

ALLIANCE TREATY OBLIGATIONS AND PROVISIONS (ATOP)  
CODING SHEET

Brett Ashley Leeds with Jeffrey M. Ritter, Sara McLaughlin Mitchell, and Andrew G. Long  
Department of Political Science, Rice University, P.O. Box 1892-MS 24, Houston, TX 77251-1892  
Version 8.0; August, 2000

SECTION I: FORMATION, MEMBERS, AND DURATION

1. ATOP ID: # 2330.
2. COW Alliance # (if applicable): # 3075.
3. Signature date(s) (may vary for members in multilateral alliances):  
February 9, 1934.
4. Members of alliance (use COW country codes):  
350 Greece  
360 Romania  
640 Turkey  
345 Yugoslavia
5. Was the alliance signed during war? If so, which war, and which member(s) was at war? (check COW data)  
No.
6. Means by which alliance was established (e.g., treaty, executive agreement, diplomatic notes, etc.): (Only select "treaty" if the agreement requires ratification.)  
Treaty.
7. Was the treaty public, public but with secret articles, or secret? If secret articles, describe the content of secret articles.  
Public.
8. Are there provisions for adding additional members mentioned in the treaty? (Yes, No) If Yes, describe these provisions.  
Yes. The agreement shall be open for any Balkan country whose accession thereto is favorably regarded by the contracting parties, and such accession shall take effect as soon as the other signatory states have notified their agreement (Art.3).
9. Are specific state(s) mentioned as possible future members of the alliance? (Yes, No) If Yes, which state(s)?  
Yes. See 8.
10. Are there specific provisions in the treaty concerning the length of time the treaty is to last? (Yes, No) If Yes, describe.  
Yes. The pact shall be initially valid for two years. Within these two years the signatories shall decide on the duration of the pact. The duration of the pact shall be fixed at not less than five years and may be longer. If, two years after its signature, no duration has been fixed, the pact shall ipso facto remain in force for five years from the expiry of two years after its signature (Protocol-Annex, Art.8).
11. Are there conditions under which members may renounce the treaty? If Yes, describe these conditions.  
No. No denunciation or notice of denunciation shall be admissible, whether in the first period of the pact's validity (namely seven or more than seven years) or in any subsequent period fixed automatically by tacit agreement, before the year preceding the date on which the pact expires (Protocol-Annex, Art.8).  
The Pact of Balkan Entente is a defensive instrument; accordingly, the obligations on the parties which arise out of the pact shall cease to exist in relation to a party becoming an aggressor against any other country within the meaning of article 2 of the London Conventions ((Protocol-Annex, Art.7).
12. Are there specific provisions in the treaty concerning renewal of the treaty? (Yes, No) If Yes, describe these provisions.  
Yes. On the expiry of the said 5 years, or of the period on which the parties have agreed for its duration, the pact shall be automatically renewed by tacit agreement for the period for which it was previously in force, failing denunciation by one of the parties one year before the date of its expiry (Protocol-Annex, Art.8).
13. Number of times alliance renewed, dates of renewal, and means by which alliance was renewed (Do not include automatic renewals):
14. Termination date(s) (may vary for members in multilateral alliances) and source(s) for termination dates(s):  
October 28, 1940
15. Describe what caused the alliance to terminate.

Italy invades Greece through Albania – signatories do not aid Greece (Langer, 1972: 1140).

## SECTION II: OBLIGATIONS

16. Type of alliance (defense pact, neutrality pact, nonaggression pact, consultation pact, offense pact): (List all that apply to any member of the alliance.)

Consultation Pact

Defense Pact

17. Type of alliance as coded in COW data (if applicable): Defense Pact.

18. Describe the obligations of the alliance partners.

The parties undertake to concert together in regard to the measures to be taken in contingencies liable to affect their interests as defined by the present agreement. They undertake not to embark upon any political action in relation to any other Balkan state not signatory of the present treaty without previous mutual consultation, nor to incur any political obligations to any other Balkan country without the consent of the other signatories (Art.2).

Greece, Romania, Turkey and Yugoslavia mutually guarantee the security of each and all of their Balkan frontiers (Art.I). Any country committing one of the acts of aggression to which Article 2 of the London Conventions of June 3<sup>rd</sup> and 4<sup>th</sup>, 1933, relates shall be treated as aggressor (Protocol-Annex, Art.1). The Balkan Pact is not directed against any power. Its object is to guarantee the security of the several Balkan frontiers against any aggression on the part of any Balkan state (Protocol-Annex, Art.2). If one of the parties is the victim of aggression on the part of any other non-Balkan power, and a Balkan state associates itself with such aggression, whether at the time or subsequently, the pact shall be applicable in its entirety in relation to such Balkan state (Protocol-Annex, Art.3).

19. Are any of the obligations contingent upon any of the following: specific adversary, specific location, specific ongoing conflict, number of adversaries, noncompliance with a specific demand, attack, nonprovocation of enemy, or prior agreement among partners? (List all that apply)

Yes- specific location, specific adversary

20. Describe the nature of the limits to obligations listed in #19.

The consultation provision applied to relations with and commitments to other Balkan states.

The defense provision applies to aggression by Balkan states or non-Balkan powers in collaboration with Balkan states.

21. Are there any additional provisions for assistance in the event of war? (e.g., promise not to participate in economic sanctions against partner, promise not to aid internal or external enemies, etc.) (Yes, No) If Yes, describe.

No.

22. Are there any additional limits to the alliance obligations or conditions under which treaty obligations do not apply that were not listed in #19? (Yes, No) If Yes, describe.

