

ALLIANCE TREATY OBLIGATIONS AND PROVISIONS (ATOP)
CODING SHEET

Brett Ashley Leeds with Jeffrey M. Ritter, Sara McLaughlin Mitchell, and Andrew G. Long
Department of Political Science, Rice University, P.O. Box 1892-MS 24, Houston, TX 77251-1892
Version 8.0; August, 2000

SECTION I: FORMATION, MEMBERS, AND DURATION

1. ATOP ID: 4778

2. COW Alliance # (if applicable): N/A

3. Signature date(s) (may vary for members in multilateral alliances): March 5, 1996

4. Members of alliance (use COW country codes):

Antigua (58)
Dominica (54)
Grenada (55)
St. Kitts/Nevis (60)
Saint Lucia (56)
Saint Vincent and the Grenadines (57)
Barbados (53)

5. Was the alliance signed during war? If so, which war, and which member(s) was at war? (check COW data)
NO.

6. Means by which alliance was established (e.g., treaty, executive agreement, diplomatic notes, etc.): (Only select "treaty" if the agreement requires ratification.) Treaty

7. Was the treaty public, public but with secret articles, or secret? If secret articles, describe the content of secret articles.
PUBLIC

8. Are there provisions for adding additional members mentioned in the treaty? (Yes, No) If Yes, describe these provisions.
YES. Article 26- "The parties to this treaty may, by unanimous agreement, invite any other State in a position to further the principles of this Treaty, and to contribute to the peace and security of the Eastern Caribbean, to accede to this Treaty, or may accept a request from any such State for accession to this Treaty."

9. Are specific state(s) mentioned as possible future members of the alliance? (Yes, No) If Yes, which state(s)?
No

10. Are there specific provisions in the treaty concerning the length of time the treaty is to last? (Yes, No) If Yes, describe.
YES. Article 28—unlimited duration

11. Are there conditions under which members may renounce the treaty? If Yes, describe these conditions.
YES. Article 28- "This Treaty shall remain in force in respect of a Member State until terminated in respect of that State on a day specified by notice in writing transmitted to each of the other Member States by the Government of Barbados at least three months before the day specified in the notice."

12. Are there specific provisions in the treaty concerning renewal of the treaty? (Yes, No) If Yes, describe these provisions.
NO.

13. Number of times alliance renewed, dates of renewal, and means by which alliance was renewed (Do not include automatic renewals):

14. Termination date(s) (may vary for members in multilateral alliances) and source(s) for termination dates(s):
Still in effect
Version 4.0: In effect as of November 6, 2017 according to Ministry of Foreign Affairs, Barbados
(<http://www.rss.org.bb>)

15. Describe what caused the alliance to terminate.
Still in effect

SECTION II: OBLIGATIONS

16. Type of alliance (defense pact, neutrality pact, nonaggression pact, consultation pact, offense pact): (List all that apply to any member of the alliance.)

Consultation Pact, Defense Pact

17. Type of alliance as coded in COW data (if applicable): N/A

18. Describe the obligations of the alliance partners.

Article 4 (4): "The Member States shall consult together whenever, in the opinion of one of them, the democratic institutions, territorial integrity, political independence, or security of any of them is threatened."

Article 4 (5): "The Member States agree that an armed attack against one of them by a third State or from any other source is an armed attack against them all, and consequently agree that in the event of such an attack, each of them . . . will determine the measures to be taken to assist the State so attacked by taking forthwith, individually or collectively, any necessary action, including the use of armed force, to restore and maintain the peace and security of the Member State."

19. Are any of the obligations contingent upon any of the following: specific adversary, specific location, specific ongoing conflict, number of adversaries, noncompliance with a specific demand, attack, nonprovocation of enemy, or prior agreement among partners? (List all that apply) NO

20. Describe the nature of the limits to obligations listed in #19.

21. Are there any additional provisions for assistance in the event of war? (e.g., promise not to participate in economic sanctions against partner, promise not to aid internal or external enemies, etc.) (Yes, No) If Yes, describe.

Article 4 (3): "The interests of one Member State are the interests of the others; and accordingly the Member States shall have the right of 'hot pursuit' within each other's territorial sea and exclusive economic zone."

22. Are there any additional limits to the alliance obligations or conditions under which treaty obligations do not apply that were not listed in #19? (Yes, No) If Yes, describe. NO

23. Is a specific target/threat mentioned as the object of the treaty? (Yes, No) (Answering Yes to this question does not necessarily mean that obligations are limited only to this adversary.) If Yes, list the target nation(s) (using COW country codes if possible) and describe the general nature of the reference to the target of the treaty. NO.

24. Are the treaty obligations symmetric? (That is, do all members commit to the same obligations?) (Yes, No) If No, describe.
YES

25. Does the treaty prohibit members from settling conflicts independently (no separate peace)? (Yes, No) If Yes, describe.
NO

26. Does the treaty have provisions requiring that the contracting parties consult before making commitments to third parties (excluding no separate peace provisions discussed above)? (Yes, No) If Yes, describe these provisions. NO

27. Does the treaty specify that the contracting parties must not enter into any other alliances that are directed against the alliance in question? (Yes, No) If Yes, describe.

Article 5 (2): "Each Member State . . . undertakes not to enter into any international engagement in conflict with this Treaty."

