

ALLIANCE TREATY OBLIGATIONS AND PROVISIONS (ATOP)
CODING SHEET

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SECTION I: FORMATION, MEMBERS, AND DURATION

1. ATOP ID: 6005

2. COW Alliance # (if applicable): N/A.

3. Signature date(s) (may vary for members in multilateral alliances):
January 15, 2007

4. Members of alliance (use COW country codes):
China (710)
Tajikistan (702)

5. Was the alliance signed during war? If so, which war, and which member(s) was at war? (check COW data)
No.

6. Means by which alliance was established (e.g., treaty, executive agreement, diplomatic notes, etc.): (Only select "treaty" if the agreement requires ratification.)
Treaty.

7. Was the treaty public, public but with secret articles, or secret? If secret articles, describe the content of secret articles.
Public.

8. Are there provisions for adding additional members mentioned in the treaty? (Yes, No) If Yes, describe these provisions.
No.

9. Are specific state(s) mentioned as possible future members of the alliance? (Yes, No) If Yes, which state(s)?
No.

10. Are there specific provisions in the treaty concerning the length of time the treaty is to last? (Yes, No) If Yes, describe.
The present Agreement is signed for a period of 25 years (Art. 18).

11. Are there conditions under which members may renounce the treaty? If Yes, describe these conditions.
No.

12. Are there specific provisions in the treaty concerning renewal of the treaty? (Yes, No) If Yes, describe these provisions.
The validity of the Agreement shall be automatically renewed for subsequent durations of five years each, unless one of the Contracting Parties communicates in writing, at least one year before the expiration of a period, the intention to renounce the Agreement (Art. 18).

13. Number of times alliance renewed, dates of renewal, and means by which alliance was renewed (Do not include automatic renewals):
Zero.

14. Termination date(s) (may vary for members in multilateral alliances) and source(s) for termination dates(s):
Still in effect (China MFA website
(http://www.fmprc.gov.cn/web/gjhdq_676201/gj_676203/yz_676205/1206_676908/1207_676920/)-visited on July 20, 2018)

15. Describe what caused the alliance to terminate.
N/A.

SECTION II: OBLIGATIONS

16. Type of alliance (defense pact, neutrality pact, nonaggression pact, consultation pact, offense pact): (List all that apply to any member of the alliance.)
Nonaggression

Consultation

17. Type of alliance as coded in COW data (if applicable): N/A.

18. Describe the obligations of the alliance partners.

Both signatories, on the basis of the principles of the Charter of the United Nations and other recognized international laws, and on the basis of the principles of mutual respect for sovereignty and territorial integrity, refrain from use of force or threat of force, mutual nonaggression, mutual noninterference in internal affairs, equal benefit and peaceful co-existence, will fully strengthen and develop their long-term good neighborly, friendly and cooperative relationship. (Art. 1) [Nonaggression]

“Contracting Party does not participate in any alliance or group that harms the sovereignty, security and territorial integrity of the other Contracting Party and does not take any such action, including the conclusion of such treaties by different third countries.” (Art. 5) [Nonaggression]

If there is an international or regional outbreak of a complicated situation or a crisis which might pose a threat to one of the signatories' peace or security interests, the both signatories shall consult immediately with each other and establish measures to forestall the threat through negotiations. (Art. 6) [Consultation]

19. Are any of the obligations contingent upon any of the following: specific adversary, specific location, specific ongoing conflict, number of adversaries, noncompliance with a specific demand, attack, nonprovocation of enemy, or prior agreement among partners? (List all that apply)

No.

20. Describe the nature of the limits to obligations listed in #19.

21. Are there any additional provisions for assistance in the event of war? (e.g., promise not to participate in economic sanctions against partner, promise not to aid internal or external enemies, etc.) (Yes, No) If Yes, describe.

Neither signatory shall permit any third country to use its territory to impair the other signatory's national sovereignty, security and territorial integrity. (Art. 5)

“The signatories shall prohibit the establishment in its territory of any organization or body that can impair the other signatory's sovereignty, security and territorial integrity, and prohibit their activities as well.” (Art. 5)

22. Are there any additional limits to the alliance obligations or conditions under which treaty obligations do not apply that were not listed in #19? (Yes, No) If Yes, describe.

No

23. Is a specific target/threat mentioned as the object of the treaty? (Yes, No) (Answering Yes to this question does not necessarily mean that obligations are limited only to this adversary.) If Yes, list the target nation(s) (using COW country codes if possible) and describe the general nature of the reference to the target of the treaty.

No.

24. Are the treaty obligations symmetric? (That is, do all members commit to the same obligations?) (Yes, No) If No, describe.

Yes.

25. Does the treaty prohibit members from settling conflicts independently (no separate peace)? (Yes, No) If Yes, describe.

No.

26. Does the treaty have provisions requiring that the contracting parties consult before making commitments to third parties (excluding no separate peace provisions discussed above)? (Yes, No) If Yes, describe these provisions.

No.

27. Does the treaty specify that the contracting parties must not enter into any other alliances that are directed against the alliance in question? (Yes, No) If Yes, describe.

Yes. Neither signatory shall join any alliance or bloc detrimental to the other side's sovereignty, security and territorial integrity; or take any actions of this nature, including signing any treaty of this nature with a third country. (Art. 5)

28. Additional coder comments regarding treaty obligations:

Article 3 – Tajikistan reaffirms the “One China” policy and will not endorse any version of Taiwan legal recognition. They agree to oppose Taiwan's inclusion in international organizations, and set up no formal relations with Taiwan.

