

ALLIANCE TREATY OBLIGATIONS AND PROVISIONS (ATOP)  
CODING SHEET

Brett Ashley Leeds with Jeffrey M. Ritter, Sara McLaughlin Mitchell, and Andrew G. Long  
Department of Political Science, Rice University, P.O. Box 1892-MS 24, Houston, TX 77251-1892  
Version 8.0; August, 2000

SECTION I: FORMATION, MEMBERS, AND DURATION

1. ATOP ID: 4010
2. COW Alliance # (if applicable):  
NA
3. Signature date(s) (may vary for members in multilateral alliances):  
November 9, 1990
4. Members of alliance (use COW country codes):  
365 USSR  
255 Germany
5. Was the alliance signed during war? If so, which war, and which member(s) was at war? (check COW data)  
No
6. Means by which alliance was established (e.g., treaty, executive agreement, diplomatic notes, etc.): (Only select "treaty" if the agreement requires ratification.)  
Treaty
7. Was the treaty public, public but with secret articles, or secret? If secret articles, describe the content of secret articles.  
Public
8. Are there provisions for adding additional members mentioned in the treaty? (Yes, No) If Yes, describe these provisions.  
No
9. Are specific state(s) mentioned as possible future members of the alliance? (Yes, No) If Yes, which state(s)?  
No
10. Are there specific provisions in the treaty concerning the length of time the treaty is to last? (Yes, No) If Yes, describe.  
240 months.
11. Are there conditions under which members may renounce the treaty? If Yes, describe these conditions.  
No
12. Are there specific provisions in the treaty concerning renewal of the treaty? (Yes, No) If Yes, describe these provisions.  
Automatic renewal for successive five-year terms unless denounced in writing one year before expiry of relevant period.
13. Number of times alliance renewed, dates of renewal, and means by which alliance was renewed (Do not include automatic renewals):  
Zero
14. Termination date(s) (may vary for members in multilateral alliances) and source(s) for termination dates(s):  
Still in effect according to German Ministry of Foreign Affairs - website visited 4/04  
  
Version 4.0: In effect as of October 30, 2017 according to the Foreign Ministry of Russia  
(<http://www.mid.ru/ru/maps/de/?currentpage=main-country>)
15. Describe what caused the alliance to terminate.  
N/A

SECTION II: OBLIGATIONS

16. Type of alliance (defense pact, neutrality pact, nonaggression pact, consultation pact, offense pact): (List all that apply to any member of the alliance.)  
Neutrality Pact, Nonaggression Pact, Consultation Pact

17. Type of alliance as coded in COW data (if applicable):

NA

18. Describe the obligations of the alliance partners.

Neutrality:

Article III: "In the event that one of the Parties is subjected to attack, the other Party shall not render the attacker any military aid or other assistance..."

Nonaggression:

Article III: "The (parties) confirm that they will refrain from the use of force or threats of force both against the territorial integrity or political independence of the other Party...They shall resolve their disputes by exclusively peaceful means, and shall never use any of their armaments, except for purposes of individual or collective self-defence. They shall never under any circumstances be the first to use their armed forces against each other or against third States..."

Consultation:

Article VII: "If a situation arises that creates, in the opinion of one Party, a threat to peace or a violation of peace, or which may cause dangerous international complications, both Parties shall immediately contact each other and undertake efforts to coordinate their positions and agree on measures enabling the situation to be improved or dealt with."

19. Are any of the obligations contingent upon any of the following: specific adversary, specific location, specific ongoing conflict, number of adversaries, noncompliance with a specific demand, attack, nonprovocation of enemy, or prior agreement among partners?

(List all that apply)

Attack

20. Describe the nature of the limits to obligations listed in #19.

See # 18. Neutrality is conditional upon attack against the partner.

21. Are there any additional provisions for assistance in the event of war? (e.g., promise not to participate in economic sanctions against partner, promise not to aid internal or external enemies, etc.) (Yes, No) If Yes, describe.

No

22. Are there any additional limits to the alliance obligations or conditions under which treaty obligations do not apply that were not listed in #19? (Yes, No) If Yes, describe.

No

23. Is a specific target/threat mentioned as the object of the treaty? (Yes, No) (Answering Yes to this question does not necessarily mean that obligations are limited only to this adversary.) If Yes, list the target nation(s) (using COW country codes if possible) and describe the general nature of the reference to the target of the treaty.

No

24. Are the treaty obligations symmetric? (That is, do all members commit to the same obligations?) (Yes, No) If No, describe.

Yes

25. Does the treaty prohibit members from settling conflicts independently (no separate peace)? (Yes, No) If Yes, describe.

No

26. Does the treaty have provisions requiring that the contracting parties consult before making commitments to third parties (excluding no separate peace provisions discussed above)? (Yes, No) If Yes, describe these provisions.

No

27. Does the treaty specify that the contracting parties must not enter into any other alliances that are directed against the alliance in question? (Yes, No) If Yes, describe.

No

28. Additional coder comments regarding treaty obligations:

### SECTION III: INSTITUTIONALIZATION OF THE ALLIANCE

29. Does the treaty make reference to the potential for conflict among members of the alliance? (Yes, No) If Yes, describe.

