

ALLIANCE TREATY OBLIGATIONS AND PROVISIONS (ATOP)
CODING SHEET

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SECTION I: FORMATION, MEMBERS, AND DURATION

1. ATOP ID: # 2210.
2. COW Alliance # (if applicable): N/A
3. Signature date(s) (may vary for members in multilateral alliances):
November 27, 1927 (Phase 1)
June 15, 1928 (Phase2).
4. Members of alliance (use COW country codes):
630 Persia
700 Afghanistan.
5. Was the alliance signed during war? If so, which war, and which member(s) was at war? (check COW data)
No.
6. Means by which alliance was established (e.g., treaty, executive agreement, diplomatic notes, etc.): (Only select "treaty" if the agreement requires ratification.)
Treaty.
7. Was the treaty public, public but with secret articles, or secret? If secret articles, describe the content of secret articles.
Public.
8. Are there provisions for adding additional members mentioned in the treaty? (Yes, No) If Yes, describe these provisions.
No.
9. Are specific state(s) mentioned as possible future members of the alliance? (Yes, No) If Yes, which state(s)?
No.
10. Are there specific provisions in the treaty concerning the length of time the treaty is to last? (Yes, No) If Yes, describe.
Yes. The treaty is concluded for a period of six years (Art.8).
11. Are there conditions under which members may renounce the treaty? If Yes, describe these conditions.
No.
12. Are there specific provisions in the treaty concerning renewal of the treaty? (Yes, No) If Yes, describe these provisions.
Yes. In the event one of the two parties has not announced its intention six months before the expiry of the treaty to terminate it, it shall be renewed for periods of one year, until such time as one of the parties announces, not less than six months before the close of the year, his intention to renew it at the close of the year (Art.8).
13. Number of times alliance renewed, dates of renewal, and means by which alliance was renewed (Do not include automatic renewals):
14. Termination date(s) (may vary for members in multilateral alliances) and source(s) for termination dates(s):
July 8, 1937
15. Describe what caused the alliance to terminate.
Replaced by new alliance – Saadabad Pact ATOP ID #2410

SECTION II: OBLIGATIONS

16. Type of alliance (defense pact, neutrality pact, nonaggression pact, consultation pact, offense pact): (List all that apply to any member of the alliance.)
Nonaggression Pact
Neutrality Pact
Consultation Pact (Phase 2).

17. Type of alliance as coded in COW data (if applicable): N/A.

18. Describe the obligations of the alliance partners.

Each of the two parties undertakes to refrain from any attack or aggression against the other party and from encroachment by armed forces upon the other's territories (Phase 1 Treaty, Art.2).

In the event of one of the two parties being the object of aggression on part of one or more third powers, the other party undertakes to observe neutrality throughout the duration of hostilities. The party which is the object of aggression shall not for his part violate such neutrality, whatever the political, strategic or tactical interests involved (Phase 1 Treaty, Art.2).

Each of the two parties undertakes not to participate in hostile action of any kind directed against the other party by one or more parties ... (Phase 1 Treaty, Art.3).

In the event of either of the two parties being the object of hostile action on the part of one or more third powers, the other party shall employ all the means at his disposal for the purpose of obtaining a satisfactory settlement of the situation. If, in spite of all the efforts thus extended, war becomes imminent and inevitable, the parties undertake to examine the situation carefully in the spirit of cordiality and reciprocal goodwill with a view to finding a solution appropriate to the circumstances and in conformity to their higher interests (Phase 2 Protocol, Art.1).

19. Are any of the obligations contingent upon any of the following: specific adversary, specific location, specific ongoing conflict, number of adversaries, noncompliance with a specific demand, attack, nonprovocation of enemy, or prior agreement among partners? (List all that apply)

Yes- attack, specific location

20. Describe the nature of the limits to obligations listed in #19.

Neutrality is contingent upon being the object of aggression and consultation is contingent upon being the object of hostile action.

21. Are there any additional provisions for assistance in the event of war? (e.g., promise not to participate in economic sanctions against partner, promise not to aid internal or external enemies, etc.) (Yes, No) If Yes, describe.

Yes. Each of the two parties shall refrain from taking part in blockades or economic boycotts directed against the other party (Phase 1 Treaty, Art.3).

