

ALLIANCE TREATY OBLIGATIONS AND PROVISIONS (ATOP)
CODING SHEET

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SECTION I: FORMATION, MEMBERS, AND DURATION

1. ATOP ID:4720

2. COW Alliance # (if applicable):
NA

3. Signature date(s) (may vary for members in multilateral alliances):
June 6, 1995

4. Members of alliance (use COW country codes):
704 Uzbekistan
367 Latvia

5. Was the alliance signed during war? If so, which war, and which member(s) was at war? (check COW data)
No

6. Means by which alliance was established (e.g., treaty, executive agreement, diplomatic notes, etc.): (Only select "treaty" if the agreement requires ratification.)
Treaty

7. Was the treaty public, public but with secret articles, or secret? If secret articles, describe the content of secret articles.
Public

8. Are there provisions for adding additional members mentioned in the treaty? (Yes, No) If Yes, describe these provisions.
No

9. Are specific state(s) mentioned as possible future members of the alliance? (Yes, No) If Yes, which state(s)?
No

10. Are there specific provisions in the treaty concerning the length of time the treaty is to last? (Yes, No) If Yes, describe.
Yes. 120 months.

11. Are there conditions under which members may renounce the treaty? If Yes, describe these conditions.
No

12. Are there specific provisions in the treaty concerning renewal of the treaty? (Yes, No) If Yes, describe these provisions.
Yes. Automatic renewal for successive five-year terms unless denounced one-year in advance of expiration in writing.

13. Number of times alliance renewed, dates of renewal, and means by which alliance was renewed (Do not include automatic renewals):
Zero

14. Termination date(s) (may vary for members in multilateral alliances) and source(s) for termination dates(s):
Still in effect according to Latvian MFA - visited website 5/5/04
Version 4.0: In effect as of November 15, 2017 according to Ministry of Foreign Affairs, Latvia
(<http://www.mfa.gov.lv/en/policy/bilateral-agreements>)

15. Describe what caused the alliance to terminate.
N/A

SECTION II: OBLIGATIONS

16. Type of alliance (defense pact, neutrality pact, nonaggression pact, consultation pact, offense pact): (List all that apply to any member of the alliance.)
Consultation Pact, Nonaggression Pact, Neutrality Pact

17. Type of alliance as coded in COW data (if applicable):

NA

18. Describe the obligations of the alliance partners.

Consultation:

Article V “Should situations arise that might, ..., constitute a threat to peace or give rise to international tension, the Parties shall hold consultations on possible means of resolving such situations.”

Nonaggression:

Article II “The (parties), ..., shall resolve any disputes that may arise between them exclusively by peaceful means. They shall never, in any circumstances, be the first to use their armed forces against one another.”

Neutrality:

Article IV “The (parties) undertake not to allow their territory to be used by any party for the purposing of engaging in hostile activity against the other (party).”

“Should one of the parties be subject to an armed attack by one or more third States, the other Party shall not give support to such State or States and shall take all measures necessary for the peaceful settlement of the conflict...”

19. Are any of the obligations contingent upon any of the following: specific adversary, specific location, specific ongoing conflict, number of adversaries, noncompliance with a specific demand, attack, nonprovocation of enemy, or prior agreement among partners? (List all that apply)

Attack

20. Describe the nature of the limits to obligations listed in #19.

See # 18 Neutrality contingent upon attack

21. Are there any additional provisions for assistance in the event of war? (e.g., promise not to participate in economic sanctions against partner, promise not to aid internal or external enemies, etc.) (Yes, No) If Yes, describe.

Yes. Article IV “The (parties) undertake not to allow their territory to be used by any party for the purposing of engaging in hostile activity against the other (party).”

22. Are there any additional limits to the alliance obligations or conditions under which treaty obligations do not apply that were not listed in #19? (Yes, No) If Yes, describe.

No

23. Is a specific target/threat mentioned as the object of the treaty? (Yes, No) (Answering Yes to this question does not necessarily mean that obligations are limited only to this adversary.) If Yes, list the target nation(s) (using COW country codes if possible) and describe the general nature of the reference to the target of the treaty.

No

24. Are the treaty obligations symmetric? (That is, do all members commit to the same obligations?) (Yes, No) If No, describe.

Yes

25. Does the treaty prohibit members from settling conflicts independently (no separate peace)? (Yes, No) If Yes, describe.

No

26. Does the treaty have provisions requiring that the contracting parties consult before making commitments to third parties (excluding no separate peace provisions discussed above)? (Yes, No) If Yes, describe these provisions.

No

27. Does the treaty specify that the contracting parties must not enter into any other alliances that are directed against the alliance in question? (Yes, No) If Yes, describe.

No

28. Additional coder comments regarding treaty obligations:

SECTION III: INSTITUTIONALIZATION OF THE ALLIANCE

29. Does the treaty make reference to the potential for conflict among members of the alliance? (Yes, No) If Yes, describe.

Yes. Article 2: “The (parties), ..., shall resolve any disputes that may arise between them exclusively by peaceful means.”

Article 24: “The High Contracting Parties shall resolve any disputes connected with the interpretation or implementation of

this Treaty, using the procedures for the peaceful settlement of disputes set forth in Article 33 of the Charter of the United Nations.”

