

ALLIANCE TREATY OBLIGATIONS AND PROVISIONS (ATOP)
CODING SHEET

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SECTION I: FORMATION, MEMBERS, AND DURATION

1. ATOP ID: 4510

2. COW Alliance # (if applicable): N/A.

3. Signature date(s) (may vary for members in multilateral alliances):
September 24, 1993.

4. Members of alliance (use COW country codes):
360 Romania
317 Slovakia

5. Was the alliance signed during war? If so, which war, and which member(s) was at war? (check COW data)
No.

6. Means by which alliance was established (e.g., treaty, executive agreement, diplomatic notes, etc.): (Only select "treaty" if the agreement requires ratification.)
Treaty.

7. Was the treaty public, public but with secret articles, or secret? If secret articles, describe the content of secret articles.
Public.

8. Are there provisions for adding additional members mentioned in the treaty? (Yes, No) If Yes, describe these provisions.
No.

9. Are specific state(s) mentioned as possible future members of the alliance? (Yes, No) If Yes, which state(s)?
No.

10. Are there specific provisions in the treaty concerning the length of time the treaty is to last? (Yes, No) If Yes, describe.
The present Agreement is signed for a period of 15 years (Art. 24).

11. Are there conditions under which members may renounce the treaty? If Yes, describe these conditions.
No.

12. Are there specific provisions in the treaty concerning renewal of the treaty? (Yes, No) If Yes, describe these provisions.
The validity of the Agreement shall be automatically renewed for subsequent durations of five years each, unless one of the Signatories communicates in writing, at least one year before the expiration of a period, the intention to renounce the Agreement (Art. 24).

13. Number of times alliance renewed, dates of renewal, and means by which alliance was renewed (Do not include automatic renewals):
Zero.

14. Termination date(s) (may vary for members in multilateral alliances) and source(s) for termination dates(s):
Still in effect according to Romanian MFA (visited website 5/6/04).
Version 4.0: In effect as of January 2, 2018 according to the Embassy of Romania in Slovakia website
(<http://bratislava.mae.ro/node/167>)

15. Describe what caused the alliance to terminate.
N/A.

SECTION II: OBLIGATIONS

16. Type of alliance (defense pact, neutrality pact, nonaggression pact, consultation pact, offense pact): (List all that apply to any member of the alliance.)

Nonaggression Pact
Neutrality Pact.

17. Type of alliance as coded in COW data (if applicable): N/A.

18. Describe the obligations of the alliance partners.

“The Contracting Parties shall refrain from the threat or use of force in international relationships. They shall resolve all their disagreements exclusively through peaceful means.” (Art. 2)[Nonaggression]

“Each Contracting Party shall abstain from any action that could infringe upon the universally recognized principles and norms of international law with regard to the inviolability of the borders and national frontiers of the other Contracting Party and shall in no way support such action.” (Art. 5)[Nonaggression]

“Neither one of the Contracting Parties shall allow their territory to be used by a third party for an act of aggression against the other Contracting Party and shall in no way help such a State.” (Art. 6)[Neutrality]

19. Are any of the obligations contingent upon any of the following: specific adversary, specific location, specific ongoing conflict, number of adversaries, noncompliance with a specific demand, attack, nonprovocation of enemy, or prior agreement among partners? (List all that apply)

Yes- attack.

20. Describe the nature of the limits to obligations listed in #19.

Neutrality is contingent upon aggression against a party.

21. Are there any additional provisions for assistance in the event of war? (e.g., promise not to participate in economic sanctions against partner, promise not to aid internal or external enemies, etc.) (Yes, No) If Yes, describe.

Neither Signatory shall allow its territory be used by a third state to commit an act of aggression against the other Signatory and shall not provide assistance of any kind for such a state (Art. 6). The Signatories shall not recognize any territorial change or advantage resulting from actions through which infringement on the inviolability of borders and territorial integrity has been/could be committed (Art. 5).

22. Are there any additional limits to the alliance obligations or conditions under which treaty obligations do not apply that were not listed in #19? (Yes, No) If Yes, describe.

No.

23. Is a specific target/threat mentioned as the object of the treaty? (Yes, No) (Answering Yes to this question does not necessarily mean that obligations are limited only to this adversary.) If Yes, list the target nation(s) (using COW country codes if possible) and describe the general nature of the reference to the target of the treaty.

No.

24. Are the treaty obligations symmetric? (That is, do all members commit to the same obligations?) (Yes, No) If No, describe.

Yes.

25. Does the treaty prohibit members from settling conflicts independently (no separate peace)? (Yes, No) If Yes, describe.

No.

26. Does the treaty have provisions requiring that the contracting parties consult before making commitments to third parties (excluding no separate peace provisions discussed above)? (Yes, No) If Yes, describe these provisions.

No.

27. Does the treaty specify that the contracting parties must not enter into any other alliances that are directed against the alliance in question? (Yes, No) If Yes, describe.

No.