In the event of one or more third powers at war with one of the parties violating the neutrality of the other party, or causing troops, arms or war material to pass through the latter's territory, or seeking to procure recruits, supplies, beasts of burden or necessities of war in general on such territory, or causing their armies in retreat to pass through such territory, or for their own purposes encouraging or inciting the population of the neutral party to rebel, the neutral party shall be under obligation to prevent such operations by armed forces and safeguard its neutrality (Phase 1 Treaty, Art.4).

22. Are there any additional limits to the alliance obligations or conditions under which treaty obligations do not apply that were not listed in #19? (Yes, No) If Yes, describe.

No.

23. Is a specific target/threat mentioned as the object of the treaty? (Yes, No) (Answering Yes to this question does not necessarily mean that obligations are limited only to this adversary.) If Yes, list the target nation(s) (using COW country codes if possible) and describe the general nature of the reference to the target of the treaty.

No.

24. Are the treaty obligations symmetric? (That is, do all members commit to the same obligations?) (Yes, No) If No, describe.

Yes.

25. Does the treaty prohibit members from settling conflicts independently (no separate peace)? (Yes, No) If Yes, describe.

No.

26. Does the treaty have provisions requiring that the contracting parties consult before making commitments to third parties (excluding no separate peace provisions discussed above)? (Yes, No) If Yes, describe these provisions.

No.

27. Does the treaty specify that the contracting parties must not enter into any other alliances that are directed against the alliance in question? (Yes, No) If Yes, describe.

Yes. Each of the two parties undertakes not to participate in hostile action of any kind directed against the other party by one or more parties, or to take part in name or in fact in political or military alliances or understandings directed against the independence, security or authority of the other party or involving political or military disadvantage to the latter (Phase 1 Treaty, Art.3).

28. Additional coder comments regarding treaty obligations:

SECTION III: INSTITUTIONALIZATION OF THE ALLIANCE

29. Does the treaty make reference to the potential for conflict among members of the alliance? (Yes, No) If Yes, describe.

Yes. The two parties agree to settle by the means laid down in the Protocol annexed hereto such differences as may arise between them and as it may not have been possible to settle through diplomatic channels (Phase 1 Treaty, Art.6).

30. Does the treaty discuss mediation/arbitration or other means of settling disputes among the signatories? (Yes, No) If Yes, describe.

Yes. In the events of disputes arising between them which are not settled through diplomatic channels, each of the parties shall appoint a statesman of his country. If these two arbitrators are unable to agree between themselves, they shall jointly appoint a statesman of a neutral power, and the decision of the third arbitrator on the subject of the dispute shall be final (Protocol attached to Phase 1 Treaty).

31. Does the treaty require official contact among the military forces of the participating states? (Yes, No) If Yes, describe. (Note whether official contact is required only in the event of hostilities or also during peacetime.)

No.

32. Does the treaty include provisions for military aid? (e.g., grants, loans, transfer of technology, training) (Yes, No) If Yes, describe these provisions.

No.

33. Does the treaty provide for integrated command of military forces while the alliance is in effect (peacetime as well as wartime)? (Yes, No) If Yes, describe.

No.

34. Does the treaty require subordination of the forces of one or more member states to another in the event of hostilities? (Yes, No) If Yes, describe.

No.

35. Does the treaty establish any organizations? (include provisions for regularly scheduled meetings) (Yes, No) If Yes, describe.

No.

36. Does the treaty provide for joint military bases, or for one or more states to place troops in the territory of one or more other states? (Yes, No) If Yes, describe.

No.

37. Does the treaty specify contribution levels (funds, troops, etc.)? (Yes, No) If Yes, describe.

No.

38. Does the treaty contain any provisions regarding coordinated increase of armaments, reduction of armaments, prohibition of weapons, or rules of warfare? (Yes, No) If Yes, describe such provisions.

No.

39. Does the treaty explicitly permit or prohibit the acquisition of territory not currently held by either ally? (Yes, No) If Yes, describe reference.

No.

40. Does the treaty include discussion of the division of gains from any future conflict? (Yes, No) If Yes, describe.

No.

41. Does the treaty include discussion of demobilization/withdrawal following conflict? (Yes, No) If Yes, describe.

No.

