

ALLIANCE TREATY OBLIGATIONS AND PROVISIONS (ATOP)
CODING SHEET

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SECTION I: FORMATION, MEMBERS, AND DURATION

1. ATOP ID: 4620
2. COW Alliance # (if applicable): N/A.
3. Signature date(s) (may vary for members in multilateral alliances): September 6, 1994
4. Members of alliance (use COW country codes):
710 China
369 Ukraine
5. Was the alliance signed during war? If so, which war, and which member(s) was at war? (check COW data)
No.
6. Means by which alliance was established (e.g., treaty, executive agreement, diplomatic notes, etc.): (Only select "treaty" if the agreement requires ratification.)
Executive Agreement.
7. Was the treaty public, public but with secret articles, or secret? If secret articles, describe the content of secret articles.
Public
8. Are there provisions for adding additional members mentioned in the treaty? (Yes, No) If Yes, describe these provisions.
No.
9. Are specific state(s) mentioned as possible future members of the alliance? (Yes, No) If Yes, which state(s)?
No.
10. Are there specific provisions in the treaty concerning the length of time the treaty is to last? (Yes, No) If Yes, describe.
No.
11. Are there conditions under which members may renounce the treaty? If Yes, describe these conditions.
No.
12. Are there specific provisions in the treaty concerning renewal of the treaty? (Yes, No) If Yes, describe these provisions.
No.
13. Number of times alliance renewed, dates of renewal, and means by which alliance was renewed (Do not include automatic renewals):
No.
14. Termination date(s) (may vary for members in multilateral alliances) and source(s) for termination dates(s):
Still in effect.
Version 4.0: In effect as of June 1, 2018 according to the Chinese MFA:
(http://www.fmprc.gov.cn/web/gjhdq_676201/gj_676203/oz_678770/1206_679786/sbgx_679790/)
15. Describe what caused the alliance to terminate.
N/A.

SECTION II: OBLIGATIONS

16. Type of alliance (defense pact, neutrality pact, nonaggression pact, consultation pact, offense pact): (List all that apply to any member of the alliance.)
Nonaggression pact
17. Type of alliance as coded in COW data (if applicable): N/A.

18. Describe the obligations of the alliance partners.

The statement says that:

- (1) The two sides consider each other as a friendly country and will further their friendly relations of cooperation on the basis of the principles of mutual respect for each other's independence, sovereignty and territorial integrity, non-aggression, non-interference in each other's internal affairs, equality and mutual benefit, and peaceful coexistence, in line with the UN charter and other internationally recognized norms. The development of relations of friendship and cooperation is not against any third country.
- (2) The two sides will not enter into any military or political alliance directed against the other side. Nor will they sign any treaty or agreement with a third country that will harm the sovereignty or security interests of the other side. Neither side will participate in any joint action with a third country that will hurt the sovereignty or security of the other.
- (4) Both sides oppose hegemony and power politics and hold that all nations, large or small, have equal rights to participate in international affairs. They maintain that conflicts should be settled through peaceful means. All parties of dispute should refrain from using force and threatening of the use of force. They call for the establishment of just and reasonable new international political and economic orders on the basis of the five principles of peaceful coexistence and in line with the UN charter.
- (5) Ukraine recognizes the government of the People's Republic of China as the sole legitimate representative of China and Taiwan as its integral part. China recognizes and respects Ukraine's independence, sovereignty and territorial integrity.
- (7) The two sides recognize the importance of integrating the world economy and plan to seek new ways of exchange between Europe and Asia in economy and trade, science and technology, and culture.

19. Are any of the obligations contingent upon any of the following: specific adversary, specific location, specific ongoing conflict, number of adversaries, noncompliance with a specific demand, attack, nonprovocation of enemy, or prior agreement among partners? (List all that apply)

No.

20. Describe the nature of the limits to obligations listed in #19.

N/A.

21. Are there any additional provisions for assistance in the event of war? (e.g., promise not to participate in economic sanctions against partner, promise not to aid internal or external enemies, etc.) (Yes, No) If Yes, describe.

No.

22. Are there any additional limits to the alliance obligations or conditions under which treaty obligations do not apply that were not listed in #19? (Yes, No) If Yes, describe.

No.

23. Is a specific target/threat mentioned as the object of the treaty? (Yes, No) (Answering Yes to this question does not necessarily mean that obligations are limited only to this adversary.) If Yes, list the target nation(s) (using COW country codes if possible) and describe the general nature of the reference to the target of the treaty.

No.

24. Are the treaty obligations symmetric? (That is, do all members commit to the same obligations?) (Yes, No) If No, describe.

Yes.

25. Does the treaty prohibit members from settling conflicts independently (no separate peace)? (Yes, No) If Yes, describe.

No.

26. Does the treaty have provisions requiring that the contracting parties consult before making commitments to third parties (excluding no separate peace provisions discussed above)? (Yes, No) If Yes, describe these provisions.

No.

27. Does the treaty specify that the contracting parties must not enter into any other alliances that are directed against the alliance in question? (Yes, No) If Yes, describe.

Yes. The statement requires that the two sides will not enter into any military or political alliance directed against the other side. They will not sign any treaty with a third country that will harm the sovereignty or security interests of the other side. Neither side will participate in any joint action with a third country that will hurt the sovereignty or security of the other.

28. Additional coder comments regarding treaty obligations:

SECTION III: INSTITUTIONALIZATION OF THE ALLIANCE

29. Does the treaty make reference to the potential for conflict among members of the alliance? (Yes, No) If Yes, describe.

