

ALLIANCE TREATY OBLIGATIONS AND PROVISIONS (ATOP)
CODING SHEET

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SECTION I: FORMATION, MEMBERS, AND DURATION

1. ATOP ID: 2020

2. COW Alliance # (if applicable): 3018

3. Signature date(s) (may vary for members in multilateral alliances):
October 1, 1914.

4. Members of alliance (use COW country codes):
365 Russia
360 Romania.

5. Was the alliance signed during war? If so, which war, and which member(s) was at war? (check COW data)
Yes. Russia is involved in 106 (WWI)

6. Means by which alliance was established (e.g., treaty, executive agreement, diplomatic notes, etc.): (Only select "treaty" if the agreement requires ratification.)
Diplomatic notes.

7. Was the treaty public, public but with secret articles, or secret? If secret articles, describe the content of secret articles.
Secret. Until Romania annexes the territories referred to in the declaration, this declaration is to stay secret.

8. Are there provisions for adding additional members mentioned in the treaty? (Yes, No) If Yes, describe these provisions.
No.

9. Are specific state(s) mentioned as possible future members of the alliance? (Yes, No) If Yes, which state(s)?
No. But Russia assures Romania that the obligations of the treaty will be confirmed by London and Paris.

10. Are there specific provisions in the treaty concerning the length of time the treaty is to last? (Yes, No) If Yes, describe.
No.

11. Are there conditions under which members may renounce the treaty? If Yes, describe these conditions.
No.

12. Are there specific provisions in the treaty concerning renewal of the treaty? (Yes, No) If Yes, describe these provisions.
No.

13. Number of times alliance renewed, dates of renewal, and means by which alliance was renewed (Do not include automatic renewals):
N/A.

14. Termination date(s) (may vary for members in multilateral alliances) and source(s) for termination dates(s):
August 17, 1916

15. Describe what caused the alliance to terminate.
Replaced by new agreement (ATOP ID# 2050)

SECTION II: OBLIGATIONS

16. Type of alliance (defense pact, neutrality pact, nonaggression pact, consultation pact, offense pact): (List all that apply to any member of the alliance.)
Defense Pact for Russia
Neutrality Pact for Romania.

17. Type of alliance as coded in COW data (if applicable):

18. Describe the obligations of the alliance partners.

Russia commits itself to resist any attack against the territorial status quo of Romania in extending its current borders. At the same time it commits itself to give Romania the right to annex those territories of the Austrian-Hungarian monarchy that are inhabited by Romanians.

Romania commits itself to maintain benevolent neutrality towards Russia until it gains the Austrian-Hungarian territories that are inhabited by Romanians.

19. Are any of the obligations contingent upon any of the following: specific adversary, specific location, specific ongoing conflict, number of adversaries, noncompliance with a specific demand, attack, nonprovocation of enemy, or prior agreement among partners? (List all that apply)

Yes. Temporal limit.

20. Describe the nature of the limits to obligations listed in #19.

Romania commits itself to neutrality until it gains the Austrian-Hungarian territories that are inhabited by Romanians.

21. Are there any additional provisions for assistance in the event of war? (e.g., promise not to participate in economic sanctions against partner, promise not to aid internal or external enemies, etc.) (Yes, No) If Yes, describe.

No.

22. Are there any additional limits to the alliance obligations or conditions under which treaty obligations do not apply that were not listed in #19? (Yes, No) If Yes, describe.

No.

23. Is a specific target/threat mentioned as the object of the treaty? (Yes, No) (Answering Yes to this question does not necessarily mean that obligations are limited only to this adversary.) If Yes, list the target nation(s) (using COW country codes if possible) and describe the general nature of the reference to the target of the treaty.

No. But it is implicit since they are dividing up Austrian-Hungarian territory.

24. Are the treaty obligations symmetric? (That is, do all members commit to the same obligations?) (Yes, No) If No, describe.

No. Defense for Russia and neutrality for Romania.

25. Does the treaty prohibit members from settling conflicts independently (no separate peace)? (Yes, No) If Yes, describe.

No.

26. Does the treaty have provisions requiring that the contracting parties consult before making commitments to third parties (excluding no separate peace provisions discussed above)? (Yes, No) If Yes, describe these provisions.

No.

27. Does the treaty specify that the contracting parties must not enter into any other alliances that are directed against the alliance in question? (Yes, No) If Yes, describe.

No.

28. Additional coder comments regarding treaty obligations:

The defense obligation can be understood as Russia defending Romania only within its current territory or Russia defending Romania in the Austrian-Hungarian territories that it is trying to annex. Here it is coded as the second possibility. The German original says: Russland verpflichtet sich, sich jedem Anschlag gegen den territorialen status quo Rumaeniens in der Ausdehnung seiner gegewaertigen Grenzen zu widersetzen.