42. Are there any other provisions in the treaty that describe the means through which the states will coordinate their military efforts and policies? (Yes, No) If Yes, describe.

No.

43. Additional coder comments regarding references to alliance institutionalization:

SECTION IV: TREATY CONTEXT

44. Does the treaty state that it nullifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are nullified and describe the treaty reference.

No.

45. Does the treaty state that it modifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are modified and describe the treaty reference.

No.

46. Does the treaty state that it includes any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are included and describe the treaty reference.

Yes. The Treaty of Saratan 1, 1300, all the clauses and provisions of which remain in force, shall be the basis of relations between Persia and Afghanistan (Phase 1 Treaty, Art.1).

47. Does the treaty make any references to international organizations? (Yes, No) If Yes, list the international organization and describe the nature of the reference.

No.

48. Does the treaty make any references to other existing alliances? (Yes, No) If Yes, list the alliance and describe the nature of the reference.

No.

49. Are there any companion agreements referenced in the treaty? (Yes, No) If Yes, describe the agreements.

No.

50. Does the treaty include statements regarding non-military cooperation (e.g., economic, cultural, scientific exchange)? (Yes, No) If Yes, describe.

Yes. The parties agree that within nine months from the exchange of ratifications their plenipotentiaries shall meet at Teheran for the purpose of concluding on a basis of equal treatment commercial, establishment, consular, postal, telegraphic and extradition agreements (Phase 1 Treaty, Art.5).

Each party undertakes to contribute to the progress and improvement of both countries and both nations by placing at the disposal of the other party all the means existing in its own country which may be useful or necessary to that party, such as material resources, technical experts etc. The manner in which such assistance shall be given shall be determined in special conventions between the two parties. Furthermore: economic cooperation, improving communication (esp. road systems, postal connection and telegraphic and wireless communication), grant free transit and liberty to trade (Phase 2 Protocol, Art.2).

The national of either party shall, as a general rule, enjoy most-favored-nation treatment in their respective territories (Phase 2 Protocol, Art.3).

51. Does the treaty resolve other conflicts among the parties to the alliance? (Yes, No) If Yes, describe.

No.

52. Does the treaty propose/enforce settlement of a conflict not involving parties to the alliance? (Yes, No) If Yes, describe.

No.

53. Does the treaty propose other agreements among the contracting parties? (Yes, No) If Yes, describe.

Yes. The parties agree that within nine months from the exchange of ratifications their plenipotentiaries shall meet at Teheran for the purpose of concluding on a basis of equal treatment commercial, establishment, consular, postal, telegraphic and extradition agreements (Phase 1 Treaty, Art.5). The manner of assistance in material resources, technical experts etc shall be determined in special conventions between the two parties (Phase 2 Protocol, Art.2). The treatment the two parties shall reciprocally grant each other shall be fixed as soon as possible in commercial, customs and establishment treaties (Phase 2 Protocol, Art.3).

54. Does the treaty mention unresolved conflicts among the contracting parties? (Yes, No) If Yes, describe.

No.

55. Does the treaty include provisions for economic aid or other enticements (include trade concessions, post war recovery, etc.)? (Yes, No) If Yes, describe these provisions.

Yes. The national of either party shall, as a general rule, enjoy most-favored-nation treatment in their respective territories (Phase 2 Protocol, Art.3).

56. Does the treaty describe circumstances under which one party may intervene in the internal politics of another party or specifically

commit the states to non-intervention? (Yes, No) If Yes, describe.
No.

SECTION V: DOCUMENTATION

57. Additional coder comments on this alliance treaty:

There are two phases to this agreement. Phase 1 is constituted by the treaty from November 27, 1927 and its annexed protocol.
Phase 2 is based on the original treaty and annexed protocol plus the Annexed Protocol from June 15, 1928.

58. Source of coding information (e.g., treaty, treaty and secondary sources, secondary sources only, etc.): Treaty.

59. Treaty citation: LNTS, vol.107, p.445.

60. Date of last revision of this coding sheet: 02/20/05.

61. Coder: Andrew Long, Michaela Mattes, Ashley Leeds

V4: Q15 updated to reference ATOP ID# on 5/15/2018 by Nicholas Coulombe