

ALLIANCE TREATY OBLIGATIONS AND PROVISIONS (ATOP)  
CODING SHEET

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SECTION I: FORMATION, MEMBERS, AND DURATION

1. ATOP ID: # 2170.
2. COW Alliance # (if applicable): # 3045.
3. Signature date(s) (may vary for members in multilateral alliances):  
August 31, 1926.
4. Members of alliance (use COW country codes):  
365 USSR  
700 Afghanistan.
5. Was the alliance signed during war? If so, which war, and which member(s) was at war? (check COW data)  
No.
6. Means by which alliance was established (e.g., treaty, executive agreement, diplomatic notes, etc.): (Only select "treaty" if the agreement requires ratification.)  
Treaty.
7. Was the treaty public, public but with secret articles, or secret? If secret articles, describe the content of secret articles.  
Public.
8. Are there provisions for adding additional members mentioned in the treaty? (Yes, No) If Yes, describe these provisions.  
No.
9. Are specific state(s) mentioned as possible future members of the alliance? (Yes, No) If Yes, which state(s)?  
No.
10. Are there specific provisions in the treaty concerning the length of time the treaty is to last? (Yes, No) If Yes, describe.  
Yes. The treaty will remain in force for three years (Art.6).
11. Are there conditions under which members may renounce the treaty? If Yes, describe these conditions.  
No.
12. Are there specific provisions in the treaty concerning renewal of the treaty? (Yes, No) If Yes, describe these provisions.  
Yes. After this term the treaty will be considered as automatically prolonged for successive periods of 1 year unless one of the two parties denounces it to the other party 6 months prior to the expiration of the term of validity of the treaty (Art.6).
13. Number of times alliance renewed, dates of renewal, and means by which alliance was renewed (Do not include automatic renewals):  
Four.  
Treaty of Neutrality and Nonaggression from June 24, 1931: The treaty is concluded for a period of five years. On the expiry of five year it shall automatically be renewed from year to year, each party being entitled to denounce it at six months' notice.  
Protocol from March 29, 1936: The treaty shall be in force until March 29, 1946. After that it shall automatically be renewed from year to year, each party being entitled to denounce it at six months' notice.  
Protocol from December 18, 1955: The treaty shall remain in force for ten years. After that it shall automatically be renewed from year to year, each party being entitled to denounce it at six months' notice.  
Protocol from December 10, 1975: The treaty shall remain in force for ten years. After that it shall automatically be extended for further five years and after that it shall be extended for further five-year periods unless one party notifies the other of its termination not less than one year in advance.
14. Termination date(s) (may vary for members in multilateral alliances) and source(s) for termination dates(s):  
December 24, 1979
15. Describe what caused the alliance to terminate.  
Russia invades Afghanistan

## SECTION II: OBLIGATIONS

16. Type of alliance (defense pact, neutrality pact, nonaggression pact, consultation pact, offense pact): (List all that apply to any member of the alliance.)

Neutrality Pact

Nonaggression Pact.

17. Type of alliance as coded in COW data (if applicable): Neutrality/Nonaggression Pact.

18. Describe the obligations of the alliance partners.

In case of war or military action between one of the parties and a third or several other powers, the other party binds itself to observe neutrality with respect to the first (Art.1).

Each of the parties binds itself to abstain from all attack upon the other, and not to take such steps on its own territory as might inflict upon the other party political or military injury.

19. Are any of the obligations contingent upon any of the following: specific adversary, specific location, specific ongoing conflict, number of adversaries, noncompliance with a specific demand, attack, nonprovocation of enemy, or prior agreement among partners? (List all that apply)

No.

20. Describe the nature of the limits to obligations listed in #19.

N/A.

21. Are there any additional provisions for assistance in the event of war? (e.g., promise not to participate in economic sanctions against partner, promise not to aid internal or external enemies, etc.) (Yes, No) If Yes, describe.

Each party binds itself not to take part (...) in any boycott or policy or encirclement, of a financial or economic nature, which might be directed against the other party. Moreover, in case the attitude of a third power or of third powers with respect to one of the two parties should be hostile to its endeavors, the other party binds itself not only to refrain from supporting such a line of conduct, but to oppose on its own territory the contemplated procedure as well as the hostile actions and designs (Art.2).

The parties will in no manner participate or cooperate in any action by a third or several powers which might take steps or intervene against the other party. The parties will not permit, and will forbid to operate on their territory, the organization and activity or groups, and likewise the activities of individuals, which would be prejudicial to the other party or which would aim to overthrow the state system of the latter, undertake against the integrity of its territory, or carry out the assembling or raising of armed forces against the other party. In like manner the two parties will not allow, nor will they grant permission for, the passage through their territory of armed forces, arms, firearms and ammunition and every sort of supplies of military materials which might be directed against the other party (Art.3)

22. Are there any additional limits to the alliance obligations or conditions under which treaty obligations do not apply that were not listed in #19? (Yes, No) If Yes, describe.

No.

23. Is a specific target/threat mentioned as the object of the treaty? (Yes, No) (Answering Yes to this question does not necessarily mean that obligations are limited only to this adversary.) If Yes, list the target nation(s) (using COW country codes if possible) and describe the general nature of the reference to the target of the treaty.

No.

24. Are the treaty obligations symmetric? (That is, do all members commit to the same obligations?) (Yes, No) If No, describe.

Yes.

25. Does the treaty prohibit members from settling conflicts independently (no separate peace)? (Yes, No) If Yes, describe.

No.

26. Does the treaty have provisions requiring that the contracting parties consult before making commitments to third parties (excluding no separate peace provisions discussed above)? (Yes, No) If Yes, describe these provisions.

