

ALLIANCE TREATY OBLIGATIONS AND PROVISIONS (ATOP)  
CODING SHEET

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SECTION I: FORMATION, MEMBERS, AND DURATION

1. ATOP ID: 4980

2. COW Alliance # (if applicable):

N/A

3. Signature date(s) (may vary for members in multilateral alliances):

July 16, 2001

4. Members of alliance (use COW country codes):

China (710)  
Russia (365)

5. Was the alliance signed during war? If so, which war, and which member(s) was at war? (check COW data)

No

6. Means by which alliance was established (e.g., treaty, executive agreement, diplomatic notes, etc.): (Only select "treaty" if the agreement requires ratification.)

Treaty

7. Was the treaty public, public but with secret articles, or secret? If secret articles, describe the content of secret articles.

Public

8. Are there provisions for adding additional members mentioned in the treaty? (Yes, No) If Yes, describe these provisions.

No

9. Are specific state(s) mentioned as possible future members of the alliance? (Yes, No) If Yes, which state(s)?

No

10. Are there specific provisions in the treaty concerning the length of time the treaty is to last? (Yes, No) If Yes, describe.

Yes. The treaty is concluded for a term of 20 years, and will be automatically renewed for periods of 5 years if, one year before its expiry, neither has party has informed the other party in writing that it requires termination.

11. Are there conditions under which members may renounce the treaty? If Yes, describe these conditions.

A party may renounce the treaty in writing at least a year before the respective renewal date but not during its term.

12. Are there specific provisions in the treaty concerning renewal of the treaty? (Yes, No) If Yes, describe these provisions.

Yes. Treaty will be automatically renewed for periods of 5 years after the initial 20-year term expires.

13. Number of times alliance renewed, dates of renewal, and means by which alliance was renewed (Do not include automatic renewals):

Zero

14. Termination date(s) (may vary for members in multilateral alliances) and source(s) for termination dates(s):

Still in effect.

Version 4.0: In effect as of November 15, 2017 according to Ministry of Foreign Affairs, Russia  
([http://www.mid.ru/ru/foreign\\_policy/international\\_contracts/2\\_contract/-/storage-viewer/bilateral/](http://www.mid.ru/ru/foreign_policy/international_contracts/2_contract/-/storage-viewer/bilateral/))

15. Describe what caused the alliance to terminate.

Still in effect.

## SECTION II: OBLIGATIONS

16. Type of alliance (defense pact, neutrality pact, nonaggression pact, consultation pact, offense pact): (List all that apply to any member of the alliance.)

Non-aggression pact.

Consultation pact.

17. Type of alliance as coded in COW data (if applicable):

N/A

18. Describe the obligations of the alliance partners.

Non-aggression:

Article 2: "The two parties will not use force or the threat of force in their mutual relations..."

Consultation:

Article 9: "If one believes that there is a threat of aggression menacing peace, wrecking peace, and involving its security interests and is aimed at one of the parties, the two parties will immediately make contact and hold consultations in order to eliminate the threat that has risen."

19. Are any of the obligations contingent upon any of the following: specific adversary, specific location, specific ongoing conflict, number of adversaries, noncompliance with a specific demand, attack, nonprovocation of enemy, or prior agreement among partners? (List all that apply)

No

20. Describe the nature of the limits to obligations listed in #19.

N/A

21. Are there any additional provisions for assistance in the event of war? (e.g., promise not to participate in economic sanctions against partner, promise not to aid internal or external enemies, etc.) (Yes, No) If Yes, describe.

Yes.

Article 8: "Neither party will participate in any alliance or bloc which damages the sovereignty, security and territorial integrity of the other party, and will not adopt any similar action, including not concluding a similar treaty with any third country. Neither party to the treaty will permit a third country to use its territory to damage the national sovereignty, security, and territorial integrity of the other party."

Neither party to the treaty will permit the establishment on its territory of an organization or group that harms the sovereignty, security and territorial integrity of the other party, and will prohibit such activities."