SECTION III: INSTITUTIONALIZATION OF THE ALLIANCE

29. Does the treaty make reference to the potential for conflict among members of the alliance? (Yes, No) If Yes, describe.
No.
30. Does the treaty discuss mediation/arbitration or other means of settling disputes among the signatories? (Yes, No) If Yes, describe.
No.
31. Does the treaty require official contact among the military forces of the participating states? (Yes, No) If Yes, describe. (Note whether official contact is required only in the event of hostilities or also during peacetime.)
No.
32. Does the treaty include provisions for military aid? (e.g., grants, loans, transfer of technology, training) (Yes, No) If Yes, describe these provisions.
Yes. Both parties will help each other educate and train military officials, and specialists(Art. 13).
33. Does the treaty provide for integrated command of military forces while the alliance is in effect (peacetime as well as wartime)? (Yes, No) If Yes, describe.
No.
34. Does the treaty require subordination of the forces of one or more member states to another in the event of hostilities? (Yes, No) If Yes, describe.
No.
35. Does the treaty establish any organizations? (include provisions for regularly scheduled meetings) (Yes, No) If Yes, describe.
No.
36. Does the treaty provide for joint military bases, or for one or more states to place troops in the territory of one or more other states? (Yes, No) If Yes, describe.
No.
37. Does the treaty specify contribution levels (funds, troops, etc.)? (Yes, No) If Yes, describe.
No..
38. Does the treaty contain any provisions regarding coordinated increase of armaments, reduction of armaments, prohibition of weapons, or rules of warfare? (Yes, No) If Yes, describe such provisions.
No.
39. Does the treaty explicitly permit or prohibit the acquisition of territory not currently held by either ally? (Yes, No) If Yes, describe reference.
No.
40. Does the treaty include discussion of the division of gains from any future conflict? (Yes, No) If Yes, describe.
No.
41. Does the treaty include discussion of demobilization/withdrawal following conflict? (Yes, No) If Yes, describe.
No.
42. Are there any other provisions in the treaty that describe the means through which the states will coordinate their military efforts and policies? (Yes, No) If Yes, describe.
No.
43. Additional coder comments regarding references to alliance institutionalization:

SECTION IV: TREATY CONTEXT

44. Does the treaty state that it nullifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are nullified and describe the treaty reference.
No.

45. Does the treaty state that it modifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are modified and describe the treaty reference.

No.

46. Does the treaty state that it includes any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are included and describe the treaty reference.

No.

47. Does the treaty make any references to international organizations? (Yes, No) If Yes, list the international organization and describe the nature of the reference.

UN: peace and security framework (Preamble, Art. 1), SCO: cooperation for anti-terrorism and anti-separatism and anti-extremism with the SCO framework(Art. 7) and regional cooperation with the SCO framework(Art. 15).

48. Does the treaty make any references to other existing alliances? (Yes, No) If Yes, list the alliance and describe the nature of the reference.

SCO. See #47

49. Are there any companion agreements referenced in the treaty? (Yes, No) If Yes, describe the agreements.

No.

50. Does the treaty include statements regarding non-military cooperation (e.g., economic, cultural, scientific exchange)? (Yes, No) If Yes, describe.

Cooperation in fight against transboundary crime(Art. 7); inter-parliamentary cooperation(Art. 8); cooperation in the fields of trade, transportation, science and technology, energy, mining, agriculture, communication, infrastructure(Art. 9); cooperation in the fields of culture, education, public health, judiciary, mass media, tourism, and sports(Art. 10); environmental protection(Art. 11); and legal assistance and intellectual property rights(Art. 12).

51. Does the treaty resolve other conflicts among the parties to the alliance? (Yes, No) If Yes, describe.

No, but reference is made to the successful resolution of past border disputes in Article 4:

“The contracting parties noted with satisfaction that the border issues left over from history have been comprehensively resolved and of great significance. They are determined and actively committed to building the border between the two countries into a border of permanent peace and friendship from generation to generation. The contracting parties will strictly abide by all the border agreements and documents signed by the two countries.”

52. Does the treaty propose/enforce settlement of a conflict not involving parties to the alliance? (Yes, No) If Yes, describe.

No.

53. Does the treaty propose other agreements among the contracting parties? (Yes, No) If Yes, describe.

No.

54. Does the treaty mention unresolved conflicts among the contracting parties? (Yes, No) If Yes, describe.

55. Does the treaty include provisions for economic aid or other enticements (include trade concessions, post war recovery, etc.)? (Yes, No) If Yes, describe these provisions.

No.

56. Does the treaty describe circumstances under which one party may intervene in the internal politics of another party or specifically commit the states to non-intervention? (Yes, No) If Yes, describe.

Yes. Both signatories, on the basis of the principles of the Charter of the United Nations and other recognized international laws, and on the basis of the principles of (...) mutual noninterference in internal affairs...(Art. 1)

SECTION V: DOCUMENTATION

57. Additional coder comments on this alliance treaty:

Translation from Chinese by Fanglu Sun.

58. Source of coding information (e.g., treaty, treaty and secondary sources, secondary sources only, etc.):

Treaty: Treaty of Good-neighborliness, Friendship and Cooperation Between the People's Republic of China and the Republic of Tajikistan

59. Treaty citation: China's MFA Website (<http://www.fmprc.gov.cn/chn/pds/ziliao/tytj/tyfg/t289614.htm> : accessed on 1 August 2012)

60. Date of last revision of this coding sheet: July 20, 2018

61. Coder: Jaewook Chung, Ashley Leeds