

ALLIANCE TREATY OBLIGATIONS AND PROVISIONS (ATOP)
CODING SHEET

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SECTION I: FORMATION, MEMBERS, AND DURATION

1. ATOP ID: 4220, phase 1

2. COW Alliance # (if applicable):
NA

3. Signature date(s) (may vary for members in multilateral alliances):
May 15, 1992
See #4 for accession dates.

4. Members of alliance (use COW country codes):
365 Russia
371 Armenia
373 Azerbaijan (acceded on 9/24/93)
370 Belarus (acceded on 1/3/94)
372 Georgia (acceded on 12/9/93)
705 Kazakhstan
703 Kyrgyzstan
702 Tajikistan
704 Uzbekistan

5. Was the alliance signed during war? If so, which war, and which member(s) was at war? (check COW data)
No. Upon accession, Azerbaijan was involved in COW War #216 (v4.0).

6. Means by which alliance was established (e.g., treaty, executive agreement, diplomatic notes, etc.): (Only select "treaty" if the agreement requires ratification.)
Treaty

7. Was the treaty public, public but with secret articles, or secret? If secret articles, describe the content of secret articles.
Public

8. Are there provisions for adding additional members mentioned in the treaty? (Yes, No) If Yes, describe these provisions.
Article 10 leaves treaty open to accession by all interested states.

9. Are specific state(s) mentioned as possible future members of the alliance? (Yes, No) If Yes, which state(s)?
No

10. Are there specific provisions in the treaty concerning the length of time the treaty is to last? (Yes, No) If Yes, describe.
60 months.

11. Are there conditions under which members may renounce the treaty? If Yes, describe these conditions.
Yes. Any party shall have the right to withdraw from this treaty if it informs the other parties about its intentions no less than six months prior to that and if it has fulfilled all obligations coming out of this treaty in connection with the withdrawal (Art. 11)

12. Are there specific provisions in the treaty concerning renewal of the treaty? (Yes, No) If Yes, describe these provisions.
Yes. The treaty is concluded for five years with further prolongation (Art. 11)

13. Number of times alliance renewed, dates of renewal, and means by which alliance was renewed (Do not include automatic renewals):
Renewed by protocol April 2, 1999

14. Termination date(s) (may vary for members in multilateral alliances) and source(s) for termination dates(s):
April 20, 1999 for Uzbekistan, Azerbaijan, and Georgia, who did not sign the renewal protocol.
October 7, 2002 for remaining states.

15. Describe what caused the alliance to terminate.

For six continuing members, signature of the CSTO Charter, leading to phase 2 of the alliance.

SECTION II: OBLIGATIONS

16. Type of alliance (defense pact, neutrality pact, nonaggression pact, consultation pact, offense pact): (List all that apply to any member of the alliance.)

Defense Pact, Nonaggression Pact, Consultation Pact

17. Type of alliance as coded in COW data (if applicable):

NA

18. Describe the obligations of the alliance partners.

Defense:

Article IV: "In case one of (the parties) is subjected to an aggression by any state or a group of states, this shall be considered as an aggression against all the (parties) of the present Treaty."

"In case an act of aggression is directed against any of the (parties), all other (parties) shall provide it necessary assistance, including military assistance,..."

Nonaggression:

Article I: "The (parties) reconfirm the obligation to abstain from the use or threat of force in interstate relations. They shall resolve all the differences among them and other states only by peaceful means."

Consultation:

Article II: "In case of any threat to security, territorial integrity and sovereignty to one or several (parties), or in case of a threat to international peace and security, the (parties) shall immediately put into action the mechanism of joint consultations in order to coordinate their positions and take measures to eliminate the arisen threat."

19. Are any of the obligations contingent upon any of the following: specific adversary, specific location, specific ongoing conflict, number of adversaries, noncompliance with a specific demand, attack, nonprovocation of enemy, or prior agreement among partners? (List all that apply)

None

20. Describe the nature of the limits to obligations listed in #19.

21. Are there any additional provisions for assistance in the event of war? (e.g., promise not to participate in economic sanctions against partner, promise not to aid internal or external enemies, etc.) (Yes, No) If Yes, describe.

No

22. Are there any additional limits to the alliance obligations or conditions under which treaty obligations do not apply that were not listed in #19? (Yes, No) If Yes, describe.

No

23. Is a specific target/threat mentioned as the object of the treaty? (Yes, No) (Answering Yes to this question does not necessarily mean that obligations are limited only to this adversary.) If Yes, list the target nation(s) (using COW country codes if possible) and describe the general nature of the reference to the target of the treaty.

No

24. Are the treaty obligations symmetric? (That is, do all members commit to the same obligations?) (Yes, No) If No, describe.

Yes

25. Does the treaty prohibit members from settling conflicts independently (no separate peace)? (Yes, No) If Yes, describe.

No

26. Does the treaty have provisions requiring that the contracting parties consult before making commitments to third parties (excluding no separate peace provisions discussed above)? (Yes, No) If Yes, describe these provisions.

No

27. Does the treaty specify that the contracting parties must not enter into any other alliances that are directed against the alliance in question? (Yes, No) If Yes, describe.

Article I: "The (parties) shall not join military alliances or take part in any groupings of states as well as in actions directed against any other State Party."

Article VII: “The (parties) undertake not to conclude international agreements incompatible with this Treaty.”

