

ALLIANCE TREATY OBLIGATIONS AND PROVISIONS (ATOP)
CODING SHEET

Brett Ashley Leeds with Jeffrey M. Ritter, Sara McLaughlin Mitchell, and Andrew G. Long
Department of Political Science, Rice University, P.O. Box 1892-MS 24, Houston, TX 77251-1892
Version 8.0; August, 2000

SECTION I: FORMATION, MEMBERS, AND DURATION

1. ATOP ID: 4575

2. COW Alliance # (if applicable):
NA

3. Signature date(s) (may vary for members in multilateral alliances):
April 26, 1994

4. Members of alliance (use COW country codes):
290 Poland
368 Lithuania

5. Was the alliance signed during war? If so, which war, and which member(s) was at war? (check COW data)
No

6. Means by which alliance was established (e.g., treaty, executive agreement, diplomatic notes, etc.): (Only select "treaty" if the agreement requires ratification.)
Treaty

7. Was the treaty public, public but with secret articles, or secret? If secret articles, describe the content of secret articles.
Public

8. Are there provisions for adding additional members mentioned in the treaty? (Yes, No) If Yes, describe these provisions.
No

9. Are specific state(s) mentioned as possible future members of the alliance? (Yes, No) If Yes, which state(s)?
No

10. Are there specific provisions in the treaty concerning the length of time the treaty is to last? (Yes, No) If Yes, describe.
Yes. 180 months.

11. Are there conditions under which members may renounce the treaty? If Yes, describe these conditions.
No

12. Are there specific provisions in the treaty concerning renewal of the treaty? (Yes, No) If Yes, describe these provisions.
Yes. Automatic renewal for five-year terms unless denounced one-year in advance current term expiration in writing.

13. Number of times alliance renewed, dates of renewal, and means by which alliance was renewed (Do not include automatic renewals):
Zero

14. Termination date(s) (may vary for members in multilateral alliances) and source(s) for termination dates(s):
Still in effect according to Lithuanian MFA- website visited 5/5/04
Version 4.0: In effect as of October 26, 2017 according to Ministry of Foreign Affairs, Lithuania
(<http://www.urm.lt/default/en/poland>)

15. Describe what caused the alliance to terminate.
N/A

SECTION II: OBLIGATIONS

16. Type of alliance (defense pact, neutrality pact, nonaggression pact, consultation pact, offense pact): (List all that apply to any member of the alliance.)
Consultation Pact, Nonaggression Pact, Neutrality Pact

17. Type of alliance as coded in COW data (if applicable):

NA

18. Describe the obligations of the alliance partners.

Consultation:

Article VI 1. "If a dispute or situation arises which, ..., may threaten or threatens the maintenance of peace or security in the world or constitutes a breach of international peace or security, particularly when such a dispute or situation affects the two Parties, the Parties shall hold appropriate consultations without delay in order to consider ways of settling the dispute or situation ..."

Nonaggression:

Article III 1. "...the (parties) pledge to settle their disputes exclusively through peaceful means and confirm that they shall refrain from the use or threat of force in their mutual relations."

Neutrality:

Article VI 2. "If a third state or States commits armed aggression against one of the Parties, the other Party undertakes not to give any assistance or support to that State or those States for the entire duration of the armed conflict, and shall take steps to settle the conflict..."

19. Are any of the obligations contingent upon any of the following: specific adversary, specific location, specific ongoing conflict, number of adversaries, noncompliance with a specific demand, attack, nonprovocation of enemy, or prior agreement among partners? (List all that apply)

Attack

20. Describe the nature of the limits to obligations listed in #19.

See #18 Neutrality contingent upon attack

21. Are there any additional provisions for assistance in the event of war? (e.g., promise not to participate in economic sanctions against partner, promise not to aid internal or external enemies, etc.) (Yes, No) If Yes, describe.

Yes. Article III 2. "Neither Party shall allow its territory to be used by a third State or States to carry out acts of aggression against the other Party."

22. Are there any additional limits to the alliance obligations or conditions under which treaty obligations do not apply that were not listed in #19? (Yes, No) If Yes, describe.

No

23. Is a specific target/threat mentioned as the object of the treaty? (Yes, No) (Answering Yes to this question does not necessarily mean that obligations are limited only to this adversary.) If Yes, list the target nation(s) (using COW country codes if possible) and describe the general nature of the reference to the target of the treaty.

No

24. Are the treaty obligations symmetric? (That is, do all members commit to the same obligations?) (Yes, No) If No, describe.

Yes

25. Does the treaty prohibit members from settling conflicts independently (no separate peace)? (Yes, No) If Yes, describe.

No

26. Does the treaty have provisions requiring that the contracting parties consult before making commitments to third parties (excluding no separate peace provisions discussed above)? (Yes, No) If Yes, describe these provisions.

No

27. Does the treaty specify that the contracting parties must not enter into any other alliances that are directed against the alliance in question? (Yes, No) If Yes, describe.

No

28. Additional coder comments regarding treaty obligations:

Article 3 (3): "Each party shall guarantee that the activities of its armed forces in its territory shall be in conformity with the Charter of the United Nations, the Final Act of the Conference on Security and Cooperation in Europe, The Charter of Paris for a new Europe and other documents of the Conference on Security and Cooperation in Europe."

