

ALLIANCE TREATY OBLIGATIONS AND PROVISIONS (ATOP)  
CODING SHEET

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SECTION I: FORMATION, MEMBERS, AND DURATION

1. ATOP ID: 4016

2. COW Alliance # (if applicable): N/A

3. Signature date(s) (may vary for members in multilateral alliances):  
November 19, 1990 (but Ukraine does not become independent according to COW until December 26, 1991)

4. Members of alliance (use COW country codes):  
365 Russia  
369 Ukraine

5. Was the alliance signed during war? If so, which war, and which member(s) was at war? (check COW data)  
No.

6. Means by which alliance was established (e.g., treaty, executive agreement, diplomatic notes, etc.): (Only select "treaty" if the agreement requires ratification.)  
Treaty.

7. Was the treaty public, public but with secret articles, or secret? If secret articles, describe the content of secret articles.  
Public.

8. Are there provisions for adding additional members mentioned in the treaty? (Yes, No) If Yes, describe these provisions.  
No.

9. Are specific state(s) mentioned as possible future members of the alliance? (Yes, No) If Yes, which state(s)?  
No.

10. Are there specific provisions in the treaty concerning the length of time the treaty is to last? (Yes, No) If Yes, describe.  
Yes. The treaty is concluded for a term of 10 years.

11. Are there conditions under which members may renounce the treaty? If Yes, describe these conditions.  
No.

12. Are there specific provisions in the treaty concerning renewal of the treaty? (Yes, No) If Yes, describe these provisions.  
Yes. The treaty will be automatically extended for an additional ten-year period unless one of the Parties announces its desire to terminate it in writing at least six months prior to the termination of the treaty(Art. 20). Each Party reserves the right to initiate negotiations on the advisability of extending the validity of the present treaty or of its individual articles. The provisions of the present treaty may be supplemented or amended at the mutual consent of both Parties(Art. 18).

13. Number of times alliance renewed, dates of renewal, and means by which alliance was renewed (Do not include automatic renewals):  
Zero.

14. Termination date(s) (may vary for members in multilateral alliances) and source(s) for termination dates(s):  
May 31, 1997. See #15.

15. Describe what caused the alliance to terminate.  
Replaced by a new alliance treaty signed on May 31, 1997 (ATOPID: 4875)

SECTION II: OBLIGATIONS

16. Type of alliance (defense pact, neutrality pact, nonaggression pact, consultation pact, offense pact): (List all that apply to any member of the alliance.)  
Nonaggression Pact

17. Type of alliance as coded in COW data (if applicable): N/A

18. Describe the obligations of the alliance partners.

"With a view to developing relations between the two States on the basis of the principles of sovereign equality, non-interference in internal affairs, renunciation of the use of force or economic pressure, settlement of disputes by conciliation...(Preamble)"[Nonaggression]

19. Are any of the obligations contingent upon any of the following: specific adversary, specific location, specific ongoing conflict,

number of adversaries, noncompliance with a specific demand, attack, nonprovocation of enemy, or prior agreement among partners?  
(List all that apply)

No.

20. Describe the nature of the limits to obligations listed in #19.

N/A

21. Are there any additional provisions for assistance in the event of war? (e.g., promise not to participate in economic sanctions against partner, promise not to aid internal or external enemies, etc.) (Yes, No) If Yes, describe.

Yes. Renunciation of (...) economic pressure(Preamble),

“The High Contracting Parties (...) pledge to refrain from actions that might be detrimental to the State sovereignty of the other Party(Art. 1).”

“The Parties shall not adopt unilateral economic measures that destabilize or are detrimental to the other Party(Art. 10)”

22. Are there any additional limits to the alliance obligations or conditions under which treaty obligations do not apply that were not listed in #19? (Yes, No) If Yes, describe.

No.

23. Is a specific target/threat mentioned as the object of the treaty? (Yes, No) (Answering Yes to this question does not necessarily mean that obligations are limited only to this adversary.) If Yes, list the target nation(s) (using COW country codes if possible) and describe the general nature of the reference to the target of the treaty.

No.

24. Are the treaty obligations symmetric? (That is, do all members commit to the same obligations?) (Yes, No) If No, describe.

Yes.

25. Does the treaty prohibit members from settling conflicts independently (no separate peace)? (Yes, No) If Yes, describe.

No.

26. Does the treaty have provisions requiring that the contracting parties consult before making commitments to third parties (excluding no separate peace provisions discussed above)? (Yes, No) If Yes, describe these provisions.

No.

27. Does the treaty specify that the contracting parties must not enter into any other alliances that are directed against the alliance in question? (Yes, No) If Yes, describe.

No.

28. Additional coder comments regarding treaty obligations:

### SECTION III: INSTITUTIONALIZATION OF THE ALLIANCE

29. Does the treaty make reference to the potential for conflict among members of the alliance? (Yes, No) If Yes, describe.

Yes. “With a view to developing relations between the two States on the basis of the principles of (...), settlement of disputes by conciliation...(Preamble)” “Disputes regarding the interpretation or application of the provisions of this Treaty shall be settled by negotiation(Art. 15)”

30. Does the treaty discuss mediation/arbitration or other means of settling disputes among the signatories? (Yes, No) If Yes, describe.

No

31. Does the treaty require official contact among the military forces of the participating states? (Yes, No) If Yes, describe. (Note whether official contact is required only in the event of hostilities or also during peacetime.)

No.