SECTION III: INSTITUTIONALIZATION OF THE ALLIANCE

29. Does the treaty make reference to the potential for conflict among members of the alliance? (Yes, No) If Yes, describe.

Yes – there is discussion of how to determine which territories will be Romanian and which Russian – see # 39

30. Does the treaty discuss mediation/arbitration or other means of settling disputes among the signatories? (Yes, No) If Yes, describe.

No.

31. Does the treaty require official contact among the military forces of the participating states? (Yes, No) If Yes, describe. (Note whether official contact is required only in the event of hostilities or also during peacetime.)

No.

32. Does the treaty include provisions for military aid? (e.g., grants, loans, transfer of technology, training) (Yes, No) If Yes, describe these provisions.

No.

33. Does the treaty provide for integrated command of military forces while the alliance is in effect (peacetime as well as wartime)? (Yes, No) If Yes, describe.

No.

34. Does the treaty require subordination of the forces of one or more member states to another in the event of hostilities? (Yes, No) If Yes, describe.

No.

35. Does the treaty establish any organizations? (include provisions for regularly scheduled meetings) (Yes, No) If Yes, describe.

Yes. The treaty discusses the formation of an inter-ministerial commission that decides on which territories of the Burkwina are inhabited by a Romanian majority and thus shall become part of Romania and which territories shall be Russian.

36. Does the treaty provide for joint military bases, or for one or more states to place troops in the territory of one or more other states? (Yes, No) If Yes, describe.

No.

37. Does the treaty specify contribution levels (funds, troops, etc.)? (Yes, No) If Yes, describe.

No.

38. Does the treaty contain any provisions regarding coordinated increase of armaments, reduction of armaments, prohibition of weapons, or rules of warfare? (Yes, No) If Yes, describe such provisions.

No.

39. Does the treaty explicitly permit or prohibit the acquisition of territory not currently held by either ally? (Yes, No) If Yes, describe reference.

Yes. Russia commits itself to give Romania the right to annex those territories of the Austrian-Hungarian monarchy that are inhabited by Romanians. For the Burkwina the principles of the majority of the population shall be basis for the delimitation of Russia from Romania. The delimitation will be decided upon after a local inspection and an inter-ministerial commission shall be formed for that purpose. Romania will occupy the territories as soon as it considers this necessary.

40. Does the treaty include discussion of the division of gains from any future conflict? (Yes, No) If Yes, describe.

Yes – see # 39

41. Does the treaty include discussion of demobilization/withdrawal following conflict? (Yes, No) If Yes, describe.

No.

42. Are there any other provisions in the treaty that describe the means through which the states will coordinate their military efforts and policies? (Yes, No) If Yes, describe.

No.

43. Additional coder comments regarding references to alliance institutionalization:

SECTION IV: TREATY CONTEXT

44. Does the treaty state that it nullifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are nullified and describe the treaty reference.

No.

45. Does the treaty state that it modifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are modified and describe the treaty reference.

No.

46. Does the treaty state that it includes any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are included and describe the treaty reference.

No.

47. Does the treaty make any references to international organizations? (Yes, No) If Yes, list the international organization and describe the nature of the reference.

No.

48. Does the treaty make any references to other existing alliances? (Yes, No) If Yes, list the alliance and describe the nature of the reference.
No.
49. Are there any companion agreements referenced in the treaty? (Yes, No) If Yes, describe the agreements.
No.
50. Does the treaty include statements regarding non-military cooperation (e.g., economic, cultural, scientific exchange)? (Yes, No) If Yes, describe.
No.
51. Does the treaty resolve other conflicts among the parties to the alliance? (Yes, No) If Yes, describe.
No.
52. Does the treaty propose/enforce settlement of a conflict not involving parties to the alliance? (Yes, No) If Yes, describe.
No.
53. Does the treaty propose other agreements among the contracting parties? (Yes, No) If Yes, describe.
No.
54. Does the treaty mention unresolved conflicts among the contracting parties? (Yes, No) If Yes, describe.
Yes – which territories are Romanian and which Russian. See # 39
55. Does the treaty include provisions for economic aid or other enticements (include trade concessions, post war recovery, etc.)? (Yes, No) If Yes, describe these provisions.
No.
56. Does the treaty describe circumstances under which one party may intervene in the internal politics of another party or specifically commit the states to non-intervention? (Yes, No) If Yes, describe.
No.

SECTION V: DOCUMENTATION

57. Additional coder comments on this alliance treaty:
Exchange of notes coded from German translation by Michaela Mattes.
58. Source of coding information (e.g., treaty, treaty and secondary sources, secondary sources only, etc.):
Treaty.
59. Treaty citation: CTS 220:333
60. Date of last revision of this coding sheet: 7/14/2004
61. Coder: Michaela Mattes, Ashley Leeds
- V4: Q15 revised to reference ATOP ID # by Nicholas Coulombe on 5/15/2018