

ALLIANCE TREATY OBLIGATIONS AND PROVISIONS (ATOP)
CODING SHEET

Brett Ashley Leeds with Jeffrey M. Ritter, Sara McLaughlin Mitchell, and Andrew G. Long
Department of Political Science, Rice University, P.O. Box 1892-MS 24, Houston, TX 77251-1892
Version 8.0; August, 2000

SECTION I: FORMATION, MEMBERS, AND DURATION

1. ATOP ID: 4990
2. COW Alliance # (if applicable): N/A.
3. Signature date(s) (may vary for members in multilateral alliances):
November 19, 2001.
4. Members of alliance (use COW country codes):
365 Russia
359 Moldova
5. Was the alliance signed during war? If so, which war, and which member(s) was at war? (check COW data)
No.
6. Means by which alliance was established (e.g., treaty, executive agreement, diplomatic notes, etc.): (Only select "treaty" if the agreement requires ratification.)
Treaty.
7. Was the treaty public, public but with secret articles, or secret? If secret articles, describe the content of secret articles.
Public.
8. Are there provisions for adding additional members mentioned in the treaty? (Yes, No) If Yes, describe these provisions.
No.
9. Are specific state(s) mentioned as possible future members of the alliance? (Yes, No) If Yes, which state(s)?
No.
10. Are there specific provisions in the treaty concerning the length of time the treaty is to last? (Yes, No) If Yes, describe.
Yes. They treaty is signed for a period of 10 years (Art. 32).
11. Are there conditions under which members may renounce the treaty? If Yes, describe these conditions.
No.
12. Are there specific provisions in the treaty concerning renewal of the treaty? (Yes, No) If Yes, describe these provisions.
Yes. The treaty will be renewed for further 10-year periods unless one of the parties submits a written notification of its desire to renounce the treaty at least six months before the current treaty expires (Art. 32).
13. Number of times alliance renewed, dates of renewal, and means by which alliance was renewed (Do not include automatic renewals):
Zero.
14. Termination date(s) (may vary for members in multilateral alliances) and source(s) for termination dates(s):
Still in effect (provided by Russian MFA).
Version 4.0: In effect as of November 15, 2017 according to Ministry of Foreign Affairs, Russia
(http://www.mid.ru/ru/foreign_policy/international_contracts/2_contract/-/storage-viewer/bilateral/)
15. Describe what caused the alliance to terminate. N/A.

SECTION II: OBLIGATIONS

16. Type of alliance (defense pact, neutrality pact, nonaggression pact, consultation pact, offense pact): (List all that apply to any member of the alliance.)
Nonaggression Pact

17. Type of alliance as coded in COW data (if applicable): N/A.

18. Describe the obligations of the alliance partners.

“The High Contracting Parties shall develop their relations on the basis of mutual respect, trust and cooperation. They shall consistently abide by the universally recognized principles and norms of international law: sovereign equality, non-use of force or the threat of force, inviolability of borders, territorial integrity, political independence, peaceful settlement of disputes....” (Art. 1) [Nonaggression]

“Each High Contracting Party shall refrain from any actions detrimental to the other High Contracting Party or to its sovereignty, independence or territorial integrity.” (Art. 5) [Nonaggression]

19. Are any of the obligations contingent upon any of the following: specific adversary, specific location, specific ongoing conflict, number of adversaries, noncompliance with a specific demand, attack, nonprovocation of enemy, or prior agreement among partners? (List all that apply)

No.

20. Describe the nature of the limits to obligations listed in #19.

N/A.

21. Are there any additional provisions for assistance in the event of war? (e.g., promise not to participate in economic sanctions against partner, promise not to aid internal or external enemies, etc.) (Yes, No) If Yes, describe.

Yes. “The Parties shall condemn separatism in all its manifestations and shall undertake not to support separatist movements.”

22. Are there any additional limits to the alliance obligations or conditions under which treaty obligations do not apply that were not listed in #19? (Yes, No) If Yes, describe.

No.