SECTION III: INSTITUTIONALIZATION OF THE ALLIANCE

29. Does the treaty make reference to the potential for conflict among members of the alliance? (Yes, No) If Yes, describe.
Yes. Article III 1. "...the (parties) pledge to settle their disputes exclusively through peaceful means..."
30. Does the treaty discuss mediation/arbitration or other means of settling disputes among the signatories? (Yes, No) If Yes, describe.
No
31. Does the treaty require official contact among the military forces of the participating states? (Yes, No) If Yes, describe. (Note whether official contact is required only in the event of hostilities or also during peacetime.)
No
32. Does the treaty include provisions for military aid? (e.g., grants, loans, transfer of technology, training) (Yes, No) If Yes, describe these provisions.
No
33. Does the treaty provide for integrated command of military forces while the alliance is in effect (peacetime as well as wartime)? (Yes, No) If Yes, describe.
No
34. Does the treaty require subordination of the forces of one or more member states to another in the event of hostilities? (Yes, No) If Yes, describe.
No
35. Does the treaty establish any organizations? (include provisions for regularly scheduled meetings) (Yes, No) If Yes, describe.
Yes. Article 8 calls for regular contacts between all levels of government. Heads of State and Government shall meet annually, Foreign Ministers "regular consultations."
36. Does the treaty provide for joint military bases, or for one or more states to place troops in the territory of one or more other states? (Yes, No) If Yes, describe.
No
37. Does the treaty specify contribution levels (funds, troops, etc.)? (Yes, No) If Yes, describe.
No
38. Does the treaty contain any provisions regarding coordinated increase of armaments, reduction of armaments, prohibition of weapons, or rules of warfare? (Yes, No) If Yes, describe such provisions.
Article 5 requires parties to "actively promote" disarmament in Nuclear, Chemical, and Biological Weapons and non-proliferation of nuclear weapons and materials, but no specific limits.
39. Does the treaty explicitly permit or prohibit the acquisition of territory not currently held by either ally? (Yes, No) If Yes, describe reference.
No
40. Does the treaty include discussion of the division of gains from any future conflict? (Yes, No) If Yes, describe.
No
41. Does the treaty include discussion of demobilization/withdrawal following conflict? (Yes, No) If Yes, describe.
No
42. Are there any other provisions in the treaty that describe the means through which the states will coordinate their military efforts and policies? (Yes, No) If Yes, describe.
No
43. Additional coder comments regarding references to alliance institutionalization:
No

SECTION IV: TREATY CONTEXT

44. Does the treaty state that it nullifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are nullified and describe the treaty reference.
No

45. Does the treaty state that it modifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are modified and describe the treaty reference.

No

46. Does the treaty state that it includes any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are included and describe the treaty reference.

No

47. Does the treaty make any references to international organizations? (Yes, No) If Yes, list the international organization and describe the nature of the reference.

Yes

United Nations-numerous references about following guidelines of UN charter in actions.

Conference on Security Cooperation in Europe-references about working within its framework.

Council of Baltic States-Article 7 requires parties work within its framework for cooperation and good-neighbourliness.

48. Does the treaty make any references to other existing alliances? (Yes, No) If Yes, list the alliance and describe the nature of the reference.

CSCE- see # 47

49. Are there any companion agreements referenced in the treaty? (Yes, No) If Yes, describe the agreements.

No

50. Does the treaty include statements regarding non-military cooperation (e.g., economic, cultural, scientific exchange)? (Yes, No) If Yes, describe.

Yes. Multiple articles describing cooperation in economic development, trade, cooperation among communities, transportation, environment, ethnic minority rights, culture, education, literature and the arts, social organization, health, gravesite visitation, and crime.

51. Does the treaty resolve other conflicts among the parties to the alliance? (Yes, No) If Yes, describe.

No

52. Does the treaty propose/enforce settlement of a conflict not involving parties to the alliance? (Yes, No) If Yes, describe.

No

53. Does the treaty propose other agreements among the contracting parties? (Yes, No) If Yes, describe.

Article V 4. "The Parties shall establish their relations in the military field on the basis of separate agreements."

Article 12 (4): "The competent authorities of the two Parties shall conclude separate agreements on ecological security and cooperation in accordance with international standards."

Article 24 (1): "The Contracting Parties shall, on the basis of separate agreements, create conditions for legal assistance in civil, family, criminal and administrative matters."

54. Does the treaty mention unresolved conflicts among the contracting parties? (Yes, No) If Yes, describe.

No

55. Does the treaty include provisions for economic aid or other enticements (include trade concessions, post war recovery, etc.)? (Yes, No) If Yes, describe these provisions.

No

56. Does the treaty describe circumstances under which one party may intervene in the internal politics of another party or specifically commit the states to non-intervention? (Yes, No) If Yes, describe.

Yes. Article 1: "The Contracting Parties shall establish their relations in a spirit of mutual respect, confidence, ..., non-interference in internal affairs."

SECTION V: DOCUMENTATION

57. Additional coder comments on this alliance treaty:

58. Source of coding information (e.g., treaty, treaty and secondary sources, secondary sources only, etc.): Treaty

59. Treaty citation: UNTS no.31485

60. Date of last revision of this coding sheet: July 29, 2004

61. Coder: Andrew Long, Ashley Leeds

Version 4.0: Q14 revised by Nicholas Coulombe on October 26, 2017