATION OF THE ALLIANCE

29. Does the treaty make reference to the potential for conflict among members of the alliance? (Yes, No) If Yes, describe.

No.

30. Does the treaty discuss mediation/arbitration or other means of settling disputes among the signatories? (Yes, No) If Yes, describe.

No.

31. Does the treaty require official contact among the military forces of the participating states? (Yes, No) If Yes, describe. (Note whether official contact is required only in the event of hostilities or also during peacetime.)

Yes. The conditions under which armed assistance shall be afforded by either power to the other in the circumstances mentioned in the present agreement, and the means by which such assistance is to be made available, will be arranged by the Naval and Military authorities of the two parties, who will from time to time consult one another fully and freely upon all question of mutual interest (Art.VII).

32. Does the treaty include provisions for military aid? (e.g., grants, loans, transfer of technology, training) (Yes, No) If Yes, describe these provisions.

No.

33. Does the treaty provide for integrated command of military forces while the alliance is in effect (peacetime as well as wartime)? (Yes, No) If Yes, describe.

No.

34. Does the treaty require subordination of the forces of one or more member states to another in the event of hostilities? (Yes, No) If Yes, describe.

No.

35. Does the treaty establish any organizations? (include provisions for regularly scheduled meetings) (Yes, No) If Yes, describe.

No.

36. Does the treaty provide for joint military bases, or for one or more states to place troops in the territory of one or more other states? (Yes, No) If Yes, describe.

No.

37. Does the treaty specify contribution levels (funds, troops, etc.)? (Yes, No) If Yes, describe.

No.

38. Does the treaty contain any provisions regarding coordinated increase of armaments, reduction of armaments, prohibition of weapons, or rules of warfare? (Yes, No) If Yes, describe such provisions.

No.

39. Does the treaty explicitly permit or prohibit the acquisition of territory not currently held by either ally? (Yes, No) If Yes, describe reference.

No.

40. Does the treaty include discussion of the division of gains from any future conflict? (Yes, No) If Yes, describe.

No.

41. Does the treaty include discussion of demobilization/withdrawal following conflict? (Yes, No) If Yes, describe.

No.

42. Are there any other provisions in the treaty that describe the means through which the states will coordinate their military efforts and policies? (Yes, No) If Yes, describe.

No.

43. Additional coder comments regarding references to alliance institutionalization:

#### SECTION IV: TREATY CONTEXT

44. Does the treaty state that it nullifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are nullified and describe the treaty reference.

Yes. This agreement replaces the one from January, 30, 1902 (Preamble).

45. Does the treaty state that it modifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are modified and describe the treaty reference.

No.

46. Does the treaty state that it includes any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are included and describe the treaty reference.

No.

47. Does the treaty make any references to international organizations? (Yes, No) If Yes, list the international organization and describe the nature of the reference.

No.

48. Does the treaty make any references to other existing alliances? (Yes, No) If Yes, list the alliance and describe the nature of the reference.

No.

49. Are there any companion agreements referenced in the treaty? (Yes, No) If Yes, describe the agreements.

No.

50. Does the treaty include statements regarding non-military cooperation (e.g., economic, cultural, scientific exchange)? (Yes, No) If Yes, describe.

No.

51. Does the treaty resolve other conflicts among the parties to the alliance? (Yes, No) If Yes, describe.

No.

52. Does the treaty propose/enforce settlement of a conflict not involving parties to the alliance? (Yes, No) If Yes, describe.

No.

53. Does the treaty propose other agreements among the contracting parties? (Yes, No) If Yes, describe.

No.

54. Does the treaty mention unresolved conflicts among the contracting parties? (Yes, No) If Yes, describe.

No.

55. Does the treaty include provisions for economic aid or other enticements (include trade concessions, post war recovery, etc.)? (Yes, No) If Yes, describe these provisions.

No. But the treaty divides spheres of influence: Japan gets Korea and Great Britain India.

56. Does the treaty describe circumstances under which one party may intervene in the internal politics of another party or specifically commit the states to non-intervention? (Yes, No) If Yes, describe.

No.

#### SECTION V: DOCUMENTATION

57. Additional coder comments on this alliance treaty: This is phase 2 of a 2 phase alliance.

58. Source of coding information (e.g., treaty, treaty and secondary sources, secondary sources only, etc.): Treaty.

59. Treaty citation: BFSP, vol.98, p.136.

60. Date of last revision of this coding sheet: 01/31/05.

61. Coder: Andrew Long, Michaela Mattes, Ashley Leeds

V4: Q15 updated with reference to ATOP ID # on 5/15/2018 by Nicholas Coulombe