No

30. Does the treaty discuss mediation/arbitration or other means of settling disputes among the signatories? (Yes, No) If Yes, describe.  
No
31. Does the treaty require official contact among the military forces of the participating states? (Yes, No) If Yes, describe. (Note whether official contact is required only in the event of hostilities or also during peacetime.)  
No
32. Does the treaty include provisions for military aid? (e.g., grants, loans, transfer of technology, training) (Yes, No) If Yes, describe these provisions.  
No
33. Does the treaty provide for integrated command of military forces while the alliance is in effect (peacetime as well as wartime)? (Yes, No) If Yes, describe.  
No
34. Does the treaty require subordination of the forces of one or more member states to another in the event of hostilities? (Yes, No) If Yes, describe.  
No
35. Does the treaty establish any organizations? (include provisions for regularly scheduled meetings) (Yes, No) If Yes, describe.  
Article 6 sets up regular meetings between Ministers of Foreign Affairs and Ministers of Defence.
36. Does the treaty provide for joint military bases, or for one or more states to place troops in the territory of one or more other states? (Yes, No) If Yes, describe.  
No
37. Does the treaty specify contribution levels (funds, troops, etc.)? (Yes, No) If Yes, describe.  
No
38. Does the treaty contain any provisions regarding coordinated increase of armaments, reduction of armaments, prohibition of weapons, or rules of warfare? (Yes, No) If Yes, describe such provisions.  
Article 4 declares the parties will strive to reduce armed forces and armaments but does not obligate them to reductions at this time.
39. Does the treaty explicitly permit or prohibit the acquisition of territory not currently held by either ally? (Yes, No) If Yes, describe reference.  
No
40. Does the treaty include discussion of the division of gains from any future conflict? (Yes, No) If Yes, describe.  
No
41. Does the treaty include discussion of demobilization/withdrawal following conflict? (Yes, No) If Yes, describe.  
No
42. Are there any other provisions in the treaty that describe the means through which the states will coordinate their military efforts and policies? (Yes, No) If Yes, describe.  
No
43. Additional coder comments regarding references to alliance institutionalization:  
No

#### SECTION IV: TREATY CONTEXT

44. Does the treaty state that it nullifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are nullified and describe the treaty reference.  
No
45. Does the treaty state that it modifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are modified and describe the treaty reference.  
No

46. Does the treaty state that it includes any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are included and describe the treaty reference.

No

47. Does the treaty make any references to international organizations? (Yes, No) If Yes, list the international organization and describe the nature of the reference.

United Nations–nonaggression provision is consistent with principles of UN Charter.

Conference on Security Cooperation in Europe–nonaggression provision is consistent with Final Act of CSCE.

48. Does the treaty make any references to other existing alliances? (Yes, No) If Yes, list the alliance and describe the nature of the reference.

Yes. Conference on Security Cooperation in Europe–nonaggression provision is consistent with Final Act of CSCE.

49. Are there any companion agreements referenced in the treaty? (Yes, No) If Yes, describe the agreements.

No

50. Does the treaty include statements regarding non-military cooperation (e.g., economic, cultural, scientific exchange)? (Yes, No) If Yes, describe.

Numerous articles: Economics, scientific and industrial fields ( 8 and 9), technology (10), environment (11), transport communications (12), travel (13), education and social organizations (14), culture (15 and 16), charity (17), legal aid (18).

51. Does the treaty resolve other conflicts among the parties to the alliance? (Yes, No) If Yes, describe.

No

52. Does the treaty propose/enforce settlement of a conflict not involving parties to the alliance? (Yes, No) If Yes, describe.

No

53. Does the treaty propose other agreements among the contracting parties? (Yes, No) If Yes, describe.

Article VIII: “The (parties)...shall conclude a comprehensive treaty on the development of cooperation in the field of economics, industry, science and technology...”

Article XIX: “ ... (Parties) shall assist each other in combating organized crime, terrorism, illicit drug trafficking, illegal acts of intervention in civil aviation and marine shipping, the manufacture and circulation of counterfeit currency and smuggling, including the illegal movement of cultural treasures across borders...”

54. Does the treaty mention unresolved conflicts among the contracting parties? (Yes, No) If Yes, describe.

No

55. Does the treaty include provisions for economic aid or other enticements (include trade concessions, post war recovery, etc.)? (Yes, No) If Yes, describe these provisions.

No

56. Does the treaty describe circumstances under which one party may intervene in the internal politics of another party or specifically commit the states to non-intervention? (Yes, No) If Yes, describe.

No

## SECTION V: DOCUMENTATION

57. Additional coder comments on this alliance treaty:

58. Source of coding information (e.g., treaty, treaty and secondary sources, secondary sources only, etc.): Treaty

59. Treaty citation: UNTS no. 29524

60. Date of last revision of this coding sheet: July 4, 2004

61. Coder: Andrew Long, Ashley Leeds

Version 4.0: Q14 revised by Nicholas Coulombe on October 30, 2017