No.

23. Is a specific target/threat mentioned as the object of the treaty? (Yes, No) (Answering Yes to this question does not necessarily mean that obligations are limited only to this adversary.) If Yes, list the target nation(s) (using COW country codes if possible) and describe the general nature of the reference to the target of the treaty.

No.

24. Are the treaty obligations symmetric? (That is, do all members commit to the same obligations?) (Yes, No) If No, describe.

Yes.

25. Does the treaty prohibit members from settling conflicts independently (no separate peace)? (Yes, No) If Yes, describe.

No.

26. Does the treaty have provisions requiring that the contracting parties consult before making commitments to third parties (excluding no separate peace provisions discussed above)? (Yes, No) If Yes, describe these provisions.

Yes. They undertake not to embark upon any political action in relation to any other Balkan state not signatory of the present treaty without previous mutual consultation, nor to incur any political obligations to any other Balkan country without the consent of the other signatories (Art.2).

27. Does the treaty specify that the contracting parties must not enter into any other alliances that are directed against the alliance in question? (Yes, No) If Yes, describe.

No.

28. Additional coder comments regarding treaty obligations:

### SECTION III: INSTITUTIONALIZATION OF THE ALLIANCE

29. Does the treaty make reference to the potential for conflict among members of the alliance? (Yes, No) If Yes, describe.  
No.

30. Does the treaty discuss mediation/arbitration or other means of settling disputes among the signatories? (Yes, No) If Yes, describe.  
No.

31. Does the treaty require official contact among the military forces of the participating states? (Yes, No) If Yes, describe. (Note whether official contact is required only in the event of hostilities or also during peacetime.)  
No.

32. Does the treaty include provisions for military aid? (e.g., grants, loans, transfer of technology, training) (Yes, No) If Yes, describe these provisions.  
No.

33. Does the treaty provide for integrated command of military forces while the alliance is in effect (peacetime as well as wartime)? (Yes, No) If Yes, describe.  
No.

34. Does the treaty require subordination of the forces of one or more member states to another in the event of hostilities? (Yes, No) If Yes, describe.  
No.

35. Does the treaty establish any organizations? (include provisions for regularly scheduled meetings) (Yes, No) If Yes, describe.  
No.

36. Does the treaty provide for joint military bases, or for one or more states to place troops in the territory of one or more other states? (Yes, No) If Yes, describe.  
No.

37. Does the treaty specify contribution levels (funds, troops, etc.)? (Yes, No) If Yes, describe.  
No.

38. Does the treaty contain any provisions regarding coordinated increase of armaments, reduction of armaments, prohibition of weapons, or rules of warfare? (Yes, No) If Yes, describe such provisions.  
No.

39. Does the treaty explicitly permit or prohibit the acquisition of territory not currently held by either ally? (Yes, No) If Yes, describe reference.  
No.

40. Does the treaty include discussion of the division of gains from any future conflict? (Yes, No) If Yes, describe.  
No.

41. Does the treaty include discussion of demobilization/withdrawal following conflict? (Yes, No) If Yes, describe.  
No.

42. Are there any other provisions in the treaty that describe the means through which the states will coordinate their military efforts and policies? (Yes, No) If Yes, describe.  
No.

43. Additional coder comments regarding references to alliance institutionalization:

### SECTION IV: TREATY CONTEXT

44. Does the treaty state that it nullifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the

treaties/agreements that are nullified and describe the treaty reference.  
No.

45. Does the treaty state that it modifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are modified and describe the treaty reference.  
No.

46. Does the treaty state that it includes any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are included and describe the treaty reference.  
No.

47. Does the treaty make any references to international organizations? (Yes, No) If Yes, list the international organization and describe the nature of the reference.  
Yes. Animated by the understanding and conciliation which inspired the decisions of the League of Nations (Preamble).

48. Does the treaty make any references to other existing alliances? (Yes, No) If Yes, list the alliance and describe the nature of the reference.  
No.

49. Are there any companion agreements referenced in the treaty? (Yes, No) If Yes, describe the agreements.  
No.

50. Does the treaty include statements regarding non-military cooperation (e.g., economic, cultural, scientific exchange)? (Yes, No) If Yes, describe.  
No.

51. Does the treaty resolve other conflicts among the parties to the alliance? (Yes, No) If Yes, describe.  
No.

52. Does the treaty propose/enforce settlement of a conflict not involving parties to the alliance? (Yes, No) If Yes, describe.  
No.

53. Does the treaty propose other agreements among the contracting parties? (Yes, No) If Yes, describe.  
Yes. The parties undertake to conclude appropriate conventions for the furtherance of the objects pursued by the Pact of Balkan Entente. The negotiation of such conventions shall begin within six months (Protocol-Annex, 4).

54. Does the treaty mention unresolved conflicts among the contracting parties? (Yes, No) If Yes, describe.  
No.

55. Does the treaty include provisions for economic aid or other enticements (include trade concessions, post war recovery, etc.)? (Yes, No) If Yes, describe these provisions.  
No.

56. Does the treaty describe circumstances under which one party may intervene in the internal politics of another party or specifically commit the states to non-intervention? (Yes, No) If Yes, describe.  
No.

## SECTION V: DOCUMENTATION

57. Additional coder comments on this alliance treaty:

58. Source of coding information (e.g., treaty, treaty and secondary sources, secondary sources only, etc.): Treaty.

59. Treaty citation: LNTS, vol.153, p.155.

60. Date of last revision of this coding sheet: 02/13/05.

61. Coder: Andrew Long, Michaela Mattes, Ashley Leeds.