28. Additional coder comments regarding treaty obligations:

Article 4 (1): The purposes and functions of the System are to promote cooperation among the Member States in the prevention and interdiction of traffic in illegal narcotic drugs, in national emergencies, search and rescue, immigration control, fisheries protection, custom and excise control, maritime policing duties, natural and other disasters, pollution control, combating threats to national security, the prevention of smuggling, and in the protection of off-shore installations and exclusive economic zones."

SECTION III: INSTITUTIONALIZATION OF THE ALLIANCE

29. Does the treaty make reference to the potential for conflict among members of the alliance? (Yes, No) If Yes, describe.

Article 23: "In the absence of a contrary agreement, all disputes relating to the interpretation or application of this Treaty shall be settled by the Council in accordance with its voting procedures.

30. Does the treaty discuss mediation/arbitration or other means of settling disputes among the signatories? (Yes, No) If Yes, describe.
No.

31. Does the treaty require official contact among the military forces of the participating states? (Yes, No) If Yes, describe. (Note whether official contact is required only in the event of hostilities or also during peacetime.)

Yes. Article 9 establishes a joint coordinating and planning committee which is made up of the commanders.

32. Does the treaty include provisions for military aid? (e.g., grants, loans, transfer of technology, training) (Yes, No) If Yes, describe these provisions.

Yes. Article 13 provides for joint training, and Article 16 provides for a joint procurement program.

33. Does the treaty provide for integrated command of military forces while the alliance is in effect (peacetime as well as wartime)? (Yes, No) If Yes, describe. NO

34. Does the treaty require subordination of the forces of one or more member states to another in the event of hostilities? (Yes, No) If Yes, describe.

Yes. Article 10 (1) (a) states "the requesting states shall have operational control over all service personnel participating in operations in that State."

35. Does the treaty establish any organizations? (include provisions for regularly scheduled meetings) (Yes, No) If Yes, describe.

YES. Article 6 creates a Council of Ministers, Article 7 creates a Secretariat, Article 9 creates the Joint Coordinating and Planning Committee.

36. Does the treaty provide for joint military bases, or for one or more states to place troops in the territory of one or more other states? (Yes, No) If Yes, describe. NO.

37. Does the treaty specify contribution levels (funds, troops, etc.)? (Yes, No) If Yes, describe.

Yes. Article 8 discusses the budget.

38. Does the treaty contain any provisions regarding coordinated increase of armaments, reduction of armaments, prohibition of weapons, or rules of warfare? (Yes, No) If Yes, describe such provisions. NO

39. Does the treaty explicitly permit or prohibit the acquisition of territory not currently held by either ally? (Yes, No) If Yes, describe reference. NO

40. Does the treaty include discussion of the division of gains from any future conflict? (Yes, No) If Yes, describe. NO

41. Does the treaty include discussion of demobilization/withdrawal following conflict? (Yes, No) If Yes, describe. NO

42. Are there any other provisions in the treaty that describe the means through which the states will coordinate their military efforts and policies? (Yes, No) If Yes, describe. NO

SECTION IV: TREATY CONTEXT

44. Does the treaty state that it nullifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are nullified and describe the treaty reference.

Yes. Article 32 nullifies the 1982 Memorandum of Understanding.

45. Does the treaty state that it modifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are modified and describe the treaty reference. NO

46. Does the treaty state that it includes any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are included and describe the treaty reference. NO

47. Does the treaty make any references to international organizations? (Yes, No) If Yes, list the international organization and describe the nature of the reference.

Yes. The United Nations. See, for instance, Article 5.

48. Does the treaty make any references to other existing alliances? (Yes, No) If Yes, list the alliance and describe the nature of the reference. NO.

49. Are there any companion agreements referenced in the treaty? (Yes, No) If Yes, describe the agreements.

NO.

50. Does the treaty include statements regarding non-military cooperation (e.g., economic, cultural, scientific exchange)? (Yes, No) If Yes, describe.

Yes. Article 4 (1): The purposes and functions of the System are to promote cooperation among the Member States in the prevention and interdiction of traffic in illegal narcotic drugs, in national emergencies, search and rescue, immigration control, fisheries protection, custom and excise control, maritime policing duties, natural and other disasters, pollution control, combating threats to national security, the prevention of smuggling, and in the protection of off-shore installations and exclusive economic zones.”

51. Does the treaty resolve other conflicts among the parties to the alliance? (Yes, No) If Yes, describe. NO

52. Does the treaty propose/enforce settlement of a conflict not involving parties to the alliance? (Yes, No) If Yes, describe. NO

53. Does the treaty propose other agreements among the contracting parties? (Yes, No) If Yes, describe. NO

54. Does the treaty mention unresolved conflicts among the contracting parties? (Yes, No) If Yes, describe. NO

55. Does the treaty include provisions for economic aid or other enticements (include trade concessions, post war recovery, etc.)? (Yes, No) If Yes, describe these provisions. NO

56. Does the treaty describe circumstances under which one party may intervene in the internal politics of another party or specifically commit the states to non-intervention? (Yes, No) If Yes, describe. NO

SECTION V: DOCUMENTATION

57. Additional coder comments on this alliance treaty:

58. Source of coding information (e.g., treaty, treaty and secondary sources, secondary sources only, etc.): Treaty

59. Treaty citation: U.S. Department of State website, visited 9/29/04. www.state.gov/t/ac/csbn/rd/4367pf.htm

60. Date of last revision of this coding sheet: March 25, 2005

61. Coder: Ashley Leeds

Version 4.0: Q14 revised by Nicholas Coulombe, May 22, 2018