23. Is a specific target/threat mentioned as the object of the treaty? (Yes, No) (Answering Yes to this question does not necessarily mean that obligations are limited only to this adversary.) If Yes, list the target nation(s) (using COW country codes if possible) and describe the general nature of the reference to the target of the treaty.

No.

24. Are the treaty obligations symmetric? (That is, do all members commit to the same obligations?) (Yes, No) If No, describe.

Yes.

25. Does the treaty prohibit members from settling conflicts independently (no separate peace)? (Yes, No) If Yes, describe.

No.

26. Does the treaty have provisions requiring that the contracting parties consult before making commitments to third parties (excluding no separate peace provisions discussed above)? (Yes, No) If Yes, describe these provisions.

No.

27. Does the treaty specify that the contracting parties must not enter into any other alliances that are directed against the alliance in question? (Yes, No) If Yes, describe.

Yes. The parties promise not to form any agreement contradicting this treaty (Art. 29).

28. Additional coder comments regarding treaty obligations:

“In the event of a situation that, in the opinion of one of the High Contracting Parties, creates a threat to the maintenance of international peace and security or affects the security of one of the Parties, that Party may propose to the other Party that consultations be held immediately to review the situation.” (Art. 4)

“Each Party shall inform the other in advance of economic decisions that could affect the rights or interests of the other Party.” (Art. 12)

SECTION III: INSTITUTIONALIZATION OF THE ALLIANCE

29. Does the treaty make reference to the potential for conflict among members of the alliance? (Yes, No) If Yes, describe.

Yes. “Disputes concerning the interpretation or application of this Treaty shall be settled through consultations and negotiations” (Art. 30).

30. Does the treaty discuss mediation/arbitration or other means of settling disputes among the signatories? (Yes, No) If Yes, describe.
No.
31. Does the treaty require official contact among the military forces of the participating states? (Yes, No) If Yes, describe. (Note whether official contact is required only in the event of hostilities or also during peacetime.)
No.
32. Does the treaty include provisions for military aid? (e.g., grants, loans, transfer of technology, training) (Yes, No) If Yes, describe these provisions.
No.
33. Does the treaty provide for integrated command of military forces while the alliance is in effect (peacetime as well as wartime)? (Yes, No) If Yes, describe.
No.
34. Does the treaty require subordination of the forces of one or more member states to another in the event of hostilities? (Yes, No) If Yes, describe.
No.
35. Does the treaty establish any organizations? (include provisions for regularly scheduled meetings) (Yes, No) If Yes, describe.
No.
36. Does the treaty provide for joint military bases, or for one or more states to place troops in the territory of one or more other states? (Yes, No) If Yes, describe.
No.
37. Does the treaty specify contribution levels (funds, troops, etc.)? (Yes, No) If Yes, describe.
No.
38. Does the treaty contain any provisions regarding coordinated increase of armaments, reduction of armaments, prohibition of weapons, or rules of warfare? (Yes, No) If Yes, describe such provisions.
No.
39. Does the treaty explicitly permit or prohibit the acquisition of territory not currently held by either ally? (Yes, No) If Yes, describe reference.
No.
40. Does the treaty include discussion of the division of gains from any future conflict? (Yes, No) If Yes, describe.
No.
41. Does the treaty include discussion of demobilization/withdrawal following conflict? (Yes, No) If Yes, describe.
No.
42. Are there any other provisions in the treaty that describe the means through which the states will coordinate their military efforts and policies? (Yes, No) If Yes, describe.
“The High Contracting Parties shall develop their relations with regard to military and military technical cooperation, military exchanges, State security and cooperation on border issues on the basis of separate agreements.” (Art. 13).
43. Additional coder comments regarding references to alliance institutionalization:

SECTION IV: TREATY CONTEXT

44. Does the treaty state that it nullifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are nullified and describe the treaty reference.
No.
45. Does the treaty state that it modifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are modified and describe the treaty reference.
No.
46. Does the treaty state that it includes any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the

treaties/agreements that are included and describe the treaty reference.

No.

