

ALLIANCE TREATY OBLIGATIONS AND PROVISIONS (ATOP)  
CODING SHEET

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SECTION I: FORMATION, MEMBERS, AND DURATION

1. ATOP ID: 5040

2. COW Alliance # (if applicable): N/A

3. Signature date(s) (may vary for members in multilateral alliances):  
December 25, 2003

4. Members of alliance (use COW country codes):  
703 Kyrgyzstan  
705 Kazakhstan

5. Was the alliance signed during war? If so, which war, and which member(s) was at war? (check COW data)  
No.

6. Means by which alliance was established (e.g., treaty, executive agreement, diplomatic notes, etc.): (Only select "treaty" if the agreement requires ratification.)  
Treaty.

7. Was the treaty public, public but with secret articles, or secret? If secret articles, describe the content of secret articles.  
Public.

8. Are there provisions for adding additional members mentioned in the treaty? (Yes, No) If Yes, describe these provisions.  
No.

9. Are specific state(s) mentioned as possible future members of the alliance? (Yes, No) If Yes, which state(s)?  
No.

10. Are there specific provisions in the treaty concerning the length of time the treaty is to last? (Yes, No) If Yes, describe.  
Yes. The treaty is concluded for an indefinite period.

11. Are there conditions under which members may renounce the treaty? If Yes, describe these conditions.  
Yes. "This Agreement ...will remain in force until the expiration of twelve months from the date on which either Contracting Party giving written notice to the other Contracting Party of its intention to terminate it." (Art. 23)

12. Are there specific provisions in the treaty concerning renewal of the treaty? (Yes, No) If Yes, describe these provisions.  
No.

13. Number of times alliance renewed, dates of renewal, and means by which alliance was renewed (Do not include automatic renewals):  
Zero.

14. Termination date(s) (may vary for members in multilateral alliances) and source(s) for termination dates(s):  
Still in effect as of April 14, 2018 according to the TIF website of Kazakhstan  
([http://adilet.zan.kz/rus/docs/Z060000187\\_/info](http://adilet.zan.kz/rus/docs/Z060000187_/info))

15. Describe what caused the alliance to terminate.  
N/A

SECTION II: OBLIGATIONS

16. Type of alliance (defense pact, neutrality pact, nonaggression pact, consultation pact, offense pact): (List all that apply to any member of the alliance.)  
Defense Pact

17. Type of alliance as coded in COW data (if applicable): N/A

18. Describe the obligations of the alliance partners.

“The Contracting Parties shall, in the event of an act of aggression against any of the Contracting Parties shall afford one another the necessary assistance, including military aid, in exercise of the right to collective defense in accordance with Article 51 of the Charter of the United Nations, as well as using the mechanisms within the Collective Security Treaty Organization security.” (Art. 6)[Defense]

19. Are any of the obligations contingent upon any of the following: specific adversary, specific location, specific ongoing conflict, number of adversaries, noncompliance with a specific demand, attack, nonprovocation of enemy, or prior agreement among partners? (List all that apply)

No.

20. Describe the nature of the limits to obligations listed in #19.

N/A

21. Are there any additional provisions for assistance in the event of war? (e.g., promise not to participate in economic sanctions against partner, promise not to aid internal or external enemies, etc.) (Yes, No) If Yes, describe.

No.

22. Are there any additional limits to the alliance obligations or conditions under which treaty obligations do not apply that were not listed in #19? (Yes, No) If Yes, describe.

No.

23. Is a specific target/threat mentioned as the object of the treaty? (Yes, No) (Answering Yes to this question does not necessarily mean that obligations are limited only to this adversary.) If Yes, list the target nation(s) (using COW country codes if possible) and describe the general nature of the reference to the target of the treaty.

No.

24. Are the treaty obligations symmetric? (That is, do all members commit to the same obligations?) (Yes, No) If No, describe.

Yes.

25. Does the treaty prohibit members from settling conflicts independently (no separate peace)? (Yes, No) If Yes, describe.

No.