28. Additional coder comments regarding treaty obligations:

Article VI: “The decision on the use of the Armed Forces to rebuff aggression under article 4 of the present Treaty shall be taken by the Heads of the (parties).”

SECTION III: INSTITUTIONALIZATION OF THE ALLIANCE

29. Does the treaty make reference to the potential for conflict among members of the alliance? (Yes, No) If Yes, describe.

Yes. Article 9: “Any questions which may arise among the (parties) regarding their interpretation or implementation of this treaty shall be settled jointly in the spirit of friendship, mutual respect and mutual understanding...”

30. Does the treaty discuss mediation/arbitration or other means of settling disputes among the signatories? (Yes, No) If Yes, describe.
No

31. Does the treaty require official contact among the military forces of the participating states? (Yes, No) If Yes, describe. (Note whether official contact is required only in the event of hostilities or also during peacetime.)
No

32. Does the treaty include provisions for military aid? (e.g., grants, loans, transfer of technology, training) (Yes, No) If Yes, describe these provisions.
No

33. Does the treaty provide for integrated command of military forces while the alliance is in effect (peacetime as well as wartime)? (Yes, No) If Yes, describe.

Peacetime and Wartime: Article V: “Coordination and joint actions of the (parties) under the present Treaty shall be taken by the Collective Security Council of the (parties) and the bodies it may establish. Before the above-mentioned bodies are established, coordination of the Armed Forces activities of the (parties) shall be carried out by the Major Command of the Allied Armed Forces of the Commonwealth.”

34. Does the treaty require subordination of the forces of one or more member states to another in the event of hostilities? (Yes, No) If Yes, describe.
No

35. Does the treaty establish any organizations? (include provisions for regularly scheduled meetings) (Yes, No) If Yes, describe.
Article III: “The (parties) shall create a Collective Security Council consisting of the Heads of the (parties) and the Commander-in-Chief of the Allied Armed Forces of the Commonwealth of Independent States.”

36. Does the treaty provide for joint military bases, or for one or more states to place troops in the territory of one or more other states? (Yes, No) If Yes, describe.
No

37. Does the treaty specify contribution levels (funds, troops, etc.)? (Yes, No) If Yes, describe.
No

38. Does the treaty contain any provisions regarding coordinated increase of armaments, reduction of armaments, prohibition of weapons, or rules of warfare? (Yes, No) If Yes, describe such provisions.
No

39. Does the treaty explicitly permit or prohibit the acquisition of territory not currently held by either ally? (Yes, No) If Yes, describe reference.
No

40. Does the treaty include discussion of the division of gains from any future conflict? (Yes, No) If Yes, describe.
No

41. Does the treaty include discussion of demobilization/withdrawal following conflict? (Yes, No) If Yes, describe.
No

42. Are there any other provisions in the treaty that describe the means through which the states will coordinate their military efforts and policies? (Yes, No) If Yes, describe.
No

43. Additional coder comments regarding references to alliance institutionalization:

No

SECTION IV: TREATY CONTEXT

44. Does the treaty state that it nullifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are nullified and describe the treaty reference.

No

45. Does the treaty state that it modifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are modified and describe the treaty reference.

No

46. Does the treaty state that it includes any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are included and describe the treaty reference.

No

47. Does the treaty make any references to international organizations? (Yes, No) If Yes, list the international organization and describe the nature of the reference.

United Nations—collective defense provision is consistent with UN charter, actions taken in collective defense will be reported to Security Council, use of armed forces outside territories of the members shall only occur within principles of UN charter.

48. Does the treaty make any references to other existing alliances? (Yes, No) If Yes, list the alliance and describe the nature of the reference.

No

49. Are there any companion agreements referenced in the treaty? (Yes, No) If Yes, describe the agreements.

No

50. Does the treaty include statements regarding non-military cooperation (e.g., economic, cultural, scientific exchange)? (Yes, No) If Yes, describe.

No

51. Does the treaty resolve other conflicts among the parties to the alliance? (Yes, No) If Yes, describe.

No

52. Does the treaty propose/enforce settlement of a conflict not involving parties to the alliance? (Yes, No) If Yes, describe.

No

53. Does the treaty propose other agreements among the contracting parties? (Yes, No) If Yes, describe.

Article 7 proposes special agreements on the “deployment and operation of the objects of the collective security system.”

54. Does the treaty mention unresolved conflicts among the contracting parties? (Yes, No) If Yes, describe.

No

55. Does the treaty include provisions for economic aid or other enticements (include trade concessions, post war recovery, etc.)? (Yes, No) If Yes, describe these provisions.

No

56. Does the treaty describe circumstances under which one party may intervene in the internal politics of another party or specifically commit the states to non-intervention? (Yes, No) If Yes, describe.

No

SECTION V: DOCUMENTATION

57. Additional coder comments on this alliance treaty:

Article 1 includes a clause calling for the treaty to be revised if a collective security system is established in Europe and Asia. This is phase 1 of a 2 phase alliance.

58. Source of coding information (e.g., treaty, treaty and secondary sources, secondary sources only, etc.): Treaty

59. Treaty citation: UNTS no. 32307

60. Date of last revision of this coding sheet: June 1, 2018

61. Coder: Andrew Long, Ashley Leeds

Version 4.0: Q5 revised by Jessica Edry on June 1, 2018