47. Does the treaty make any references to international organizations? (Yes, No) If Yes, list the international organization and describe the nature of the reference.

Preamble: reaffirm UN Charter, OSCE. Peaceful conflict resolution according to UN Charter and OSCE (Art. 2), cooperation within OSCE framework and Council of Europe (Art. 3), cooperation in IOs (Art. 12), cooperation within the CIS, OSCE, and the Council of Europe (Art. 27).

48. Does the treaty make any references to other existing alliances? (Yes, No) If Yes, list the alliance and describe the nature of the reference.

Yes. OSCE and CIS. See 47.

49. Are there any companion agreements referenced in the treaty? (Yes, No) If Yes, describe the agreements.

No.

50. Does the treaty include statements regarding non-military cooperation (e.g., economic, cultural, scientific exchange)? (Yes, No) If Yes, describe.

Yes. Facilitate peaceful conflict resolution and cooperate concerning disarmament (Art.2), cooperate in politics, economy, trade, defense, energy, environment, science, health and culture (Art.6), economic cooperation (Art.7), ensure legal framework for entrepreneurial activities (Art.8), exchange of economic information (Art.9), relations between administrative agencies (Art.10), transportation and infrastructure (Art.11), cooperation in IOs (Art.12), organized crime (Art.14), property rights (Art.15), civil rights (Art.16), migration policy (Art.17), minority rights (Art.18), culture, literature and arts (Art.19), use of language (Art.20), education, science and technology (Art.21), sport and tourism (Art.22), environment conservation and protection (Art.23), social welfare (Art.24), health services and medicine (Art.25), parliaments (Art.26), cooperation within CIS (Art.27), legal framework for cooperation on issues (Art.28).

51. Does the treaty resolve other conflicts among the parties to the alliance? (Yes, No) If Yes, describe.

No.

52. Does the treaty propose/enforce settlement of a conflict not involving parties to the alliance? (Yes, No) If Yes, describe.

No.

53. Does the treaty propose other agreements among the contracting parties? (Yes, No) If Yes, describe.

Yes.

“The High Contracting Parties shall promote equitable and mutually beneficial cooperation on politics, economics, trade, defence, energy, environmental protection, science, technology, culture, health care, humanitarian affairs and other areas. The Parties shall conclude separate agreements on these and other issues of mutual interest.” (Art. 6)

“The High Contracting Parties shall develop their relations with regard to military and military technical cooperation, military exchanges, State security and cooperation on border issues on the basis of separate agreements.” (Art.13).

“Issues concerning dual citizenship shall be governed by a separate agreement between the Parties.” (Art. 16)

“The High Contracting Parties shall, in accordance with the universally recognized principles of international law and with due regard for national interests, coordinate their migration policies, including measures to forestall and prevent illegal migration from third countries, and shall conclude a separate agreement for those purposes.” (Art. 17)

54. Does the treaty mention unresolved conflicts among the contracting parties? (Yes, No) If Yes, describe.

No.

55. Does the treaty include provisions for economic aid or other enticements (include trade concessions, post war recovery, etc.)? (Yes, No) If Yes, describe these provisions.

No.

56. Does the treaty describe circumstances under which one party may intervene in the internal politics of another party or specifically commit the states to non-intervention? (Yes, No) If Yes, describe.

Yes. They promise to respect the principles of (...) non-intervention in internal affairs (Art.1).

SECTION V: DOCUMENTATION

57. Additional coder comments on this alliance treaty:

Version 3.0: Treaty coded based on translation from Russian by Mariam Stepanyan.

58. Source of coding information (e.g., treaty, treaty and secondary sources, secondary sources only, etc.):

Treaty: Treaty on Friendship and Cooperation between the Republic of Moldova and the Russian Federation

59. Treaty citation: Version 3.0: provided by Russian MFA.

Version 4.0: UNTS No. 54995

60. Date of last revision of this coding sheet: June 16, 2018

61. Coder: Michaela Mattes, Ashley Leeds, Jessica Edry

Q14: Last updated by Nicholas Coulombe on November 15, 2017