Article 2: "The two parties will not use force or the threat of force in their mutual relations, nor will they adopt economic or other means of putting pressure on each other; differences between them can only be resolved by peaceful means..."

22. Are there any additional limits to the alliance obligations or conditions under which treaty obligations do not apply that were not listed in #19? (Yes, No) If Yes, describe.

No

23. Is a specific target/threat mentioned as the object of the treaty? (Yes, No) (Answering Yes to this question does not necessarily mean that obligations are limited only to this adversary.) If Yes, list the target nation(s) (using COW country codes if possible) and describe

the general nature of the reference to the target of the treaty.

No

24. Are the treaty obligations symmetric? (That is, do all members commit to the same obligations?) (Yes, No) If No, describe.

Yes

25. Does the treaty prohibit members from settling conflicts independently (no separate peace)? (Yes, No) If Yes, describe.

No

26. Does the treaty have provisions requiring that the contracting parties consult before making commitments to third parties (excluding no separate peace provisions discussed above)? (Yes, No) If Yes, describe these provisions.

No

27. Does the treaty specify that the contracting parties must not enter into any other alliances that are directed against the alliance in question? (Yes, No) If Yes, describe.

Yes. Article 8: "Neither party will participate in any alliance or bloc which damages the sovereignty, security and territorial integrity of the other party, and will not adopt any similar action, including not concluding a similar treaty with any third country."

28. Additional coder comments regarding treaty obligations:

### SECTION III: INSTITUTIONALIZATION OF THE ALLIANCE

29. Does the treaty make reference to the potential for conflict among members of the alliance? (Yes, No) If Yes, describe.

Yes. Article 2: "... differences between the two parties can only be resolved by peaceful means in accordance with the provisions of the UN Charter..."

30. Does the treaty discuss mediation/arbitration or other means of settling disputes among the signatories? (Yes, No) If Yes, describe.

No

31. Does the treaty require official contact among the military forces of the participating states? (Yes, No) If Yes, describe. (Note whether official contact is required only in the event of hostilities or also during peacetime.)

No

32. Does the treaty include provisions for military aid? (e.g., grants, loans, transfer of technology, training) (Yes, No) If Yes, describe these provisions.

No.

33. Does the treaty provide for integrated command of military forces while the alliance is in effect (peacetime as well as wartime)? (Yes, No) If Yes, describe.

No.

34. Does the treaty require subordination of the forces of one or more member states to another in the event of hostilities? (Yes, No) If Yes, describe.

No

35. Does the treaty establish any organizations? (include provisions for regularly scheduled meetings) (Yes, No) If Yes, describe.

No

36. Does the treaty provide for joint military bases, or for one or more states to place troops in the territory of one or more other states? (Yes, No) If Yes, describe.

No

37. Does the treaty specify contribution levels (funds, troops, etc.)? (Yes, No) If Yes, describe.

No

38. Does the treaty contain any provisions regarding coordinated increase of armaments, reduction of armaments, prohibition of weapons, or rules of warfare? (Yes, No) If Yes, describe such provisions.

Yes. Article 12, paragraph 2: “The two parties will actively promote the process of nuclear disarmament and reduction of chemical weapons, advance the strengthening of the system for banning biological weapons, and take steps to prevent the proliferation of weapons of mass destruction, the means of carrying them, and their related technology.”

Article 2, paragraph 2: “The two parties reiterate that they undertake not to be the first to use nuclear weapons, nor will they aim strategic nuclear missiles at each other.”

39. Does the treaty explicitly permit or prohibit the acquisition of territory not currently held by either ally? (Yes, No) If Yes, describe reference.

Yes. Article 6 states that there are no territorial demands between the two countries and they will observe the international principles on the inviolability of territory and national borders and will strictly abide by the national border between them.