32. Does the treaty include provisions for military aid? (e.g., grants, loans, transfer of technology, training) (Yes, No) If Yes, describe these provisions.

No.

33. Does the treaty provide for integrated command of military forces while the alliance is in effect (peacetime as well as wartime)? (Yes, No) If Yes, describe.

No.

34. Does the treaty require subordination of the forces of one or more member states to another in the event of hostilities? (Yes, No) If Yes, describe.

No.

35. Does the treaty establish any organizations? (include provisions for regularly scheduled meetings) (Yes, No) If Yes, describe.

Yes. Joint activities shall be carried out on the basis of equality by the common coordinating institutes of the Parties(Art. 8).

“With a view to implementing this Treaty, the Parties consider it necessary to establish a standing inter-parliamentary commission on cooperation(Art. 17).”

36. Does the treaty provide for joint military bases, or for one or more states to place troops in the territory of one or more other states? (Yes, No) If Yes, describe.

No.

37. Does the treaty specify contribution levels (funds, troops, etc.)? (Yes, No) If Yes, describe.

No.

38. Does the treaty contain any provisions regarding coordinated increase of armaments, reduction of armaments, prohibition of weapons, or rules of warfare? (Yes, No) If Yes, describe such provisions.  
No.
39. Does the treaty explicitly permit or prohibit the acquisition of territory not currently held by either ally? (Yes, No) If Yes, describe reference.  
No.
40. Does the treaty include discussion of the division of gains from any future conflict? (Yes, No) If Yes, describe.  
No.
41. Does the treaty include discussion of demobilization/withdrawal following conflict? (Yes, No) If Yes, describe.  
No.
42. Are there any other provisions in the treaty that describe the means through which the states will coordinate their military efforts and policies? (Yes, No) If Yes, describe.  
No. Just a general description of security cooperation: “The High Contracting Parties recognize the need for a system of collective security, including cooperation between the two States in the fields of defense and security, taking due account of the desire of the two Parties further to strengthen peace(Art.7).”
43. Additional coder comments regarding references to alliance institutionalization:

#### SECTION IV: TREATY CONTEXT

44. Does the treaty state that it nullifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are nullified and describe the treaty reference.  
No
45. Does the treaty state that it modifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are modified and describe the treaty reference.  
No
46. Does the treaty state that it includes any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are included and describe the treaty reference.  
No.
47. Does the treaty make any references to international organizations? (Yes, No) If Yes, list the international organization and describe the nature of the reference.  
Yes – UN and Conference on Security and Cooperation in Europe(CSCE)
48. Does the treaty make any references to other existing alliances? (Yes, No) If Yes, list the alliance and describe the nature of the reference.  
Yes – CSCE- see # 47
49. Are there any companion agreements referenced in the treaty? (Yes, No) If Yes, describe the agreements.  
No.
50. Does the treaty include statements regarding non-military cooperation (e.g., economic, cultural, scientific exchange)? (Yes, No) If Yes, describe.  
Yes. Mutually advantageous cooperation in the fields of economy, culture, health, ecology, science, technology, trade, humanity(Art. 5); customs, transport and communication, environmental protection, migration, prevention of organized and international crime(Art. 8); pricing policy(Art. 11)
51. Does the treaty resolve other conflicts among the parties to the alliance? (Yes, No) If Yes, describe.  
No.
52. Does the treaty propose/enforce settlement of a conflict not involving parties to the alliance? (Yes, No) If Yes, describe.  
No.
53. Does the treaty propose other agreements among the contracting parties? (Yes, No) If Yes, describe.  
Yes.  
“Questions relating to the acquisition of the citizenship (...) shall be settled by an appropriate agreement(Art. 2).”  
“The legal status of State property and the property of juridical persons and citizens of one party (...) shall be settled by established by appropriate agreements(Art. 9).”  
“All matters relating to installations classed as all-Union property shall be settled by special agreements...(Art. 9)”  
“The High Contracting Parties shall conclude intergovernmental agreements on reciprocal deliveries and services, payments, prices and the movement of securities, as well as the time-limits for the transition to a mutual accounting system based on world prices(Art. 11).”  
“The conditions and procedure for the transport of transit goods shall be established by special agreements between the Parties(Art. 12).”  
“The procedure for the exchange of such missions(exchange of plenipotentiary missions), as well as their status, shall be established by a special agreement(Art. 14)”
54. Does the treaty mention unresolved conflicts among the contracting parties? (Yes, No) If Yes, describe.  
No.
55. Does the treaty include provisions for economic aid or other enticements (include trade concessions, post war recovery, etc.)? (Yes,

No) If Yes, describe these provisions.

Yes. MFN. "The economic relations between the High Contracting Parties shall be regulated by agreements that offer most-favoured-nation treatments(Art. 10)."

56. Does the treaty describe circumstances under which one party may intervene in the internal politics of another party or specifically commit the states to non-intervention? (Yes, No) If Yes, describe.

Yes. Both parties commit themselves to non-interference in internal affairs in Preamble of the present treaty.

## SECTION V: DOCUMENTATION

57. Additional coder comments on this alliance treaty:

58. Source of coding information (e.g., treaty, treaty and secondary sources, secondary sources only, etc.):

Treaty: Treaty between the Russian Soviet Federative Socialist Republic and the Ukrainian Soviet Socialist Republic

59. Treaty citation: UNTS Reg No.: 28208

60. Date of last revision of this coding sheet: August 22, 2014

61. Coder: Jaewook Chung and Ashley Leeds