26. Does the treaty have provisions requiring that the contracting parties consult before making commitments to third parties (excluding no separate peace provisions discussed above)? (Yes, No) If Yes, describe these provisions.

No.

27. Does the treaty specify that the contracting parties must not enter into any other alliances that are directed against the alliance in question? (Yes, No) If Yes, describe.

No.

28. Additional coder comments regarding treaty obligations:

“For a phased implementation of the provisions under this Agreement, the Contracting Parties shall take the Action Plan for the economic integration between the Republic of Kazakhstan and the Kyrgyz Republic, which is an integral part of this Agreement.” (Art. 12)

“The Contracting Parties shall cooperate closely in determining positions on major international issues and matters of mutual interest, conducting consultation.” (Art. 4)

“The Contracting Parties shall undertake necessary measures to protect their borders and pursue a common policy on the protection of state borders, in accordance with the provisions of this Treaty and other international agreements to which they are parties.” (Art. 7)

### SECTION III: INSTITUTIONALIZATION OF THE ALLIANCE

29. Does the treaty make reference to the potential for conflict among members of the alliance? (Yes, No) If Yes, describe.

Yes.

“Disputes concerning the interpretation and application of the provisions of this Agreement shall be settled through consultation and negotiation between the Parties.” (Art. 21)

30. Does the treaty discuss mediation/arbitration or other means of settling disputes among the signatories? (Yes, No) If Yes, describe.

No.

31. Does the treaty require official contact among the military forces of the participating states? (Yes, No) If Yes, describe. (Note whether official contact is required only in the event of hostilities or also during peacetime.)

No.

32. Does the treaty include provisions for military aid? (e.g., grants, loans, transfer of technology, training) (Yes, No) If Yes, describe these provisions.

No.

33. Does the treaty provide for integrated command of military forces while the alliance is in effect (peacetime as well as wartime)? (Yes, No) If Yes, describe.

No.

34. Does the treaty require subordination of the forces of one or more member states to another in the event of hostilities? (Yes, No) If Yes, describe.

No.

35. Does the treaty establish any organizations? (include provisions for regularly scheduled meetings) (Yes, No) If Yes, describe.

Yes.

Supreme Interstate Council, which shall meet at least once a year (Art. 19);

“Supreme Interstate Council, chaired by the heads of state and addresses the most important issues related to the general the interests of the Contracting Parties, determines the strategy, the main directions of development of allied relations and takes decisions for the implementation of this Agreement.” (Art. 19)

36. Does the treaty provide for joint military bases, or for one or more states to place troops in the territory of one or more other states? (Yes, No) If Yes, describe.

No.

37. Does the treaty specify contribution levels (funds, troops, etc.)? (Yes, No) If Yes, describe.

No.

38. Does the treaty contain any provisions regarding coordinated increase of armaments, reduction of armaments, prohibition of weapons, or rules of warfare? (Yes, No) If Yes, describe such provisions.

No.

39. Does the treaty explicitly permit or prohibit the acquisition of territory not currently held by either ally? (Yes, No) If Yes, describe reference.

No.

40. Does the treaty include discussion of the division of gains from any future conflict? (Yes, No) If Yes, describe.

No.

41. Does the treaty include discussion of demobilization/withdrawal following conflict? (Yes, No) If Yes, describe.

No.

42. Are there any other provisions in the treaty that describe the means through which the states will coordinate their military efforts and policies? (Yes, No) If Yes, describe.

Yes.

“The Contracting Parties shall coordinate the activities in the military field, in accordance with international treaties to which they are parties. Contracting Parties in order to ensure reliable security are developing common principles of military construction.” (Art. 5)

“The Contracting Parties shall conduct a common policy to ensure mutual security and work closely in the fight against international terrorism, extremism, organized crime, drug trafficking, illegal migration and other challenges and threats to national security.” (Art. 3)

43. Additional coder comments regarding references to alliance institutionalization:

#### SECTION IV: TREATY CONTEXT

44. Does the treaty state that it nullifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are nullified and describe the treaty reference.

No.