40. Does the treaty include discussion of the division of gains from any future conflict? (Yes, No) If Yes, describe.

No

41. Does the treaty include discussion of demobilization/withdrawal following conflict? (Yes, No) If Yes, describe.

No

42. Are there any other provisions in the treaty that describe the means through which the states will coordinate their military efforts and policies? (Yes, No) If Yes, describe.

Yes. Article 7: “The two parties will adopt measures in accordance with current agreements to enhance trust in the military field and mutual reduction of forces in the border regions.”

43. Additional coder comments regarding references to alliance institutionalization:

#### SECTION IV: TREATY CONTEXT

44. Does the treaty state that it nullifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are nullified and describe the treaty reference.

No

45. Does the treaty state that it modifies any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are modified and describe the treaty reference.

No

46. Does the treaty state that it includes any existing treaties signed by one or more of the contracting parties? (Yes, No) If Yes, list the treaties/agreements that are included and describe the treaty reference.

No

47. Does the treaty make any references to international organizations? (Yes, No) If Yes, list the international organization and describe the nature of the reference.

Yes.

Parties will adhere to the UN Charter in their bilateral relations. (Preamble).  
The two parties will step up cooperation in the United Nations (Article 13)

The two parties will carry out cooperation in international financial institutions and economic organizations and forums, in accordance with the provisions of the charters of these institutions, organizations and forums, they will promote the accession of one party to these institutions of which the other party is already a member (Article 17).

48. Does the treaty make any references to other existing alliances? (Yes, No) If Yes, list the alliance and describe the nature of the reference.

Yes. There is reference to 1992 China-Russia joint declaration

49. Are there any companion agreements referenced in the treaty? (Yes, No) If Yes, describe the agreements.

No.

50. Does the treaty include statements regarding non-military cooperation (e.g., economic, cultural, scientific exchange)? (Yes, No) If Yes, describe.

Yes

The parties will carry out cooperation in the economic and trade, science and technology, energy, transport, nuclear power, finance, aviation and space, information technology, and other fields of common interest (Article 16, paragraph 1)

The two parties will vigorously advance and develop exchanges and cooperation in the fields of culture, education, public health, information, tourism, sports and legal system (Article 16, paragraph 2)

The two parties will carry out cooperation in international financial institutions and economic organizations (Article 17, paragraph 1)

The two parties will carry out cooperation in promoting the attainment of human rights and basic freedoms (Article 18, paragraph 1)

The two parties will cooperate in protecting and improving the environment (Article 19)

The two parties will cooperate to crack down on terrorism, organized crime and illegal immigration (Article 20)

51. Does the treaty resolve other conflicts among the parties to the alliance? (Yes, No) If Yes, describe.

No

52. Does the treaty propose/enforce settlement of a conflict not involving parties to the alliance? (Yes, No) If Yes, describe.

No

53. Does the treaty propose other agreements among the contracting parties? (Yes, No) If Yes, describe.

Yes. Article 23 states that the two parties will actively advance the framing of treaties in specific fields of interest to both of them.

54. Does the treaty mention unresolved conflicts among the contracting parties? (Yes, No) If Yes, describe.

Yes. Article 6: “... the two parties will continue to hold negotiations to resolve questions of border demarcation on which Sino-Russian unanimity has not yet been reached through consultation.”

55. Does the treaty include provisions for economic aid or other enticements (include trade concessions, post war recovery, etc.)? (Yes, No) If Yes, describe these provisions.

No

56. Does the treaty describe circumstances under which one party may intervene in the internal politics of another party or specifically commit the states to non-intervention? (Yes, No) If Yes, describe.

Yes. The parties commit to mutual non-interference (Article 1).

## SECTION V: DOCUMENTATION

57. Additional coder comments on this alliance treaty:

58. Source of coding information (e.g., treaty, treaty and secondary sources, secondary sources only, etc.):

Treaty

59. Treaty citation:

LexisNexis Academic Universe. BBC July 16, 2001

60. Date of last revision of this coding sheet:

July 30, 2004

61. Coder:

Burcu Savun, Ashley Leeds

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