

ALLIANCE TREATY OBLIGATIONS AND PROVISIONS (ATOP)
CODING SHEET

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Version 8.0; August, 2000

SECTION I: FORMATION, MEMBERS, AND DURATION

1. ATOP ID: # 2290
2. COW Alliance # (if applicable): # 3067.
3. Signature date(s) (may vary for members in multilateral alliances):
May 4, 1932.
4. Members of alliance (use COW country codes):
366 Estonia
365 USSR
5. Was the alliance signed during war? If so, which war, and which member(s) was at war? (check COW data)
No.
6. Means by which alliance was established (e.g., treaty, executive agreement, diplomatic notes, etc.): (Only select "treaty" if the agreement requires ratification.)
Treaty.
7. Was the treaty public, public but with secret articles, or secret? If secret articles, describe the content of secret articles.
Public.
8. Are there provisions for adding additional members mentioned in the treaty? (Yes, No) If Yes, describe these provisions.
No.
9. Are specific state(s) mentioned as possible future members of the alliance? (Yes, No) If Yes, which state(s)?
No.
10. Are there specific provisions in the treaty concerning the length of time the treaty is to last? (Yes, No) If Yes, describe.
Yes. The treaty shall remain in force for 3 years (Art.6).
11. Are there conditions under which members may renounce the treaty? If Yes, describe these conditions.
Yes. Either part shall have the right to denounce the treaty by notifying the other party of its intention six months before the expiry of 3 years, or without giving such a notice in the event of an act of aggression being committed by the other party against any third power whatsoever (Art.6).
12. Are there specific provisions in the treaty concerning renewal of the treaty? (Yes, No) If Yes, describe these provisions.
Yes. If the treaty is not denounced by one of the parties, its validity shall be tacitly extended for a period of two years; similarly the treaty shall be deemed to be prolonged on each subsequent occasion for a further period of two years provided it has not been denounced by one or other of the two parties (Art.6).
13. Number of times alliance renewed, dates of renewal, and means by which alliance was renewed (Do not include automatic renewals):
Renewed by protocol April 4, 1934 extending duration to December 31, 1945
14. Termination date(s) (may vary for members in multilateral alliances) and source(s) for termination dates(s):
September 28, 1939
15. Describe what caused the alliance to terminate.
Replaced by new alliance – Soviet-Estonian Mutual Assistance (ATOP ID#2475)

SECTION II: OBLIGATIONS

16. Type of alliance (defense pact, neutrality pact, nonaggression pact, consultation pact, offense pact): (List all that apply to any member of the alliance.)
Nonaggression Pact.

17. Type of alliance as coded in COW data (if applicable): Neutrality/Nonaggression Pact.

18. Describe the obligations of the alliance partners.

Each of the contracting parties guarantees the other party the inviolability of the existing frontiers between them, as defined by the Peace Treaty signed on February 2, 1920, and undertakes to refrain from any act of aggression or any violent measures directed against the integrity and inviolability of the territory or against the political independence of the other contracting party, whether such acts of aggression or such violent measures are undertaken separately or in conjunction with other powers, with or without declaration of war (Art.1).

19. Are any of the obligations contingent upon any of the following: specific adversary, specific location, specific ongoing conflict, number of adversaries, noncompliance with a specific demand, attack, nonprovocation of enemy, or prior agreement among partners? (List all that apply)

No.

20. Describe the nature of the limits to obligations listed in #19.

N/A.

21. Are there any additional provisions for assistance in the event of war? (e.g., promise not to participate in economic sanctions against partner, promise not to aid internal or external enemies, etc.) (Yes, No) If Yes, describe.

Each of the contracting parties undertakes not to take part in political agreements manifestly directed in an aggressive sense against the other party, nor in coalitions of the same nature having as their object to subject the other party to an economic or financial boycott (Art.2).

22. Are there any additional limits to the alliance obligations or conditions under which treaty obligations do not apply that were not listed in #19? (Yes, No) If Yes, describe.

No.

23. Is a specific target/threat mentioned as the object of the treaty? (Yes, No) (Answering Yes to this question does not necessarily mean that obligations are limited only to this adversary.) If Yes, list the target nation(s) (using COW country codes if possible) and describe the general nature of the reference to the target of the treaty.

No.

24. Are the treaty obligations symmetric? (That is, do all members commit to the same obligations?) (Yes, No) If No, describe.

Yes.

25. Does the treaty prohibit members from settling conflicts independently (no separate peace)? (Yes, No) If Yes, describe.

No.

26. Does the treaty have provisions requiring that the contracting parties consult before making commitments to third parties (excluding no separate peace provisions discussed above)? (Yes, No) If Yes, describe these provisions.

No.

27. Does the treaty specify that the contracting parties must not enter into any other alliances that are directed against the alliance in question? (Yes, No) If Yes, describe.

Yes. Each of the contracting parties undertakes not to take part in political agreements manifestly directed in an aggressive sense against the other party, nor in coalitions of the same nature having as their object to subject the other party to an economic or financial boycott (Art.2).

28. Additional coder comments regarding treaty obligations:

SECTION III: INSTITUTIONALIZATION OF THE ALLIANCE

29. Does the treaty make reference to the potential for conflict among members of the alliance? (Yes, No) If Yes, describe.