30. Does the treaty discuss mediation/arbitration or other means of settling disputes among the signatories? (Yes, No) If Yes, describe.
Yes. Article 24: “ The High Contracting Parties shall resolve any disputes connected with the interpretation or implementation of this Treaty, using the procedures for the peaceful settlement of disputes set forth in Article 33 of the Charter of the United Nations.”

31. Does the treaty require official contact among the military forces of the participating states? (Yes, No) If Yes, describe. (Note whether official contact is required only in the event of hostilities or also during peacetime.)
No

32. Does the treaty include provisions for military aid? (e.g., grants, loans, transfer of technology, training) (Yes, No) If Yes, describe these provisions.
No

33. Does the treaty provide for integrated command of military forces while the alliance is in effect (peacetime as well as wartime)? (Yes, No) If Yes, describe.
No

34. Does the treaty require subordination of the forces of one or more member states to another in the event of hostilities? (Yes, No) If Yes, describe.
No

35. Does the treaty establish any organizations? (include provisions for regularly scheduled meetings) (Yes, No) If Yes, describe.
No

36. Does the treaty provide for joint military bases, or for one or more states to place troops in the territory of one or more other states? (Yes, No) If Yes, describe.
No

37. Does the treaty specify contribution levels (funds, troops, etc.)? (Yes, No) If Yes, describe.
No

38. Does the treaty contain any provisions regarding coordinated increase of armaments, reduction of armaments, prohibition of weapons, or rules of warfare? (Yes, No) If Yes, describe such provisions.

Article 6 requires the parties to “actively promote” nuclear and conventional disarmament and the reduction of armed forces and arms, but they make no specific commitments

39. Does the treaty explicitly permit or prohibit the acquisition of territory not currently held by either ally? (Yes, No) If Yes, describe reference.
No

40. Does the treaty include discussion of the division of gains from any future conflict? (Yes, No) If Yes, describe.
No

41. Does the treaty include discussion of demobilization/withdrawal following conflict? (Yes, No) If Yes, describe.
No

42. Are there any other provisions in the treaty that describe the means through which the states will coordinate their military efforts and policies? (Yes, No) If Yes, describe.
No

43. Additional coder comments regarding references to alliance institutionalization:
No

SECTION IV: TREATY CONTEXT

44. Does the treaty state that it nullifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are nullified and describe the treaty reference.
No

45. Does the treaty state that it modifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are modified and describe the treaty reference.

No

46. Does the treaty state that it includes any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are included and describe the treaty reference.

No

47. Does the treaty make any references to international organizations? (Yes, No) If Yes, list the international organization and describe the nature of the reference.

United Nations-numerous references about following guidelines of UN charter in actions.

Conference on Security Cooperation in Europe-references about working within its framework.

48. Does the treaty make any references to other existing alliances? (Yes, No) If Yes, list the alliance and describe the nature of the reference.

CSCE - see # 47

49. Are there any companion agreements referenced in the treaty? (Yes, No) If Yes, describe the agreements.

No

50. Does the treaty include statements regarding non-military cooperation (e.g., economic, cultural, scientific exchange)? (Yes, No) If Yes, describe.

Yes. Multiple articles refer to cooperation in economics, trade, transportation links, communication, science, education, culture, environment, health, minority rights, crime, property and intellectual property rights.

51. Does the treaty resolve other conflicts among the parties to the alliance? (Yes, No) If Yes, describe.

No

52. Does the treaty propose/enforce settlement of a conflict not involving parties to the alliance? (Yes, No) If Yes, describe.

No

53. Does the treaty propose other agreements among the contracting parties? (Yes, No) If Yes, describe.

Yes.

Article 9 proposes an agreement to “simplify the arrival and departure formalities for the nationals of the Parties.”

Article 10 suggests the countries conclude an agreement “in the fields of commerce and services, the taxation of income and property, customs, contra accounts and payments on the basis of freely convertible currencies, air communications, and tourism.”

Article 11 proposes an agreement to ensure transport operations through seaports and airports, railway and road networks and pipelines.

Article 21 proposes expanding the scope of mutual legal assistance and cooperation between the parties by concluding bilateral treaties.

54. Does the treaty mention unresolved conflicts among the contracting parties? (Yes, No) If Yes, describe.

No

55. Does the treaty include provisions for economic aid or other enticements (include trade concessions, post war recovery, etc.)? (Yes, No) If Yes, describe these provisions.

Yes. Article 10 states that parties shall accord each other most-favored-nation status

56. Does the treaty describe circumstances under which one party may intervene in the internal politics of another party or specifically commit the states to non-intervention? (Yes, No) If Yes, describe.

Yes. Article 1: “ They shall be guided in their mutual relations by the principles of sovereign equality, non-interference in internal affairs..”

SECTION V: DOCUMENTATION

57. Additional coder comments on this alliance treaty:

58. Source of coding information (e.g., treaty, treaty and secondary sources, secondary sources only, etc.): Treaty

59. Treaty citation: UNTS no. 32909

60. Date of last revision of this coding sheet: July 30, 2004

61. Coder: Andrew Long, Ashley Leeds