No.

27. Does the treaty specify that the contracting parties must not enter into any other alliances that are directed against the alliance in question? (Yes, No) If Yes, describe.

Yes. Each party will not take part in any form of union or agreement of a military or political character with a third or more states which might be aimed against the other party (Art.2).

28. Additional coder comments regarding treaty obligations:

### SECTION III: INSTITUTIONALIZATION OF THE ALLIANCE

29. Does the treaty make reference to the potential for conflict among members of the alliance? (Yes, No) If Yes, describe.

Yes. The parties agree to proceed within 4 months to negotiations for the establishment of the mode of settling differences which may arise between them and which cannot be settled in the usual diplomatic way (Art.4).

30. Does the treaty discuss mediation/arbitration or other means of settling disputes among the signatories? (Yes, No) If Yes, describe.

No

31. Does the treaty require official contact among the military forces of the participating states? (Yes, No) If Yes, describe. (Note whether official contact is required only in the event of hostilities or also during peacetime.)

No.

32. Does the treaty include provisions for military aid? (e.g., grants, loans, transfer of technology, training) (Yes, No) If Yes, describe these provisions.

No.

33. Does the treaty provide for integrated command of military forces while the alliance is in effect (peacetime as well as wartime)? (Yes, No) If Yes, describe.

No.

34. Does the treaty require subordination of the forces of one or more member states to another in the event of hostilities? (Yes, No) If Yes, describe.

No.

35. Does the treaty establish any organizations? (include provisions for regularly scheduled meetings) (Yes, No) If Yes, describe.

No.

36. Does the treaty provide for joint military bases, or for one or more states to place troops in the territory of one or more other states? (Yes, No) If Yes, describe.

No.

37. Does the treaty specify contribution levels (funds, troops, etc.)? (Yes, No) If Yes, describe.

No.

38. Does the treaty contain any provisions regarding coordinated increase of armaments, reduction of armaments, prohibition of weapons, or rules of warfare? (Yes, No) If Yes, describe such provisions.

No.

39. Does the treaty explicitly permit or prohibit the acquisition of territory not currently held by either ally? (Yes, No) If Yes, describe reference.

No.

40. Does the treaty include discussion of the division of gains from any future conflict? (Yes, No) If Yes, describe.

No.

41. Does the treaty include discussion of demobilization/withdrawal following conflict? (Yes, No) If Yes, describe.

No.

42. Are there any other provisions in the treaty that describe the means through which the states will coordinate their military efforts and policies? (Yes, No) If Yes, describe.

No.

43. Additional coder comments regarding references to alliance institutionalization:

### SECTION IV: TREATY CONTEXT

44. Does the treaty state that it nullifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are nullified and describe the treaty reference.  
No.
45. Does the treaty state that it modifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are modified and describe the treaty reference.  
No.
46. Does the treaty state that it includes any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are included and describe the treaty reference.  
Yes. The preamble refers to the treaty signed on February 28, 1921 which remains in force irrespective of whether this treaty remains in force (Preamble).
47. Does the treaty make any references to international organizations? (Yes, No) If Yes, list the international organization and describe the nature of the reference.  
No.
48. Does the treaty make any references to other existing alliances? (Yes, No) If Yes, list the alliance and describe the nature of the reference.  
No.
49. Are there any companion agreements referenced in the treaty? (Yes, No) If Yes, describe the agreements.  
No.
50. Does the treaty include statements regarding non-military cooperation (e.g., economic, cultural, scientific exchange)? (Yes, No) If Yes, describe.  
No.
51. Does the treaty resolve other conflicts among the parties to the alliance? (Yes, No) If Yes, describe.  
No.
52. Does the treaty propose/enforce settlement of a conflict not involving parties to the alliance? (Yes, No) If Yes, describe.  
No.
53. Does the treaty propose other agreements among the contracting parties? (Yes, No) If Yes, describe.  
Yes. The parties agree to proceed within 4 months to negotiations for the establishment of the mode of settling differences which may arise between them and which cannot be settled in the usual diplomatic way (Art.4).
54. Does the treaty mention unresolved conflicts among the contracting parties? (Yes, No) If Yes, describe.  
No.
55. Does the treaty include provisions for economic aid or other enticements (include trade concessions, post war recovery, etc.)? (Yes, No) If Yes, describe these provisions.  
No.
56. Does the treaty describe circumstances under which one party may intervene in the internal politics of another party or specifically commit the states to non-intervention? (Yes, No) If Yes, describe.  
Yes. The parties mutually recognize the sovereignty and the integrity of their possessions, and bind themselves to abstain from all armed or unarmed interference in the internal affairs of the other party (Art.3).

## SECTION V: DOCUMENTATION

57. Additional coder comments on this alliance treaty:  
There is another Treaty of Neutrality and Nonaggression signed on June 24, 1931. It contains essentially the same provisions and is here coded as being one of the renewals of the original treaty of 1926.
58. Source of coding information (e.g., treaty, treaty and secondary sources, secondary sources only, etc.): Treaty.
59. Treaty citation: Treaty of Neutrality and Nonaggression from August 31, 1926: BFSP, vol.125, p.3  
Treaty of Neutrality and Nonaggression from June 24, 1931: LNTS, vol.157, p.378.  
Protocol for Renewal from March 29, 1936: BFSP, vol.140, p.307.

Protocol for Renewal from December 18, 1955: UNTSno.2684

Protocol for Renewal from December 10, 1975: Soviet Documents on the Middle East, p.165.

60. Date of last revision of this coding sheet: 02/17/05.

61. Coder: Andrew Long, Michaela Mattes, Ashley Leeds