Yes. The two contracting parties undertake to submit all disputes, whatever their nature and origin, which may arise between them subsequent to the coming into force of the present treaty, and which it may not have been possible to settle within a reasonable time through ordinary diplomatic channel, to a procedure of conciliation in a mixed Conciliation Commission, whose composition, powers, and procedure shall be fixed by a separate Convention, which the two parties shall undertake to conclude within the shortest time possible, and which shall come into force simultaneously with the present treaty (Art.4).

30. Does the treaty discuss mediation/arbitration or other means of settling disputes among the signatories? (Yes, No) If Yes, describe.

Yes. See 29 and the Conciliation Convention from June 16, 1932.

31. Does the treaty require official contact among the military forces of the participating states? (Yes, No) If Yes, describe. (Note whether official contact is required only in the event of hostilities or also during peacetime.)

No.

32. Does the treaty include provisions for military aid? (e.g., grants, loans, transfer of technology, training) (Yes, No) If Yes, describe these provisions.

No.

33. Does the treaty provide for integrated command of military forces while the alliance is in effect (peacetime as well as wartime)? (Yes, No) If Yes, describe.

No.

34. Does the treaty require subordination of the forces of one or more member states to another in the event of hostilities? (Yes, No) If Yes, describe.

No.

35. Does the treaty establish any organizations? (include provisions for regularly scheduled meetings) (Yes, No) If Yes, describe.

Yes. The supplementary conciliation Convention from June 16, 1932 establishes a Conciliation Commission that shall meet once a year or in extraordinary sessions, that consists of 2 members from each state, that elucidates disputed questions and recommends a conciliation agreement.

36. Does the treaty provide for joint military bases, or for one or more states to place troops in the territory of one or more other states? (Yes, No) If Yes, describe.

No.

37. Does the treaty specify contribution levels (funds, troops, etc.)? (Yes, No) If Yes, describe.

No.

38. Does the treaty contain any provisions regarding coordinated increase of armaments, reduction of armaments, prohibition of weapons, or rules of warfare? (Yes, No) If Yes, describe such provisions.

No.

39. Does the treaty explicitly permit or prohibit the acquisition of territory not currently held by either ally? (Yes, No) If Yes, describe reference.

No.

40. Does the treaty include discussion of the division of gains from any future conflict? (Yes, No) If Yes, describe.

No.

41. Does the treaty include discussion of demobilization/withdrawal following conflict? (Yes, No) If Yes, describe.

No.

42. Are there any other provisions in the treaty that describe the means through which the states will coordinate their military efforts and policies? (Yes, No) If Yes, describe.

No.

43. Additional coder comments regarding references to alliance institutionalization:

SECTION IV: TREATY CONTEXT

44. Does the treaty state that it nullifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are nullified and describe the treaty reference.

No.

45. Does the treaty state that it modifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are modified and describe the treaty reference.

No.

46. Does the treaty state that it includes any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the

treaties/agreements that are included and describe the treaty reference.

Yes. It builds on the Peace Treaty of February 2, 1920 (Preamble, Art.1) and supplements the Pact for the Renunciation of War from August 27, 1928 (Preamble).

47. Does the treaty make any references to international organizations? (Yes, No) If Yes, list the international organization and describe the nature of the reference.

No.

48. Does the treaty make any references to other existing alliances? (Yes, No) If Yes, list the alliance and describe the nature of the reference.

No.

49. Are there any companion agreements referenced in the treaty? (Yes, No) If Yes, describe the agreements.

Yes. The Conciliation Convention that establishes the Conciliation Commission. It becomes valid with the treaty and remains in force as long as the treaty.

50. Does the treaty include statements regarding non-military cooperation (e.g., economic, cultural, scientific exchange)? (Yes, No) If Yes, describe.

No.

51. Does the treaty resolve other conflicts among the parties to the alliance? (Yes, No) If Yes, describe.

No

52. Does the treaty propose/enforce settlement of a conflict not involving parties to the alliance? (Yes, No) If Yes, describe.

No.

53. Does the treaty propose other agreements among the contracting parties? (Yes, No) If Yes, describe.

No.

54. Does the treaty mention unresolved conflicts among the contracting parties? (Yes, No) If Yes, describe.

No.

55. Does the treaty include provisions for economic aid or other enticements (include trade concessions, post war recovery, etc.)? (Yes, No) If Yes, describe these provisions.

No.

56. Does the treaty describe circumstances under which one party may intervene in the internal politics of another party or specifically commit the states to non-intervention? (Yes, No) If Yes, describe.

No.

SECTION V: DOCUMENTATION

57. Additional coder comments on this alliance treaty:

58. Source of coding information (e.g., treaty, treaty and secondary sources, secondary sources only, etc.): Treaty.

59. Treaty citation: LNTS, vol.131, p.305.

Protocol of Renewal: LNTS, v. 150, p.93 Conciliation: LNTS 131: 305

60. Date of last revision of this coding sheet: 02/12/05.

61. Coder: Andrew Long, Michaela Mattes, Ashley Leeds

V4: Q15 updated to reference ATOP ID# on 5/16/2018 by Nicholas Coulombe