45. Does the treaty state that it modifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are modified and describe the treaty reference.

No.

46. Does the treaty state that it includes any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are included and describe the treaty reference.

No.

47. Does the treaty make any references to international organizations? (Yes, No) If Yes, list the international organization and describe the nature of the reference.

Yes – UN and OSCE as a framework for peace and security cooperation (Preamble); UN and CSTO as a framework for collective defense against any armed aggression (Art. 6)

48. Does the treaty make any references to other existing alliances? (Yes, No) If Yes, list the alliance and describe the nature of the reference.

Yes. OSCE and CSTO-see #47.

49. Are there any companion agreements referenced in the treaty? (Yes, No) If Yes, describe the agreements.

Yes. “For a phased implementation of the provisions under this Agreement, the Contracting Parties shall take the Action Plan for the economic integration between the Republic of Kazakhstan and the Kyrgyz Republic, which is an integral part of this Agreement.” (Art. 12) The Action Plan is available at <http://adilet.zan.kz/rus/docs/Z060000187> (Accessed May 23, 2018)

50. Does the treaty include statements regarding non-military cooperation (e.g., economic, cultural, scientific exchange)? (Yes, No) If Yes, describe.

Yes.

Cooperation in the fight against organized crime, terrorism and drug trafficking (Art. 3)

Cooperation in international organizations to coordinate their positions over the issues of mutual interest (Art. 4)

Cooperation in border control (Art. 7)

Cooperation for economic integration between the parties and coordination of economic and financial policies to this end (Art. 8, 9, 10)

Cooperation to establish a common infrastructure associated with transport, communication and energy systems (Art. 11)

Cooperation in the field of sustainable and mutually beneficial use of water bodies and water and energy resources (Art. 13)

Cooperation in the fields of agricultural and food security (Art. 14)

Cooperation with reference to the implementation of industrial and innovation policy measures (Art. 15)

Cooperation to create a single information space (Art. 16)

Cooperation to guarantee equal rights to nationals of the other party in terms of education, employment and other social guarantees (Art. 17)

Interparliamentary cooperation (Art. 18)

51. Does the treaty resolve other conflicts among the parties to the alliance? (Yes, No) If Yes, describe.

No.

52. Does the treaty propose/enforce settlement of a conflict not involving parties to the alliance? (Yes, No) If Yes, describe.

No.

53. Does the treaty propose other agreements among the contracting parties? (Yes, No) If Yes, describe.

Yes.

Agreement on guaranteeing equal rights to nationals of the other party (Art. 17)

54. Does the treaty mention unresolved conflicts among the contracting parties? (Yes, No) If Yes, describe.

No.

55. Does the treaty include provisions for economic aid or other enticements (include trade concessions, post war recovery, etc.)? (Yes, No) If Yes, describe these provisions.

Yes. The Action Plan referenced in Article 12 (see Q49) details policy coordination in a variety of economic fields. The Action Plan is available at <http://adilet.zan.kz/rus/docs/Z060000187> (Accessed May 23, 2018)

56. Does the treaty describe circumstances under which one party may intervene in the internal politics of another party or specifically commit the states to non-intervention? (Yes, No) If Yes, describe.

No.

## SECTION V: DOCUMENTATION

57. Additional coder comments on this alliance treaty:

The original language of this treaty is Russian and the text is translated by using the Google Chrome browser.

58. Source of coding information (e.g., treaty, treaty and secondary sources, secondary sources only, etc.):

Treaty: Treaty of Allied Relations between Kazakhstan and Kyrgyzstan

Action Plan on economic integration between the Republic of Kazakhstan and the Kyrgyz Republic

59. Treaty citation: <http://adilet.zan.kz/rus/docs/Z060000187> (Accessed on April 14, 2018)

Action Plan: <http://adilet.zan.kz/rus/docs/Z060000187> (Accessed May 23, 2018)

60. Date of last revision of this coding sheet: May 23, 2018

61. Coder: Jaewook Chung, Jae-eon Lee, Jessica Edry, Ashley Leeds