28. Additional coder comments regarding treaty obligations:

“Each Contracting Party shall resolve not to allow the right of association of national minorities to be used against the interests of the other Contracting Party.” (Art. 20)

SECTION III: INSTITUTIONALIZATION OF THE ALLIANCE

29. Does the treaty make reference to the potential for conflict among members of the alliance? (Yes, No) If Yes, describe.
Yes. The Signatories shall abstain from threatening with force or using force in international relations. They shall solve all differences between them exclusively by peaceful means (Art. 2).
30. Does the treaty discuss mediation/arbitration or other means of settling disputes among the signatories? (Yes, No) If Yes, describe.
No.
31. Does the treaty require official contact among the military forces of the participating states? (Yes, No) If Yes, describe. (Note whether official contact is required only in the event of hostilities or also during peacetime.)
No.
32. Does the treaty include provisions for military aid? (e.g., grants, loans, transfer of technology, training) (Yes, No) If Yes, describe these provisions.
No.
33. Does the treaty provide for integrated command of military forces while the alliance is in effect (peacetime as well as wartime)? (Yes, No) If Yes, describe.
No.
34. Does the treaty require subordination of the forces of one or more member states to another in the event of hostilities? (Yes, No) If Yes, describe.
No.
35. Does the treaty establish any organizations? (include provisions for regularly scheduled meetings) (Yes, No) If Yes, describe.
No.
36. Does the treaty provide for joint military bases, or for one or more states to place troops in the territory of one or more other states? (Yes, No) If Yes, describe.
No.
37. Does the treaty specify contribution levels (funds, troops, etc.)? (Yes, No) If Yes, describe.
No.
38. Does the treaty contain any provisions regarding coordinated increase of armaments, reduction of armaments, prohibition of weapons, or rules of warfare? (Yes, No) If Yes, describe such provisions.
No.
39. Does the treaty explicitly permit or prohibit the acquisition of territory not currently held by either ally? (Yes, No) If Yes, describe reference.
Yes.
“The Contracting Parties shall not recognize any territorial change or advantage resulting from actions that infringe or would infringe upon the inviolability of frontiers and territorial integrity.” (Art. 5)
40. Does the treaty include discussion of the division of gains from any future conflict? (Yes, No) If Yes, describe.
No.
41. Does the treaty include discussion of demobilization/withdrawal following conflict? (Yes, No) If Yes, describe.
No.
42. Are there any other provisions in the treaty that describe the means through which the states will coordinate their military efforts and policies? (Yes, No) If Yes, describe.
No. Very general: They shall support cooperation between the two countries in the military domain (Art. 7).
43. Additional coder comments regarding references to alliance institutionalization:

SECTION IV: TREATY CONTEXT

44. Does the treaty state that it nullifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are nullified and describe the treaty reference.
The present Treaty substitutes the Treaty of Friendship Cooperation and Mutual Assistance between the Socialist Republic of Romania and the Socialist Republic of Czechoslovakia, signed in Prague on the 16th of August 1968 (Art. 24).

45. Does the treaty state that it modifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are modified and describe the treaty reference.
No.
46. Does the treaty state that it includes any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are included and describe the treaty reference.
No.
47. Does the treaty make any references to international organizations? (Yes, No) If Yes, list the international organization and describe the nature of the reference.
Preamble: reaffirms principles of UN Charter and CSCE documents. The parties base their relations on the basis of friendship, cooperation and mutual respect, guided by the principles of the United Nations Charter, of the Helsinki Final Act of 1975 and other documents of the Conference on Security and Cooperation in Europe (Art. 1). The Signatories shall support the collective security measures adopted in conformity with the United Nations Charter. They shall especially contribute, in the framework of the Conference on Security and Cooperation in Europe, to the establishment and efficient functioning of institutions and methods for the preemption of disputes and their peaceful solution (Art. 2). Shall promote arms limitation in CSCE framework (Art. 7).
48. Does the treaty make any references to other existing alliances? (Yes, No) If Yes, list the alliance and describe the nature of the reference.
Yes. CSCE. See 47.
49. Are there any companion agreements referenced in the treaty? (Yes, No) If Yes, describe the agreements.
No.
50. Does the treaty include statements regarding non-military cooperation (e.g., economic, cultural, scientific exchange)? (Yes, No) If Yes, describe.
Cooperate for the establishment of the general-European security system (Art. 3), cooperate on arms limitation (Art. 7), develop exchanges at various levels (Art.8, 9), economic cooperation (Art.10), cooperation among economic agents (Art. 11), cooperation in energy, fuel, raw materials, nuclear energy, machinery, agriculture, construction, natural resources, consumer goods (Art.12), cooperation in science and technology (Art. 13), environment (Art. 14), transport and telecommunication (Art. 15), Danube river (Art. 16), culture, science and education (Art. 18), cooperation among parties, unions, persons (Art. 19), minorities (Art. 20), legal and consular fields and organized crime, terrorism and drug trafficking (Art. 21).
51. Does the treaty resolve other conflicts among the parties to the alliance? (Yes, No) If Yes, describe.
No.
52. Does the treaty propose/enforce settlement of a conflict not involving parties to the alliance? (Yes, No) If Yes, describe.
No.
53. Does the treaty propose other agreements among the contracting parties? (Yes, No) If Yes, describe.
No.
54. Does the treaty mention unresolved conflicts among the contracting parties? (Yes, No) If Yes, describe.
No.
55. Does the treaty include provisions for economic aid or other enticements (include trade concessions, post war recovery, etc.)? (Yes, No) If Yes, describe these provisions.
No.
56. Does the treaty describe circumstances under which one party may intervene in the internal politics of another party or specifically commit the states to non-intervention? (Yes, No) If Yes, describe.
No.

SECTION V: DOCUMENTATION

57. Additional coder comments on this alliance treaty:
Translation from Romanian by Viktor Sata.
Version 4.0: UNTS English translation

58. Source of coding information (e.g., treaty, treaty and secondary sources, secondary sources only, etc.):

Treaty: Treaty on friendly relations and cooperation between Romania and Slovakia

59. Treaty citation: Parliament of Romania, Official Monitor No. 64 from 11/03/94, Law No. 10.

UNTS Reg. No. 45231

60. Date of last revision of this coding sheet: August 8, 2017

61. Coder: Michaela Mattes, Jaewook Chung and Ashley Leeds.

V4.0: Q14 Updated by Nicholas Coulombe, January 2, 2018