No.

30. Does the treaty discuss mediation/arbitration or other means of settling disputes among the signatories? (Yes, No) If Yes, describe.
No.

31. Does the treaty require official contact among the military forces of the participating states? (Yes, No) If Yes, describe. (Note whether official contact is required only in the event of hostilities or also during peacetime.)
No.

32. Does the treaty include provisions for military aid? (e.g., grants, loans, transfer of technology, training) (Yes, No) If Yes, describe these provisions.
No.

33. Does the treaty provide for integrated command of military forces while the alliance is in effect (peacetime as well as wartime)? (Yes, No) If Yes, describe.
No.

34. Does the treaty require subordination of the forces of one or more member states to another in the event of hostilities? (Yes, No) If Yes, describe.
No.

35. Does the treaty establish any organizations? (include provisions for regularly scheduled meetings) (Yes, No) If Yes, describe.
No.

36. Does the treaty provide for joint military bases, or for one or more states to place troops in the territory of one or more other states? (Yes, No) If Yes, describe.
No.

37. Does the treaty specify contribution levels (funds, troops, etc.)? (Yes, No) If Yes, describe.
No.

38. Does the treaty contain any provisions regarding coordinated increase of armaments, reduction of armaments, prohibition of weapons, or rules of warfare? (Yes, No) If Yes, describe such provisions.
(3) Both sides oppose any form of arms race and call for the overall prohibition and thorough elimination of weapons of mass destruction. They propose that proper measures be taken to strengthen the international system of non-proliferation and that an international treaty be signed at the earliest to ban nuclear tests of all kinds.

39. Does the treaty explicitly permit or prohibit the acquisition of territory not currently held by either ally? (Yes, No) If Yes, describe reference.
No.

40. Does the treaty include discussion of the division of gains from any future conflict? (Yes, No) If Yes, describe.
No.

41. Does the treaty include discussion of demobilization/withdrawal following conflict? (Yes, No) If Yes, describe.
No.

42. Are there any other provisions in the treaty that describe the means through which the states will coordinate their military efforts and policies? (Yes, No) If Yes, describe.
No.

43. Additional coder comments regarding references to alliance institutionalization:

SECTION IV: TREATY CONTEXT

44. Does the treaty state that it nullifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are nullified and describe the treaty reference.
No.

45. Does the treaty state that it modifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are modified and describe the treaty reference.

No.

46. Does the treaty state that it includes any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are included and describe the treaty reference.

No. But the statement reaffirms that the two sides will honor the principles governing bilateral relations previously established in the Joint Statement of the Establishment of Sino-Ukraine Diplomatic Relations on January 4, 1992 and the Joint Statement on October 31, 1992.

47. Does the treaty make any references to international organizations? (Yes, No) If Yes, list the international organization and describe the nature of the reference.

Yes. It makes reference to the United Nations. The two sides pledge to act in line with the UN Charter.

48. Does the treaty make any references to other existing alliances? (Yes, No) If Yes, list the alliance and describe the nature of the reference.

No.

49. Are there any companion agreements referenced in the treaty? (Yes, No) If Yes, describe the agreements.

No. But the two countries signed on the same day agreements on cooperation in the areas of post and telecommunications, shipping and public security.

50. Does the treaty include statements regarding non-military cooperation (e.g., economic, cultural, scientific exchange)? (Yes, No) If Yes, describe.

Yes. The statement says that the two sides will deepen economic and trade ties on the basis of equality and mutual benefit, and will provide each other with the Most-Favored-Nation trade status. Besides, the two sides strive to seek new ways of exchange between Europe and Asia in economy and trade, science and technology, and culture..

51. Does the treaty resolve other conflicts among the parties to the alliance? (Yes, No) If Yes, describe.

No.

52. Does the treaty propose/enforce settlement of a conflict not involving parties to the alliance? (Yes, No) If Yes, describe.

No.

53. Does the treaty propose other agreements among the contracting parties? (Yes, No) If Yes, describe.

No.

54. Does the treaty mention unresolved conflicts among the contracting parties? (Yes, No) If Yes, describe.

No.

55. Does the treaty include provisions for economic aid or other enticements (include trade concessions, post war recovery, etc.)? (Yes, No) If Yes, describe these provisions.

(6) Both sides agree that trade and economic cooperation constitute a major part of bilateral relations. They are to deepen economic and trade ties on the basis of equality and mutual benefit, and to provide each other with the Most-Favored-Nation trade status.

56. Does the treaty describe circumstances under which one party may intervene in the internal politics of another party or specifically commit the states to non-intervention? (Yes, No) If Yes, describe.

(1) The two sides consider each other as a friendly country and will further their friendly relations of cooperation on the basis of the principles of mutual respect for each other's independence, sovereignty and territorial integrity, non-aggression, non-interference in each other's internal affairs, equality and mutual benefit, and peaceful coexistence, in line with the UN charter and other internationally recognized norms. The development of relations of friendship and cooperation is not against any third country.

SECTION V: DOCUMENTATION

57. Additional coder comments on this alliance treaty:

Coded from Chinese by Bing Yuan.

58. Source of coding information (e.g., treaty, treaty and secondary sources, secondary sources only, etc.):

The joint statement and secondary sources.

59. Treaty citation:

<http://www.chinacourt.org>

60. Date of last revision of this coding sheet: 7/29/04

61. Coder: Bing Yuan and Ashley Leeds.

Version 4.0: Q14 revised by Nicholas Coulombe on June 1, 2018